



**SATHYABAMA**

INSTITUTE OF SCIENCE AND TECHNOLOGY  
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**SCHOOL OF SCIENCE AND HUMANITIES**

**DEPARTMENT OF MATHEMATICS**

## **UNIT – I – Advanced Graph Theory – SMT5207**

## I. Connectivity

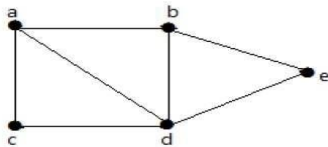
**Contents - Connectivity and edge-connectivity – 2-connected graphs – Menger's theorem.**

### Connectivity

A graph is said to be connected if there is a path between every pair of vertices. If a graph has multiple disconnected components, it is said to be disconnected. In a connected graph, from every vertex to any other vertex, there should be some path to traverse. That is called connectivity.

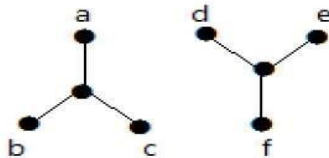
#### Example 1

In the following graph, it is possible to travel from one vertex to any other vertex. For example, one can traverse from vertex 'a' to vertex 'e' using the path 'a-b-e'.



#### Example 2

In the following example, traversing from vertex 'a' to vertex 'f' is not possible because there is no path between them directly or indirectly. Hence it is a disconnected graph.



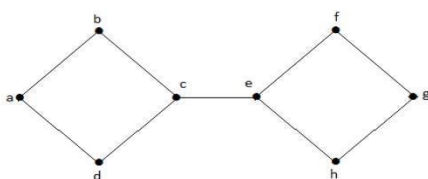
### Cut Vertex

Let 'G' be a connected graph. A vertex  $V \in G$  is called a cut vertex of 'G', if 'G-V' (Delete 'V' from 'G') results in a disconnected graph. Removing a cut vertex from a graph breaks it into two or more graphs.

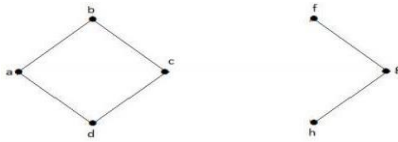
**Note** – Removing a cut vertex may render a graph disconnected. A connected graph 'G' may have at most  $(n-2)$  cut vertices.

#### Example

In the following graph, vertices 'e' and 'c' are the cut vertices.



By removing 'e' or 'c', the graph will become a disconnected graph.



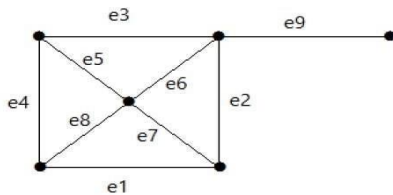
### Cut Set of a Graph

Let ' $G = (V, E)$ ' be a connected graph. A subset  $E'$  of  $E$  is called a cut set of  $G$  if deletion of all the edges of  $E'$  from  $G$  makes  $G$  disconnect.

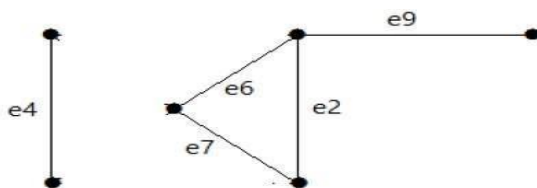
If deleting a certain number of edges from a graph makes it disconnected, then those deleted edges are called the cut set of the graph.

### Example

Take a look at the following graph. Its cut set is  $E1 = \{e1, e3, e5, e8\}$ .



After removing the cut set  $E1$  from the graph, it would appear as follows –



Similarly, there are other cut sets that can disconnect the graph –

$E3 = \{e9\}$  – Smallest cut set of the graph.

$E4 = \{e3, e4, e5\}$

### Edge Connectivity

Let ' $G$ ' be a connected graph. The minimum number of edges whose removal makes ' $G$ ' disconnected is called edge connectivity of  $G$ .

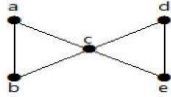
### Notation – $\lambda(G)$

In other words, the **number of edges in a smallest cut set of  $G$**  is called the edge connectivity of  $G$ .

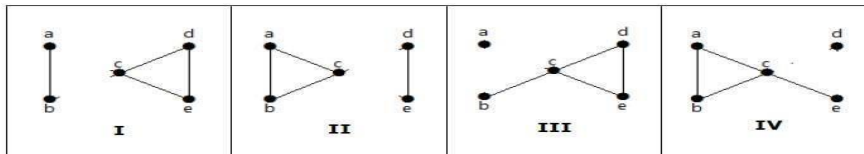
If 'G' has a cut edge, then  $\lambda(G)$  is 1. (edge connectivity of G.)

### Example

Take a look at the following graph. By removing two minimum edges, the connected graph becomes disconnected. Hence, its edge connectivity ( $\lambda(G)$ ) is 2.



Here are the four ways to disconnect the graph by removing two edges –



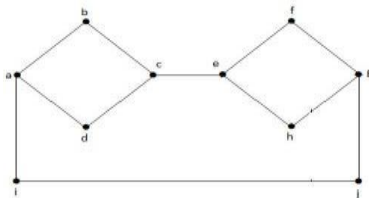
### Vertex Connectivity

Let 'G' be a connected graph. The minimum number of vertices whose removal makes 'G' either disconnected or reduces 'G' in to a trivial graph is called its vertex connectivity.

### Notation – $K(G)$

### Example

In the above graph, removing the vertices 'e' and 'i' makes the graph disconnected.



If G has a cut vertex, then  $K(G) = 1$ .

**Notation** – For any connected graph G,

Vertex connectivity ( $K(G)$ ), edge connectivity ( $\lambda(G)$ ), minimum number of degrees of G ( $\delta(G)$ ).

**Theorem (Whitney)** For any graph G,  $\kappa(G) \leq \lambda(G) \leq \delta(G)$ .

**Proof:** We first prove  $\lambda(G) \leq \delta(G)$ .

If G has no edges, then  $\lambda = 0$  and  $\delta = 0$ . If G has edges, then we get a disconnected graph, when all edges incident with a vertex of minimum degree are removed. Thus, in either case,  $\lambda(G) \leq \delta(G)$ .

We now prove  $\kappa(G) \leq \lambda(G)$ . For this, we consider the various cases. If G



$= K_n$ , then  $\kappa(G) = \lambda(G) = n - 1$ . Now let  $G$  be an incomplete graph. In case  $G$  is disconnected or trivial, then obviously  $\kappa = \lambda = 0$ .

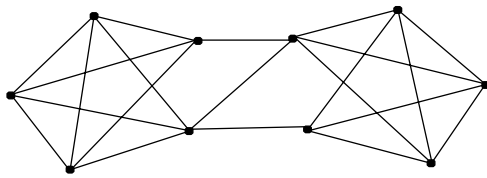
If  $G$  is disconnected and has a cut edge (bridge)  $x$ , then  $\lambda = 1$ . In this case,  $\kappa = 1$ , since either  $G$  has a cut vertex incident with  $x$ , or  $G$  is  $K_2$ .

Finally, let  $G$  have  $\lambda \geq 2$  edges whose removal disconnects it. Clearly, the removal of  $\lambda - 1$  of these edges produces a graph with a cut edge (bridge)  $x = uv$ . For each of these  $\lambda - 1$  edges, select an incident vertex different from  $u$  or  $v$ . The removal of these vertices also removes the  $\lambda - 1$  edges and quite possibly more. If the resulting graph is disconnected, then  $\kappa < \lambda$ . If not,  $x$  is a cut edge (bridge) and hence the removal of  $u$  or  $v$  will result in either a disconnected or a trivial graph, so that  $\kappa \leq \lambda$  in every case.

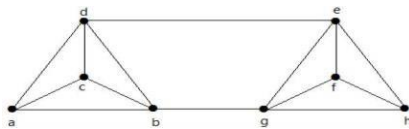
### Illustration

We illustrate this by the graph shown in Figure Here  $\kappa = 2$ ,  $\lambda = 3$  and  $\delta = 4$ .

Example



Calculate  $\lambda(G)$  and  $K(G)$  for the following graph –



### Solution

From the graph,  $\delta(G) = 3$

$K(G) \leq \lambda(G) \leq \delta(G) = 3$  (1)  $K(G) \geq 2$  (2)

Deleting the edges  $\{d, e\}$  and  $\{b, h\}$ , we can disconnect  $G$ . Therefore,

$\lambda(G) = 2$

$2 \leq \lambda(G) \leq \delta(G) = 2$  (3)

From (2) and (3), vertex connectivity  $K(G) = 2$

**Theorem** : For any  $v \in V$  and any  $e \in E$  of a graph  $G(V, E)$ ,  $\kappa(G) - 1 \leq \kappa(G - v)$  and  $\lambda(G) - 1 < \lambda(G - e) \leq \lambda(G)$ . Proof We observe that the removal of a vertex or an edge from a graph can bring down  $\kappa$  or  $\lambda$  by at most one, and that while  $\kappa$  may be increased by the removal of a vertex,  $\lambda$  cannot be increased by the removal of an edge.

**Theorem** : For any three integers  $r, s, t$  with  $0 < r \leq s \leq t$ , there is a graph  $G$  with  $\kappa = r$ ,  $\lambda = s$  and  $\delta = t$ . Proof Take two disjoint copies of  $K_{t+1}$ . Let  $A$  be a set of  $r$  vertices in one of them and  $B$  be a set of  $s$  vertices in the other. Join the vertices of  $A$  and  $B$  by  $s$  edges utilising all the vertices of  $B$  and all the vertices of  $A$ . Since  $A$  is a vertex cut and the set of these  $s$  edges is an edge cut of the resulting graph  $G$ , it

is clear that  $\kappa(G) = r$  and  $\lambda(G) = s$ . Also, there is at least one vertex which is not in  $A \cup B$ , and it has degree  $t$ , so that  $\delta(G) = t$ .

### Menger's Theorem

Harary listed eighteen variations of Menger's theorem including those for digraphs. Clearly, all these are equivalent and one can be obtained from the other.

Let  $u$  and  $v$  be two distinct vertices of a connected graph  $G$ . Two paths joining  $u$  and  $v$  are called disjoint (vertex disjoint) if they have no vertices other than  $u$  and  $v$  (and hence no edges) in common. The maximum number of such paths between  $u$  and  $v$  is denoted by  $p(u, v)$ . If the graph  $G$  is to be specified, it is denoted by  $p(u, v|G)$ .

The following is the vertex form of Menger's theorem. The proof is due to Nash-Williams and Tutte

### Theorem (Menger-vertex form)

The minimum number of vertices separating two non-adjacent vertices  $s$  and  $t$  is equal to the maximum number of disjoint  $s$ - $t$  paths, that is, for any pair of non-adjacent vertices  $s$  and  $t$ , the cut number equals the maximum number of disjoint  $s$ - $t$  paths. That is,  $\kappa(s, t) = p(s, t)$ , for every pair  $s, t \in V$  with  $st \notin E$ . Proof Let  $G(V, E)$  be a graph with  $|E| = m$ . We use induction on  $m$ , the number of edges. The result is obvious for a graph with  $m = 1$  or  $m = 2$ . Assume that the result is true for all graphs with less than  $m$  edges. Let the result be not true for the graph  $G$  with  $m$  edges. Then we have  $p(s, t|G) < \kappa(s, t|G) = q$  (say), as for any graph, we obviously have  $p(s, t) \leq \kappa(s, t)$ . Let  $e = uv$  be an edge of  $G$ . The deletion graph  $G_1 = G - e$ , and the contraction graph  $G_2 = G/e$  has a smaller number of edges than  $G$ . Therefore, by induction hypothesis, we have  $p(s, t|G_1) = \kappa(s, t|G_1)$  and  $p(s, t|G_2) = \kappa(s, t|G_2)$ . Let  $I$  be an  $(s, t)$ -cut in  $G_1$  and  $J$  be an  $(s, t)$ -cut in  $G_2$ . Then we have

$$|I| = \kappa(s, t|G_1) = p(s, t|G_1) \leq p(s, t|G) < q \text{ and}$$

$$|J| = \kappa(s, t|G_2) = p(s, t|G_2) \leq p(s, t|G) < q, \text{ So } |J| < q \text{ and therefore } |J| \leq q - 1.$$

Now to  $J$  there corresponds an  $(s - t)$  vertex cut  $J$  of  $G$  such that  $|J| \leq |J| + 1$ , since, by elementary contraction,  $\kappa(s, t)$  can be decreased by at most one, and this decrease actually occurs when  $e \in E((J))$ .

$$\text{Thus, } |J| \leq |J| + 1 \leq q - 1 + 1 \\ = q, \text{ that is, } |J| \leq q.$$

$$\text{Since } J \text{ is an } (s, t) \text{ vertex cut in } G, \kappa(s, t) \leq |J|, q$$

$$\leq |J|. \text{ Thus, } q \leq |J| \leq q, \text{ so that } |J| = q.$$

$$\text{Therefore, } |I| < q \text{ and } |J| = q \text{ and } u, v \in J$$

$$\text{Let } H_t \in I \cup J : \text{ there exists an } s - w \text{ path in } G, \text{ vertex-disjoint from } I \cup J -$$

$$= \{w\} \text{ and}$$

$$H_t = \{w \in I \cup J : \text{ there exists a } t - w \text{ path in } G, \text{ vertex-disjoint from } I \cup$$

$$J - \{w\}\}.$$

Clearly,  $H_s$  and  $H_t$  are  $(s - t)$  separating vertex cuts in  $G$ . Therefore,

$$|H_s| \geq q \text{ and } |H_t| \geq q.$$

Obviously,  $H_s \cup H_t \subseteq I \cup J$ .

We claim that  $H_s \cap H_t \subseteq I \cup J$ . For this, let  $w \in H_s \cap H_t$ . Then there exists an  $s - w$  path  $P_1$  and  $w - t$  path  $P_2$  in  $G$  vertex disjoint from  $I \cup J - \{w\}$ . So  $P_1 \cup P_2$  contains a path, say  $P$ . If  $e \in P$  then we have  $u, v \in V(P) \cap J \subseteq \{w\}$ , which is impossible. Therefore  $e \notin P$  and so  $P \subseteq G - e$ . Since  $I$  is an  $(s, t)$  separator in  $G - e$  and  $J$  is an separator in  $G$ ,  $P$  has a vertex common with  $I$  and also with  $J$ . So  $w \in I \cap J$ . Thus,  $H_s \cap H_t \subseteq I \cap J$ .

Combining (5.17.4) and (5.17.5), and the above observation, we have

$$q + q \leq |H_s| + |H_t| = |H_s \cup H_t| + |H_s \cap H_t| \leq |I \cup J| + |I \cap J| = |I| + |J| < q + q, \text{ which is a contradiction}$$

Thus not true, and therefore, we have  $\kappa(s, t|G) = p(s, t|G)$ .

**Definition:** Two paths joining  $u$  and  $v$  are said to be edge-disjoint if they have no edges in common. The maximum number of edge-disjoint paths between  $u$  and  $v$  is denoted by  $l(u, v)$ .

The following is the edge form of Menger's theorem and the proof is adopted from Wilson [196].

**Theorem (Menger-edge form)** For any pair of vertices  $s$  and  $t$  of a graph  $G$ , the minimum number of edges separating  $s$  and  $t$  equals the maximum number of edge-disjoint paths joining  $s$  and  $t$ , that is,  $\lambda(s, t) = l(s, t)$  for every pair  $s, t \in V$ .

**Proof** Let  $G(V, E)$  be a graph and let  $|E| = m$ . We use induction on the number of edges  $m$  of  $G$ . For  $m = 1, 2$ , the result is obvious. Assume the result to be true for all graphs with fewer than  $m$  edges. Let  $\lambda(s, t) = k$ . We have two cases to consider.

**Case (i)** Suppose  $G$  has an  $(s - t)$  band  $F$  such that not all edges of  $F$  are incident with  $s$ , nor all edges of  $F$  are incident with  $t$ . Then  $G - F$  consists of two non-trivial components  $C_1$  and  $C_2$  with  $s \in C_1$  and  $t \in C_2$ . Let  $G_1$  be the graph obtained from  $G$  by contracting the edges of  $C_1$ , and  $G_2$  be a graph obtained from  $G$  by contracting the edges of  $C_2$ . Therefore,

$$G_1 = G||E(C_1) \text{ and } G_2 = G||E(C_2).$$

Since  $G_1$  and  $G_2$  have less edges than  $G$ , the induction hypothesis applies to them. Also, the edges corresponding to  $F$  provide an  $(s - t)$  band in  $G_1$  and  $G_2$ , so that  $\lambda(s, t|G_1) = k$  and  $\lambda(s, t|G_2) = k$ . Thus, by induction hypothesis, there are  $k$  edge-disjoint paths joining  $s$  and  $t$  in  $G_1$ , and there are  $k$  edge-disjoint paths joining  $s$  and  $t$  in  $G_2$ . Thus  $l(s, t|G_1) = k$  and  $l(s, t|G_2) = k$ .

The section of the path of the  $k$  edge-disjoint paths joining  $s$  and  $t$  in  $G_2$  which are in  $C_1$  and the section of the paths of the  $k$  edge-disjoint paths joining  $s$  and  $t$  in  $G_1$  which are in  $C_2$  can now be combined to get  $k$ -edge disjoint paths between  $s$  and  $t$  in  $G$ . Hence  $l(s, t|G) = k$ .

**Case (ii)** Every  $(s - t)$  band of  $G$  is such that either all its edges are incident with  $s$ , or all its edges are incident with  $t$ .

If  $G$  has an edge  $e$  which is not in any  $(s - t)$  band of  $G$ , then  $\lambda(s, t|G - e) = \lambda(s, t|G) = k$ . Since the induction hypothesis is applicable to  $G - e$ , there are  $k$  edge-disjoint paths between  $s$  and  $t$  in  $G - e$  and thus in  $G$ . Hence  $l(s, t|G) = k$ .

Now, assume that every edge of  $G$  is in at least one  $(s - t)$  band of  $G$ .

Then every  $s - t$  path  $P$  of  $G$  is either a single edge or a pair of edges. Any such path  $P$  can therefore contain at most one edge of any  $(s - t)$  band. Then  $G - E(P) = G_1$  is a graph with  $\lambda(s, t|G_1) = \kappa - 1$ .

Applying induction hypothesis, we have  $l(s, t|G_1) = \kappa - 1$ . Together with  $P$ , we get  $l(s, t|G) = \kappa$ .

## Theorem

A graph  $G$  with at least three vertices is 2-connected if and only if any two vertices of  $G$  are connected by at least two internally disjoint paths.

**Proof** Let  $G$  be 2-connected so that  $G$  contains no cut vertex. Let  $u$  and  $v$  be two distinct vertices of  $G$ . To prove the result, we induct on  $d(u, v)$ .

If  $d(u, v) = 1$ , let  $e = uv$ . Since  $G$  is 2-connected and  $n(G) \geq 3$ , therefore  $e$  cannot be a cut edge of  $G$ . For, if  $e$  is a cut edge, then at least one of  $u$  and  $v$  is a cut vertex. Now, a Theorem  $e$  belongs to a cycle  $C$  in  $G$ . Then  $C - e$  is a  $u - v$  path in  $G$ , internally disjoint from the path  $uv$ .

Assume any two vertices  $x$  and  $y$  of  $G$ , such that  $d(x, y) = t - 1$ ,  $t \geq 2$ , are joined by two internally disjoint  $x - y$  paths in  $G$ . Let  $d(u, v) = t$  and let  $P$  be a  $u - v$  path of length  $t$ , and  $w$  be the vertex before  $v$  on  $P$ . Then  $d(u, w) = t - 1$ . Therefore, by induction hypothesis, there are two internally disjoint  $u - w$  paths, say  $P_1$  and  $P_2$ , in  $G$ . Since  $G$  has no cut vertex,  $G - w$  is connected and therefore there exists a  $u - v$  path  $Q$  in  $G - w$ . Clearly,  $Q$  is a  $u - v$  path in  $G$  not containing  $w$ . Suppose  $x$  is the vertex of  $Q$  such that  $x - v$  section of  $Q$  contains only the vertex  $x$  in common with  $P_1 \cup P_2$ .

Assume  $x$  belongs to  $P_1$ . Then the union of the  $u - x$  section of  $P_1$  and  $x - v$  section of  $Q$  together with  $P_2 \cup \{wv\}$  are two internally disjoint  $u - v$  paths in  $G$ .

Conversely, assume any two distinct vertices of  $G$  are connected by at least two internally disjoint paths. Then  $G$  is connected. Also,  $G$  has no cut vertex. For, if  $v$  is a cut vertex of  $G$ , then there exist vertices  $u$  and  $w$  such that every  $u - w$  path contains  $v$ , contradicting the hypothesis. Thus,  $G$  is 2-connected.

## **QUESTION BANK**

### **PART A**

1. For a graph  $G$  with  $p$  vertices and  $q$  edges,  $K(G) = \lfloor 2q/p \rfloor$  **CO2 (L2)**
2. Let  $G$  be a simple graph of order  $p$  and  $k$  be an integer with  $1 \leq k \leq p-1$ . If  $\delta(G) \geq (p+k-2)/2$ , then  $G$  is  $K$  – connected. **CO4 (L1)**
3. For  $K > 0$ , find a  $k$  – connected graph  $G$  and a set  $V'$  of  $k$  vertices of  $G$  such that  $\omega(G - V') > 2$ . **CO2 (L1)**
4. Give an example to show if  $P$  is a  $(u,v)$  – path in a 2 – connected graph  $G$ , then  $G$  does not necessarily contain a  $(u,v)$  – path  $Q$  internally disjoint from  $P$ . **CO6 (L2)**
5. Prove that Connectivity of  $H_{k,p}$  is  $k$ . **CO5 (L5)**
6. Show that a graph is 2 edges connected if and only if any two vertices are connected by at least two edges disjoint paths. **CO6 (L2)**
- 7.a) Define edge connectivity of a graph. **CO1 (L1)**  
b) Show that if  $G$  is  $k$  -edge connected, then  $q \geq k.p/2$  **CO2 (L2)**

### **PART B**

1. Prove that, for any graph  $G$ ,  $k(G) \leq \lambda(G) \leq \delta(G)$ . **CO2 (L5)**
2. Prove that the connectivity and edge connectivity of a simple cubic graph  $G$  are equal. **CO2 (L5)**
3. A graph with  $p \geq 3$  is 2 – connected if and only if any two vertices of  $G$  are connected by at least two internally disjoint paths - Discuss. **CO4 (L6)**
4. Prove that the minimum number of vertices separating two nonadjacent vertices  $u$  &  $v$  is equal to the maximum number of disjoint  $u$ - $v$  paths in  $G$ . **CO2 (L2)**
5. If  $P(G) \geq 3$ , then the following statements are equivalent.  
(i)  $G$  is 2 – connected. **CO6 (L4)**

- (ii) Any two vertices of  $G$  are joined by two internally disjoint paths **CO4 (L4)**
- (iii) Any two vertices of  $G$  lie on a common cycle. **CO4 (L4)**
- (iv)  $\delta(G) \geq 1$  and only two edges of  $G$  lie on a common cycle. **CO4 (L4)**
- 6. a) Show that if  $G$  is simple graph with  $\delta \geq p - 2$ , then  $k = \delta$ . **CO3 (L2)**
- b) Find a simple graph  $G$  with  $\delta = p - 3$  and  $k < \delta$ . **CO2 (L1)**

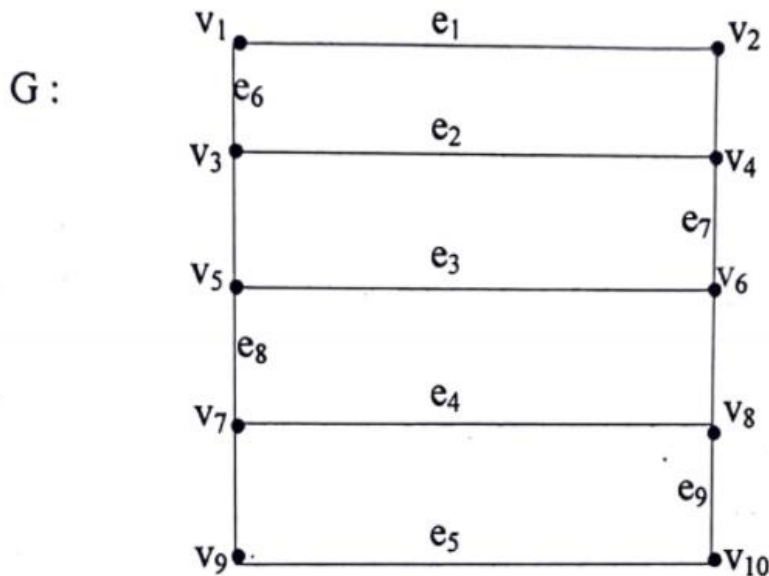
## **UNIT – II – Advanced Graph Theory – SMT5207**

## II. Matching

**Content: Matching – System of Distinct Representatives and Marriage problem – Covering - 1-factor –Stable Matching.**

**Definition:** A subset  $M$  of  $E$  is called a matching in  $G$  if no two of the edges in  $M$  are adjacent. The two ends of an edge in  $M$  are said to be matched under  $M$ .

**Example:** In the graph  $G$  of figure the sets  $M_1 = \{e_6, e_8\}$ ,



$M_2 = \{e_6, e_7, e_8, e_9\}$  and  $M_3 = \{e_1, e_2, e_3, e_4, e_5\}$  are all matchings.

**Definition:** A matching  $M$  saturates a vertex  $v$  if one edge of  $M$  is incident with  $v$ . Also, we say  $v$  is  $M$ -saturated. Otherwise,  $v$  is  $M$ -unsaturated.

**Example:** In the graph  $G$  of figure,  $v_1$  is both  $M_1$ -saturated and  $M_2$ -saturated;  $v_4$  is  $M_2$ -saturated but  $M_1$ -unsaturated; but  $M_3$  saturates every vertex of  $G$ .

**Definition:** If  $M$  is a matching in  $G$  such that every vertex of  $G$  is  $M$ -saturated then  $M$  is called a perfect matching.

**Example:** The matching  $M_3$  of  $G$  of figure is a perfect matching whereas  $M_1$  and  $M_2$  are not perfect.

**Note:** If  $G$  has a perfect matching, then  $p$  is even.

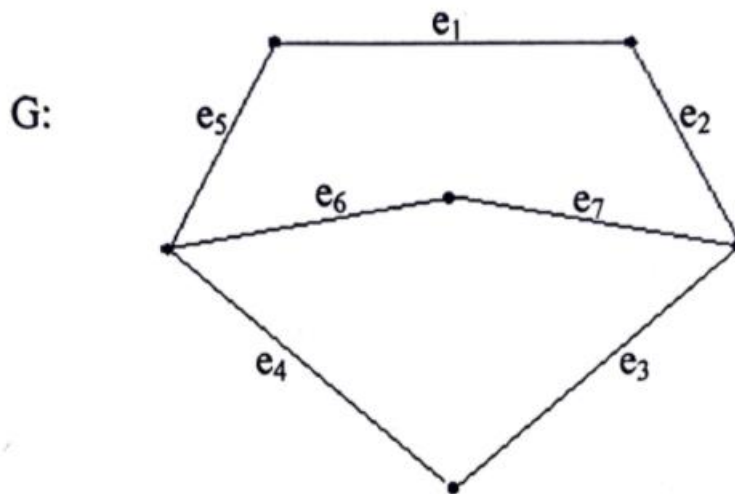
**Definition:** A matching  $M$  is called a maximal matching of  $G$  if there is no matching  $M'$  of  $G$  such that  $M' \supset M$ .

**Remark:** Note that two maximal matchings need not have same Cardinality.

**Example:** In the graph  $G$ ,  $M_1 = \{e_1, e_6, e_3\}$  and  $M_2 = \{e_5, e_3\}$  are maximal matchings.

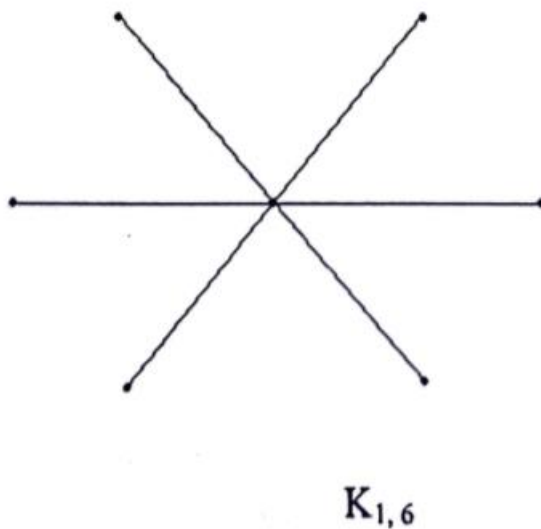
**Definition:** A matching  $M$  of  $G$  is called a Maximum matching if  $G$  has no matching  $M'$  with  $|M'| > |M|$ . The number of edges in a maximum matching of  $G$  is called as the matching number of  $G$ .





We note that  $M_1 = \{e_1, e_6, e_3\}$  is a maximum matching of  $G$ , but  $M_2 = \{e_5, e_3\}$  is not a maximum matching, though it is a maximal matching of  $G$ . Clearly every perfect matching is maximum; but maximum matchings need not be perfect.

**Example:** Consider the star  $K_{1,6}$  and in general  $K_{1,p}$ . Here any maximum matching contains only one edge and hence it is not perfect.



**Definition:** Let  $M$  be the matching in  $G$ . An  $M$ -alternating path in  $G$  is a path whose edges are alternately in  $E/M$  and  $M$ .

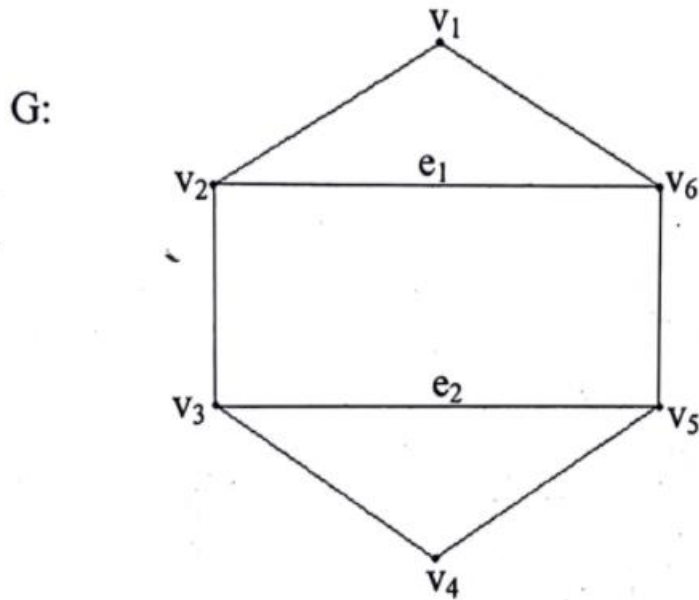
**Example:** In the graph  $G$ , if we consider the matching  $M = \{e_1, e_2\}$  then the path  $v_1v_2v_6v_5v_3$  is an  $M$ -alternating path.

**Definition:** Let  $M$  be a matching in  $G$ . An  $M$ -augmenting path is an  $M$ -alternating path whose origin and terminus are  $M$ -unsaturated.

**Example:** In the graph  $G$ , if we consider the matching  $M = \{e_1, e_2\}$  then the path  $v_1v_2v_6v_5v_3$  is an  $M$ -augmenting path.

**Note:** 1. In M-augmenting path initial and final edges are in  $E \setminus M$ .

2. An M- alternating path whose initial and final edges are in  $E \setminus M$ , need not be an M-augmenting path.



**Theorem 6.1 (Berge)**

A matching  $M$  in  $G$  is a maximum matching if and only if  $G$  contains no M-augmenting path.

**Proof:** Let  $M$  be a maximum matching in  $G$ . We prove that  $G$  has no M-augmenting path. Suppose not, let  $G$  have a M-augmenting path,  $v_0 e_1 v_1 e_2 v_2 \dots v_{2m} e_{2m+1} v_{2m+1}$ . We note that such a path is of odd length. Now we define set  $M' \subseteq E$  by,

$$M' = \{M - \{e_2, e_4, \dots, e_{2m}\}\} \cup \{e_1, e_3, \dots, e_{2m+1}\}.$$

Then  $M'$  is a matching in  $G$  and  $|M'| = |M| + 1$ . This is a contradiction to the fact that  $M$  is maximum matching. Hence,  $G$  has no M-augmenting path.

Conversely, let  $G$  has no M-augmenting path. We prove that  $M$  is a maximum matching in  $G$ . Suppose not, let  $M'$  be a maximum matching in  $G$ .

$$\text{Then, } |M'| > |M| \quad (1)$$

Let  $H = G[M \Delta M']$  where  $M \Delta M'$  denotes the symmetric difference of  $M$  and  $M'$ . Each vertex of  $H$  has degree either one or two in  $H$ , since it can be incident with at most one edge of  $M$  and one edge of  $M'$ . Thus each component of  $H$  is either an even cycle with edges alternately in  $M$  and  $M'$  or else a path with edges alternately in  $M$  and  $M'$ .

By (1),  $H$  contains more edges of  $M'$  than of  $M$  and so some path component  $P$  of  $H$  must contain more edges of  $M'$  than  $M$  and therefore must start and end with edges of  $M'$ . The origin and terminus of  $P$  being  $M'$ -saturated in  $H$  and of degree one, are  $M$ -unsaturated in  $G$ . Therefore,  $P$  is an M-augmenting path in  $G$ , which is a contradiction to our assumption. Hence,

$M$  is a maximum matching in  $G$ .

### SYSTEM OF DISTINCT REPRESENTATIVES AND MARRIAGE PROBLEM

Let  $X$  be a non-empty finite set and  $S = \{S_1, S_2, \dots, S_m\}$  be a family of (not necessarily distinct) non empty subset of  $X$ . If there exists a set  $\{x_1, x_2, \dots, x_m\}$  of  $X$  such that  $x_i \in S_i$  and  $x_i \neq x_j$  if  $i \neq j$  then the set  $\{x_1, x_2, \dots, x_m\}$  is called a system of distinct representatives (S.D.R) of the family  $S$ .

For example, consider  $X = \{1, 2, 3, 4, 5\}$  and  $S = \{S_1, S_2, S_3, S_4, S_5\}$  where  $S_1 = \{1, 2\}$ ,  $S_2 = \{1, 2, 3\}$ ,  $S_3 = \{1, 2, 3\}$ ,  $S_4 = \{1, 4, 3\}$  and  $S_5 = \{1, 5\}$ . Now,  $\{1, 2, 3, 4, 5\}$  is a system of distinct representatives of the family  $S$ . Instead, if we take  $S_1 = \{1, 2\}$ ,  $S_2 = \{1, 2, 3\}$ ,  $S_3 = \{1, 2, 3\}$ ,  $S_4 = \{1, 5\}$  and  $S_5 = \{2, 5\}$  then  $S$  has no system of distinct representatives.

Naturally, we can identify  $S$  with a bipartite graph with bipartition  $(S, X)$  in which  $S_i \in S$  is joined to every  $x \in X$  contained in  $S_i$ . A system of distinct representatives is then a set of  $m$  independent edges (thus each  $S_i$  is incident with one of these edges).

It is customary to formulate this problem of finding S.D.R in terms of marriage arrangements.

### The Marriage Problem

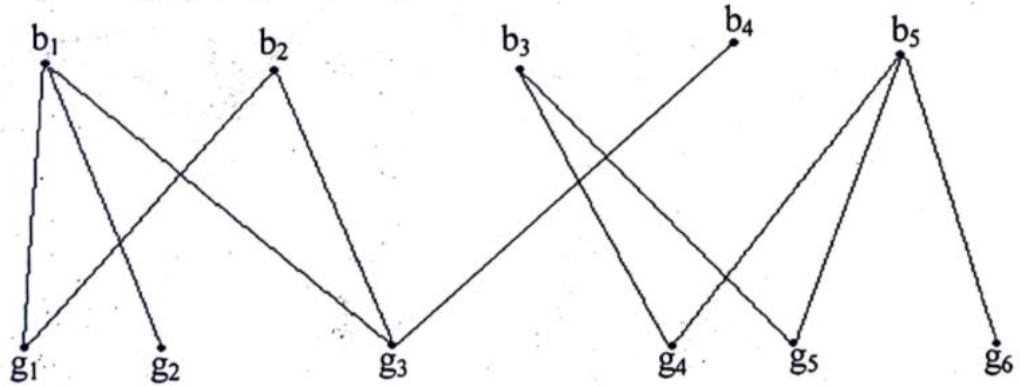
Suppose there are  $n$  boys each of whom has several girlfriends, under what conditions can we marry off the boys in such a way that each boy marries one of his girl friends? We assume that only single life partner marriage is allowed. This is known as marriage problem.

In graph theoretical terms, the above problem, can be stated as follows. Construct a bipartite graph  $G$  with bipartition  $(X, Y)$  where  $X = \{x_1, x_2, \dots, x_n\}$  represents the set of  $n$  boys and  $Y = \{y_1, y_2, \dots, y_m\}$  represents their girlfriends. An edge joins a vertex  $x_i$  to a vertex  $y_j$  if and only if  $y_j$  is a girl friend of  $x_i$ . The marriage problem is then equivalent to finding conditions for the existence of a matching in  $G$  which saturates every vertex of  $X$ .

For example, suppose there are five boys  $b_1, b_2, b_3, b_4$  and  $b_5$  and six girls  $g_1, g_2, g_3, g_4, g_5$  and  $g_6$  with their relationship as follows:

$b_1$	$\longrightarrow$	$\{g_1, g_2, g_3\} = S_1$
$b_2$	$\longrightarrow$	$\{g_1, g_3\} = S_2$
$b_3$	$\longrightarrow$	$\{g_4, g_5\} = S_3$
$b_4$	$\longrightarrow$	$\{g_3\} = S_4$
$b_5$	$\longrightarrow$	$\{g_4, g_5, g_6\} = S_5$

The bipartite graph representing this situation is shown



One of the solutions to this example is,  $b_1$  to marry  $g_2$ ,  $b_2$  to marry  $g_1$ ,  $b_3$  to marry  $g_4$ ,  $b_4$  to marry  $g_3$  and  $b_5$  to marry  $g_5$ .

Now, we present a necessary and sufficient condition for the existence of a solution to the above marriage problem, first given by P. Hall(1935).

### Theorem (Hall's Marriage theorem)

Let  $G$  be a bipartite graph with bipartition  $(X, Y)$ . Then  $G$  contains a matching that saturates every vertex in  $X$  if and only if  $|N(S)| \geq |S|$  for all  $S \subseteq X$ .

Proof: Suppose that  $G$  contains a matching  $M$  which saturates every vertex in  $X$  and let  $S$  be a subset of  $X$ . Since the vertices in  $S$  are matched under  $M$  with distinct vertices in  $N(S)$ , we have  $|N(S)| \geq |S|$ .

Conversely, Let  $G$  be a bipartite graph with  $|N(S)| \geq |S|$  for all  $S \subseteq X$ . We assume that  $G$  has no matching which saturates all vertices in  $X$ . Let  $M^*$  be a maximum matching in  $G$ . By our assumption,  $M^*$  does not saturate all vertices in  $X$ . Let  $u$  be an  $M^*$ -unsaturated vertex in  $X$ . Let  $Z$  denote the set of all vertices connected to  $u$  by  $M^*$ -alternating paths. Since  $M^*$  is a maximum matching in  $G$ ,  $G$  has no  $M^*$ -augmenting path. That is,  $u$  is the only  $M^*$ -unsaturated vertex in  $Z$ . We set  $S = Z \cap X$  and  $T = Z \cap Y$ . Clearly, the vertices in  $S \setminus \{u\}$  are matched under  $M^*$  with vertices in  $T$ . So, we get  $|T| = |S| - 1$  and  $N(S) \supseteq T$ . Since every vertex in  $N(S)$  is connected to  $u$  by an  $M^*$ -alternating path, we also have  $N(S) \subseteq T$  and hence  $N(S) = T$ . So,  $|N(S)| = |T| = |S| - 1 < |S|$ . This is a contradiction to the given hypothesis and hence  $G$  has a matching that saturates every vertex in  $X$ .

Now, let us reformulate the marriage theorem in terms of system of distinctive representatives.

**Theorem 6.3** A family  $S = \{S_1, S_2, \dots, S_m\}$  of sets has a system of distinctive representatives if

and only if  $\left| \bigcup_{i \in F} S_i \right| \geq |F|$  for every  $F \subseteq \{1, 2, \dots, m\}$ .

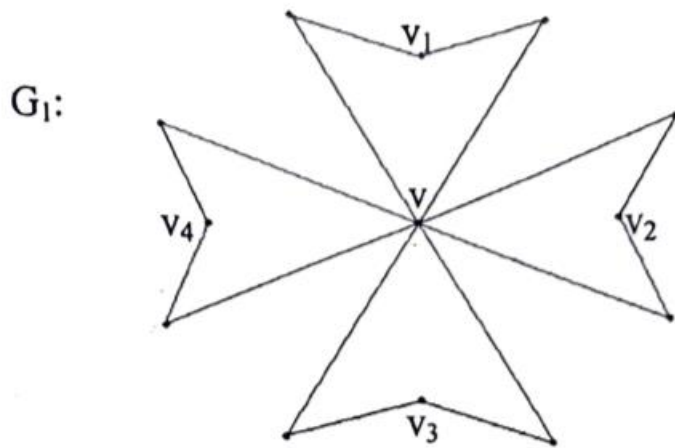
**Corollary:** If  $G$  is a  $k$ -regular bipartite graph with  $k > 0$ , then  $G$  has a perfect matching.

**Proof:** Let  $G$  be a  $k$ -regular bipartite graph with bipartition  $(X, Y)$ . Since  $G$  is  $k$ -regular,  $|X| = |Y|$ .

Now, let  $S$  be a subset of  $X$  and denote  $E_1$  and  $E_2$  the sets of edges incident with vertices in  $S$  and  $N(S)$  respectively. By definition of  $N(S)$ ,  $E_1 \subseteq E_2$  and therefore  $k|N(S)| = |E_2| \geq |E_1| = k|S|$ . Therefore,  $|N(S)| \geq |S|$  and hence, by theorem, that  $G$  has a matching  $M$  that saturates every vertex in  $X$ . Since  $|X| = |Y|$ ,  $M$  is a perfect matching. Hence the corollary.

## COVERING

**Definition:** A covering of a graph  $G$  is a subset  $K$  of  $V$  such that every edge of  $G$  has at least one end in  $K$ . For example, in the graph  $G$  of figure, the set

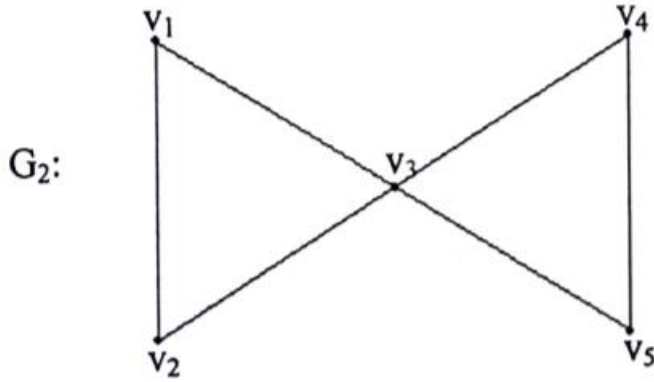


$K = \{v, v_1, v_2, v_3, v_4\}$  is a covering of  $G_1$ .

**Definition:** A covering  $K$  is called a minimal covering of  $G$  if there is no covering  $K'$  of  $G$  such that  $K' \subset K$ .

**For example,** the covering  $K$  of  $G_1$  is a minimal covering.

**Definition:** A covering  $K$  is called a minimum covering of  $G$  if  $G$  has no covering  $K'$  with  $|K'| < |K|$ .



**For example,**  $\{v_1, v_3, v_5\}$  is a minimum covering of the graph  $G_2$ . Also, we note that  $\{v_1, v_2, v_4, v_5\}$  is a minimal covering but not minimum covering of  $G_2$ .

**Remark:** If  $K$  is a covering of  $G$  and  $M$  is a matching of  $G$  then  $K$  contains at least one end of each of the edges in  $M$ . Thus, for any matching  $M$  and any covering  $K$ ,  $|M| \leq |K|$ . In particular, if  $M^*$  is a maximum matching and  $K^*$  is a minimum covering then,

$$|M^*| \leq |K^*|. \quad (1)$$

In general, equality does not hold in (1). For example, consider the graph  $G_2$ . Here,  $|M^*| = 2$  and  $|K^*| = 3$ . Under what conditions, does the equality hold? If  $G$  is bipartite then  $|M^*| = |K^*|$ . This result was proved by Konig and Egervary in 1931. Now, we present a lemma, which is useful in proving the Konig-Egervary theorem.

**Lemma** Let  $M$  be a matching and  $K$  be a covering such that  $|M| = |K|$ . Then  $M$  is a maximum matching and  $K$  is a minimum covering.

**Proof:** Let  $M^*$  be a maximum matching and  $K^*$  be a minimum covering of  $G$ . Then,  $|M| \leq |M^*| \leq |K^*| \leq |K|$ . Since  $|M| = |K|$ , in the above, equality must hold throughout and hence the lemma.

### Konig-Egervary Theorem

In a bipartite graph, the number of edges in a maximum matching is equal to the number of vertices in a minimum covering.

**Proof:** Let  $G$  be a bipartite graph with bipartition  $(X, Y)$  and let  $M^*$  be a maximum matching of  $G$ .

Suppose  $M^*$  is perfect then  $|X| = |Y| = |M^*|$ . In this case  $X$  is a covering and the theorem holds.

So, we assume that  $M^*$  is not perfect. Let  $U$  denote the set of all  $M^*$ -unsaturated vertices in  $X$  and let  $Z$  be the set of all vertices connected by  $M^*$ -alternating paths to vertices of  $U$ . Let  $S = Z \cap X$  and  $T = Z \cap Y$ . Clearly every vertex in  $T$  is  $M^*$ -saturated and  $N(S) = T$  (as in Hall's theorem). Define  $K^* = (X/S) \cup T$ . Every edge of  $G$  must have at least one of its ends in  $K^*$ ; otherwise, there would be an edge with one end in  $S$  and one end in  $Y \setminus T$ , contradicting  $N(S) = T$ . Thus,  $K^*$  is a covering of  $G$  and clearly  $|M^*| = |K^*|$ . By lemma 6.5,  $K^*$  is a minimum covering. Hence the theorem.

## 1-FACTOR

**Definition:** A factor of a graph  $G$  is a spanning subgraph of  $G$ .

**Definition:** A  $k$ -factor of a graph  $G$  is a spanning  $k$ -regular subgraph of  $G$ .

Thus, a perfect matching of a graph  $G$  induces a 1-factor of  $G$  and conversely. A 2-factor is a union of edge disjoint cycles, containing all vertices.

**Definition:** A component of a graph is odd or even according as it has odd or even number of vertices; the number of odd components of  $G$  is  $o(G)$ .

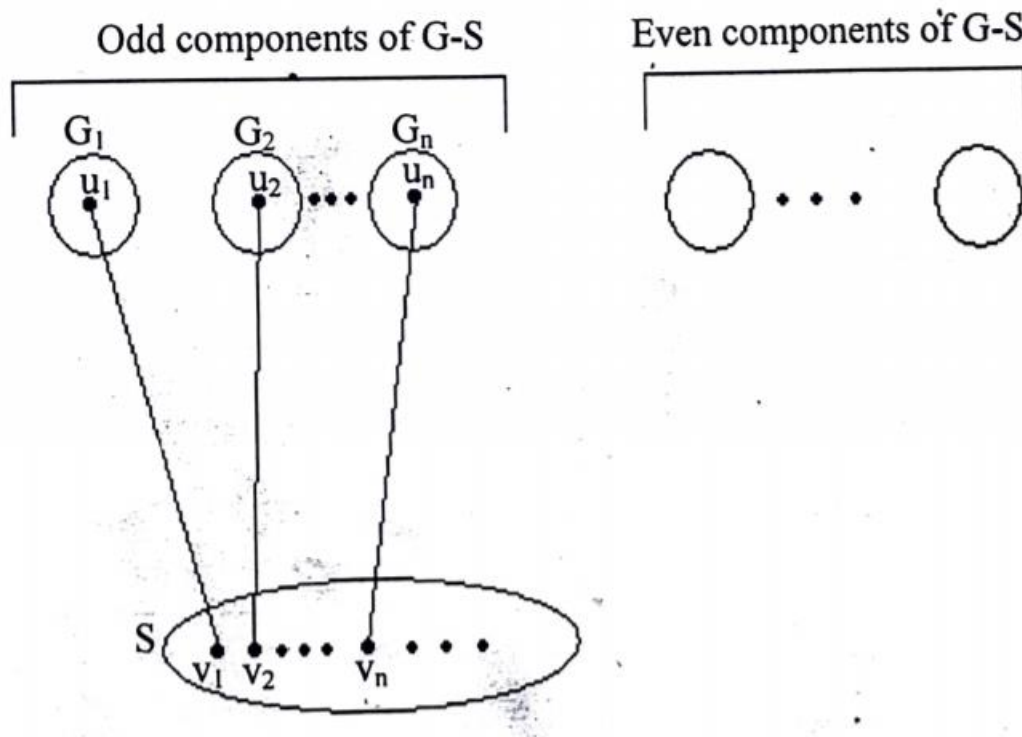
Tutte found a necessary and sufficient condition for a graph to have a 1-factor. Here, we present the proof of Lovasz (1975).

### Tutte's Theorem

A graph  $G$  has a 1-factor if and only if  $o(G-S) \leq |S|$  for all  $S \subseteq V$  and  $S \neq V$ .

**Proof:** It is enough if we prove the theorem for simple graphs. Let us assume that  $G$  has a 1-factor and let  $M$  be a perfect matching of  $G$ . Let  $S$  be a subset of  $V$  and  $S \neq V$  and let  $G_1, G_2, \dots, G_n$  be the odd components of  $G-S$ . Since  $G_i$  is odd, some vertex  $u_i$  of  $G_i$  must be matched under  $M$  with a vertex  $v_i$  of  $S$ . Clearly,  $\{v_1, v_2, \dots, v_n\} \subseteq S$  and  $v_i$ s are distinct and hence,

$$o(G-S) = n = |\{v_1, v_2, \dots, v_n\}| \leq |S|.$$



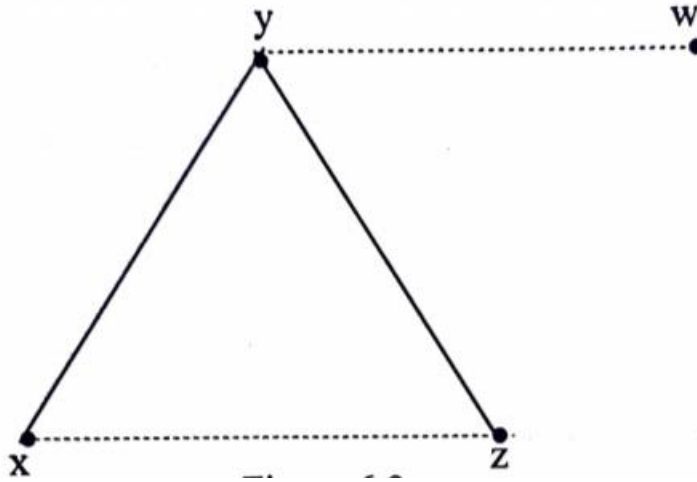
Conversely, let  $G$  satisfy the inequality  $o(G-S) \leq |S|$  for all  $S \subseteq V$  and  $S \neq V$  and  $G$  have no perfect matching. Then  $G$  is a spanning subgraph of a maximal graph  $G^*$  having no perfect matching. Since  $G-S$  is a spanning subgraph of  $G^*-S$ ,  $o(G^*-S) \leq o(G-S)$ .

Therefore,  $o(G^*-S) \leq |S|$  for all  $S \subseteq V(G^*)$  and  $S \neq V(G^*)$ . (1)

In particular, setting  $S = \Phi$ , we get  $o(G^*) = 0$  and hence  $p(G^*) = p$  is even.

Let  $U$  denote the set of all vertices of degree  $p-1$  in  $G^*$ . Since  $G^*$  has a perfect matching if  $U = V$ , we may assume that  $U \neq V$ .

Claim: Now we prove that  $G^*-U$  is a disjoint union of complete graphs. Suppose not, there is a component of  $G^*-U$  which is not complete. Since this component is not complete, we can find three vertices  $x, y$  and  $z$  such that  $xy \in E(G^*)$ ,  $yz \in E(G^*)$  and  $xz \notin E(G^*)$ . Also, we can find a vertex  $w$  in  $G-U$  such that  $yw \notin E(G^*)$ , since  $y \notin U$ .

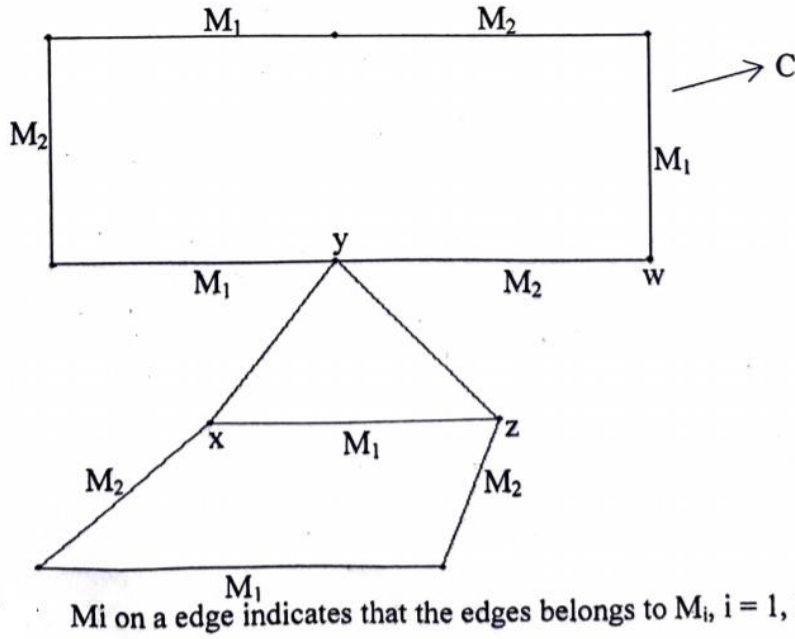


By our assumption  $G^*$  is a maximal graph containing no perfect matching and so  $G^*+xz$  and  $G+yw$  have perfect matchings, say,  $M_1$  and  $M_2$ , respectively.

Let  $H$  be the subgraph of  $G^* \cup \{xz, yw\}$  induced by  $M_1 \Delta M_2$ . Since  $M_1$  and  $M_2$  are perfect matchings, each vertex of  $H$  has degree two and hence  $H$  is a disjoint union of cycles. Also, all of these cycles are even, since edges of  $M_1$  alternate with edges of  $M_2$  around them. We distinguish two cases.

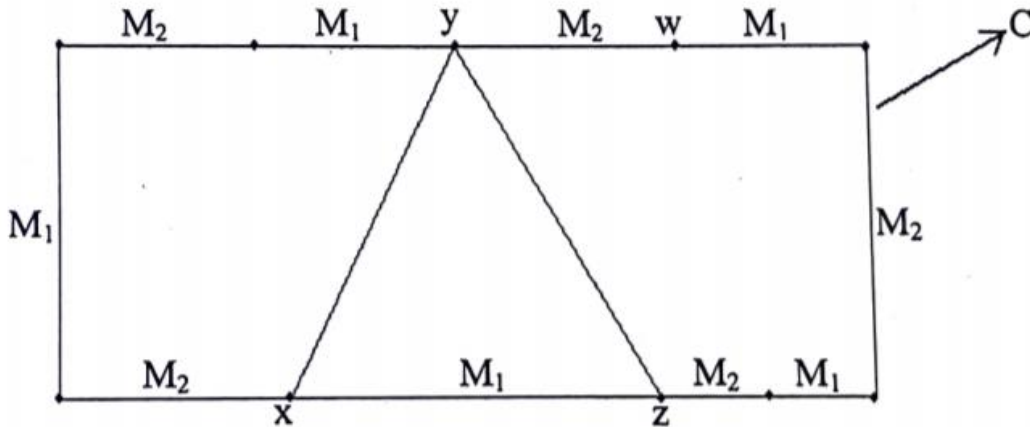
**Case 1.**  $xz$  and  $yw$  are in different components of  $H$ .





Now if  $yw$  is in the cycle  $C$  of  $H$ , then the edges of  $M_1$  in  $C$  together with the edges of  $M_2$  not in  $C$ , constitute a perfect matching in  $G^*$ . This is a contradiction since  $G^*$  has no perfect matching.

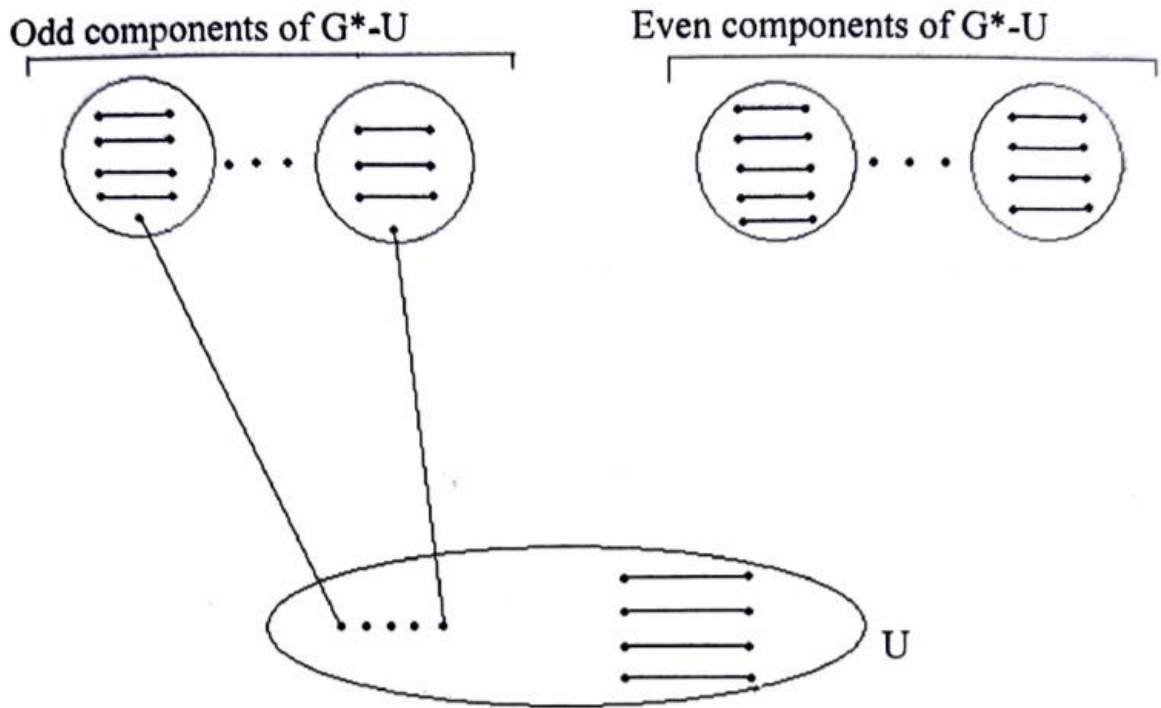
**Case 2.**  $xz$  and  $yw$  are in the same component  $C$  of  $H$ . By symmetry of  $x$  and  $z$ , we may assume that the vertices  $x, y, w$  and  $z$  occur in that order on  $C$ .



Then the edges of  $M_1$  in the section  $yw\dots z$  of  $C$ , together with the edge  $yz$  and the edges of  $M_2$  not in the section  $yw\dots z$  of  $C$ , constitute a perfect matching in  $G^*$ . This is a contradiction since  $G^*$  has no perfect matching. Hence,  $G^*-U$  is a disjoint union of complete graphs. Now by (1),  $o(G^*-U) \leq |U|$ . Therefore,  $G^*-U$  can have almost  $|U|$  odd components. This implies that  $G^*$  has

a perfect matching, as below.

One vertex in each odd component of  $G^*-U$  is matched with a vertex of  $U$ ; the remaining vertices in  $U$  and in components of  $G^*-U$ , are then matched to any vertex in the same component as illustrated. This is possible since each component is complete.



This is a contradiction to our assumption that  $G^*$  has no perfect matching. Hence  $G$  has a perfect matching. That is,  $G$  has a 1-factor.

**Corollary** Every 3-regular graph without cut edges has a perfect matching.

**Proof:** Let  $G$  be a 3-regular graph without cut edges. Let  $S$  be a subset of  $V$  such that  $S \neq V$  and let  $G_1, G_2, \dots, G_n$  be the odd components of  $G-S$ . Let  $\alpha_1$  be the number of edges with one end in  $G_1$  and the other end in  $S$ . Since  $G$  is 3-regular,

$$\sum_{v \in V(G_i)} d(v) = 3 \cdot p(G_i) \text{ for } 1 \leq i \leq n \text{ and } \sum_{v \in S} d(v) = 3|S|.$$

$$\text{Now, } \sum_{v \in V(G_i)} d(v) - \alpha_i = 2q(G_i)$$

$$\alpha_i = \sum_{v \in V(G_i)} d(v) - 2q(G_i)$$

We note that, since  $p(G_i)$  is odd,  $\sum_{v \in V(G_i)} d(v)$  is odd and hence  $\alpha_i$  is odd

Since  $G$  has no cut edge,  $\alpha_i \neq 1$  and thus  $\alpha_i \geq 3$  for  $1 \leq i \leq n$ .

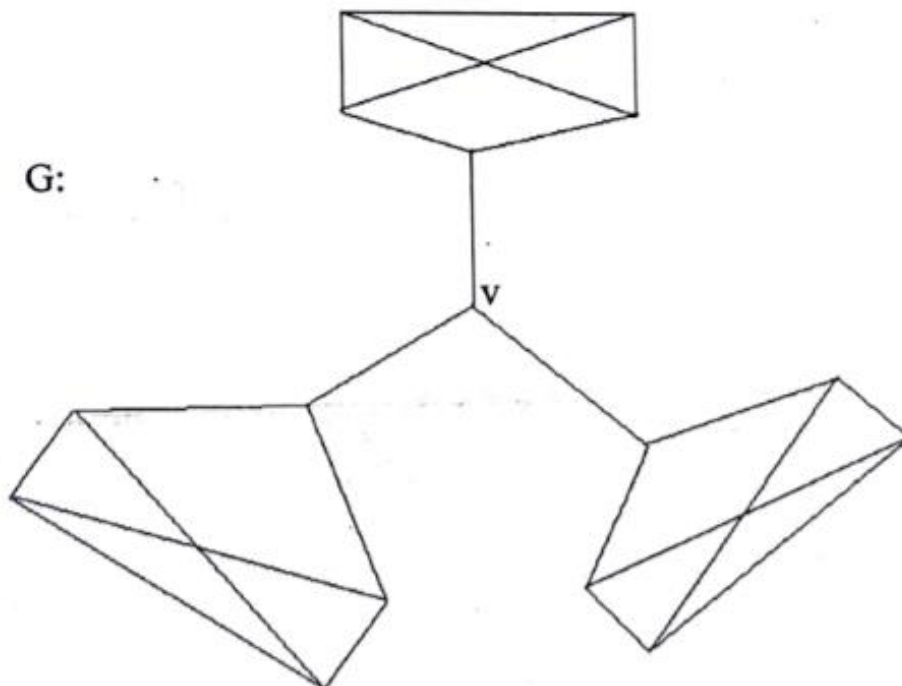
That is,  $(1/3)\alpha_i \geq 1$  for  $1 \leq i \leq n$ . Hence,  $(1/3) \sum_{i=1}^n \alpha_i \geq n$ .

$$\text{Now, } o(G-S) = n \leq (1/3) \sum_{i=1}^n \alpha_i \leq (1/3) \sum_{v \in S} d(v) = |S|.$$

By Tutte's theorem,  $G$  has a perfect matching.

**Remark:** A 3-regular graph with cut edges need not have a perfect matching

Consider the graph  $G$ . Clearly  $G$  is 3-regular and has cut edge. Since  $o(G-v) = 3$ , by Tutte's theorem,  $G$  has no perfect matching.



Now we turn to a special type of matchings, that is, matchings satisfying certain conditions. Matchings satisfying certain conditions are called stable matchings. In 1961, Gale and Shapley introduced stable matchings. It is customary to formulate the conditions and results in terms of marriage arrangements between boys and girls. So, naturally the corresponding graphs are simple bipartite and we consider only simple graphs, in this section. However, we have defined stable matching for a bipartite multigraph in the exercise.

**Stable Matching:** Given the preferences, a stable matching in  $G$  is a set  $M$  of independent edges of  $G$  such that if  $aB \in E(G) - M$ , then either  $aA \in M$  for some girl  $A$  preferred to  $B$  by  $a$ , or  $bB \in M$  for some boy  $b$  preferred to  $a$  by  $B$ .

**Example.** Consider a set of 4 boys  $\{a, b, c, d\}$ , a set of 4 girls  $\{A, B, C, D\}$  and their preferences as below.

Preference	1	2	3	4			1	2	3	4
a	A	B	C	D		A	c	a	b	d
b	A	C	B	D		B	b	d	a	c
c	C	D	A	B		C	d	a	b	c
d	C	B	A	D		D	a	b	c	a

**Result 1.** Every stable matching is a maximal matching in  $G$ .

**Stable Matching Theorem** For every assignment of preferences in a bipartite graph, there is a stable matching.

**Proof:** We consider a variant of the above algorithm, in which all boys and all girls act simultaneously, in rounds.

In every odd round, each boy proposes to his highest preference among those girls whom he knows and who have not yet refused him, and in every even round each girl refuses all but her highest suitor. The process ends when no girl refuses a suitor; then every girl marries her (only) suitor, if she has one. This process terminates after at most  $2nm$  rounds, since at most  $m(n-1)$  proposals are refused, where  $n$  is the number of boys and  $m$  is the number of girls.

Since at every stage each boy proposes to at most one girl, and each girl rejects all but at most one boy, this algorithm results with a matching.

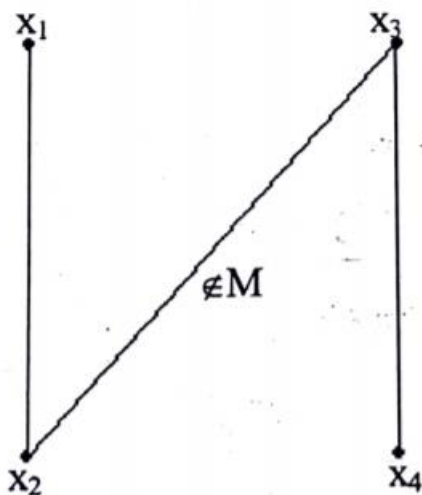
Now we prove that this matching is a stable matching. If  $aB \in E(G) - M$ , then either  $a$  never proposed to  $B$ , or  $a$  was refused by  $B$  during the algorithm. In the former case  $a$  marries a girl he prefers to  $B$ , as he never goes as low as  $B$ , and in the later case  $B$  refused  $a$  for a boy she prefers to  $a$  and got married. Hence this matching is a stable matching.

**Definition:** A cycle  $C$  is called preference-oriented cycle if it can be written in the form  $aAbB\dots zZ$  such that  $A$  prefers  $b$  to  $a$ ,  $b$  prefers  $B$  to  $A$ , and  $Z$  prefers  $a$  to  $z$ . That is, each person prefers the next person to previous person.

**Theorem** Let  $M$  and  $M'$  be two stable matchings in a bipartite graph with certain preferences, and let  $C$  be a component of the subgraph  $H$  formed by the edges of  $M \Delta M'$ . If  $C$  has at least three vertices, then it is a preference-oriented cycle. In particular, if  $aA, bB \in M$  and  $aB \in M'$ , then  $a$  prefers  $A$  to  $B$  if and only if  $B$  prefers  $a$  to  $b$ .

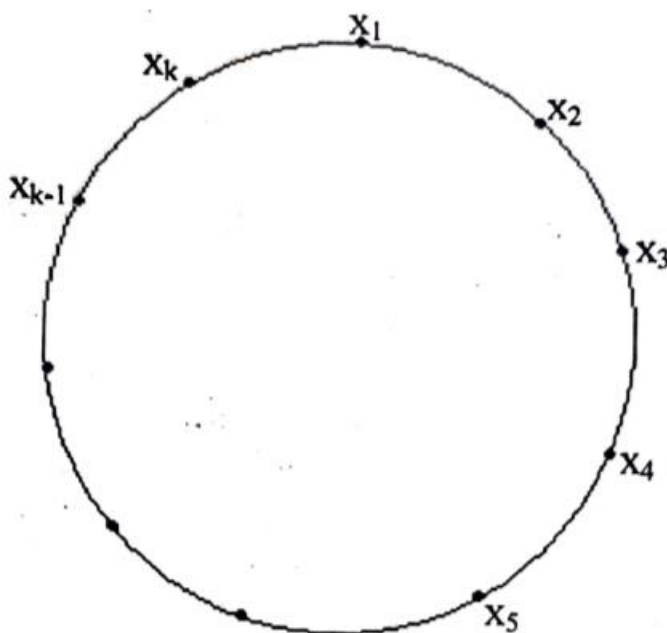
**Proof:** Without distinguishing between boys and girls, here, we write  $x_1, x_2$  for either of them. Clearly,  $C$  is either a path of length at least two or a cycle of length at least four.

If  $C$  has a path  $x_1x_2x_3x_4$ , With  $x_2$  preferring  $x_3$  to  $x_1$  and assuming  $x_2x_3 \notin M$  then it is clear that  $x_3$  prefers  $x_4$  to  $x_2$ , since  $M$  is stable. Using this fact, we prove that  $C$  is a preference-oriented cycle.



If  $x_1x_2x_3\dots x_k$  is a cycle and  $x_2$  prefers  $x_3$  to  $x_1$ , then considering the path  $x_1x_2x_3x_4$  we see that  $x_3$  prefers  $x_4$  to  $x_2$ . Next, consider the path  $x_2x_3x_4x_5$  we see that  $x_4$  prefers  $x_5$  to  $x_3$ .

Continuing in this way, we find that  $x_k$  prefers  $x_1$  to  $x_{k-1}$  and  $x_1$  prefers  $x_2$  to  $x_k$ . Thus,  $C$  is a preference-oriented cycle.



If  $C$  is a path  $x_1x_2\dots x_l$ ,  $l \leq 3$  and  $x_1x_2 \notin M$ , say, then  $x_2$  prefers  $x_3$  to  $x_1$ , since  $M$  is stable. Similarly,  $x_{l-1}$  prefers  $x_{l-2}$  to  $x_l$ . This is not possible, since, arguing as above,  $x_2$  prefers  $x_3$  to  $x_1$ ,  $x_3$  prefers  $x_4$  to  $x_2$ ,  $x_4$  prefers  $x_5$  to  $x_3$ , and so on,  $x_{l-1}$  prefers  $x_l$  to  $x_{l-2}$ .

Since the component of  $H$  containing the path  $AaBb$  is preference-oriented cycle, the particular case follows.

Note: It is worth to note that all stable matchings are incident with the same set of vertices.

**Theorem** For every assignment of preferences in a bipartite graph with bipartition  $(V_1, V_2)$ , there are subsets  $U_1 \subseteq V_1$  and  $U_2 \subseteq V_2$  such that every stable matching saturates all vertices of  $U_1$  and  $U_2$ .

In particular, all stable matchings have the same cardinality.

**Proof:** Suppose the theorem is not true. Then we can find some edge  $aA$  of  $M$  such that  $a$  is not incident with any edge of  $M'$ . Since  $M'$  is maximal, we can find some  $b \in V_1$ ,  $b \neq a$  such that  $bA \in M'$ . But then the component of  $a$  in the subgraph formed by the edges  $M \cup M'$  which contains  $a$ ,  $A$  and  $b$  is not a cycle. This is a contradiction. Hence the theorem.

## QUESTION BANK

### PART A

1. Prove that If  $G$  is a  $K$  – regular bipartite graph with  $k > 0$ , then  $G$  has a perfect matching. **CO2 (L2)**

2. Prove that every 3 – regular graph without cut edges has a perfect matching. **CO2 (L2)**

3. Prove that every stable matching is a maximal matching in  $G$ . **CO2 (L2)**

4. Define stable matching. **CO1 (L1)**

5. Consider a set of boys  $\{a, b, c, d\}$ , a set of 4 girls  $\{A, B, C, D\}$  and their preferences as below. Find the stable matching.

Preferences	1	2	3	4
a	A	B	C	D
b	A	C	B	D
c	C	D	A	B
d	C	B	A	D
	1	2	3	4
A	c	a	b	d
B	b	d	a	c
C	d	a	b	c
D	a	b	c	d

**CO2 (L1)**

5. a) Define minimal covering and minimum covering of a graph. **CO1 (L1)**

b) Prove that if  $M^*$  is a maximum matching and  $K^*$  is a minimum covering then,  $|M^*| \leq |K^*|$  **CO2 (L2)**

6. a) Define perfect matching. **CO1 (L1)**



b) Prove that a 3 – regular graph with cut edges need not have a perfect matching. **CO2 (L2)**

### **PART B**

1. Matching  $M$  in  $G$  is a maximum matching if and only if  $G$  contains no  $M$  – augmenting path - Discuss **CO5 (L6)**
2. A matching  $M$  in  $G$  is a maximum matching if and only if  $G$  contains no  $M$  augmenting path. Let  $G$  be a bipartite graph with bipartition  $(X, Y)$ . Then  $G$  contains a matching that saturates every vertex in  $X$  if and only if  $|N(S)| \geq |S|$  for all  $S \subseteq X$ . - Discuss **CO5 (L6)**
3. Prove that in a bipartite graph, the number of edges in a maximum matching is equal to the number of vertices in a minimum covering. **CO4 (L2)**
4. Discuss that A graph has a 1-factor if and only if  $|o(G - S)| \leq |S|$  for all  $S \subseteq V$  and  $S \neq V$ . **CO5 (L6)**
5. Prove that, for every assignment of preferences in a bipartite graph, there is a stable matching. **CO3 (L2)**
6. Let  $M$  and  $M'$  be two stable matching's in a bipartite graph with certain preferences, and let  $C$  be a component of the sub graph  $H$  formed by the edges of  $M \cup M'$ . If  $C$  has at least three vertices, then it is a preference-oriented cycle. In particular, if  $aA, bB \in M$  and  $aB \in M'$ , then  $a$  prefers  $A$  to  $B$  if and only if  $B$  prefers  $a$  to  $b$  - Discuss **CO3 (L6)**

## **UNIT – III – Advanced Graph Theory – SMT5207**

### III. Independence and Covering

**Content:** Independent sets – Edge - colouring – Vizing's Theorem – Vertex Colouring – Uniquely Colourable graphs – Critical graphs.

**Definition:** An **independent set** or **stable set** of a graph  $G$  is a subset  $S$  of  $V$  such that no two vertices of  $S$  are adjacent in  $G$ .  
For example, in the graph  $G$  of figure . 1, the set  $S = \{v_1, v_3, v_5\}$  is an independent set of  $G$ .

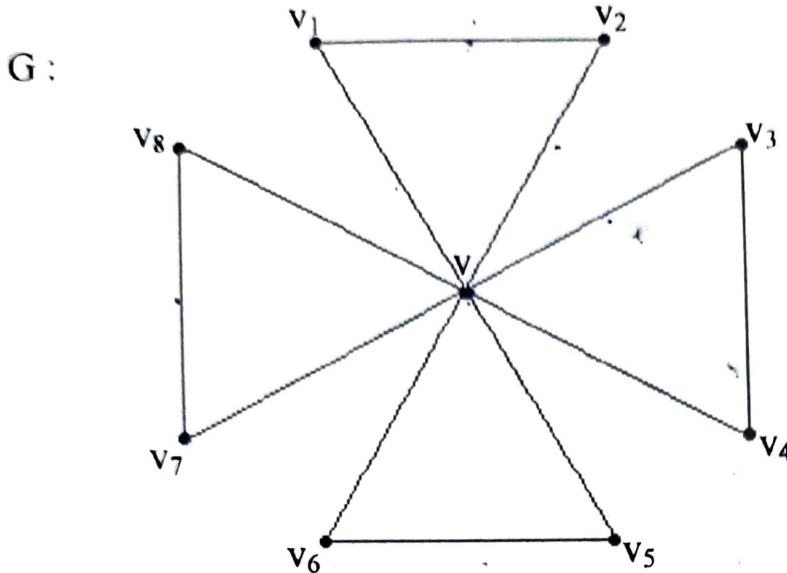


Figure . 1

**Definition:** An independent set  $S$  is called a **maximum independent set** of  $G$  if there is no independent set  $S'$  of  $G$  with  $|S'| > |S|$ .  
For example, the independent set  $S_1 = \{v_1, v_3, v_5, v_7\}$  of  $G$  of figure . 1 is a maximum independent set.

**Definition:** The number of vertices in a maximum independent set of  $G$  is called the **independence number** or **stability number** of  $G$  and is denoted by  $\alpha(G)$ .

For example, the independence number of the graph  $G$  of figure . 1 is 4, since  $|S_1| = 4$ .

We have defined covering of a graph in the chapter 6. A **covering** of a graph  $G$  is a subset  $K$  of  $V$  such that every edge of  $G$  has at least one end in  $K$ . A covering  $K$  is called a **minimum covering** if it is a covering of least cardinality.

**Definition:** The number of vertices in a minimum covering of  $G$  is called the **covering number** of  $G$  and is denoted by  $\beta(G)$ .

For example, the covering number of the graph  $G$  of figure . 1 is 5, since  $K = \{v, v_1, v_3, v_5, v_7\}$  is a minimum covering of  $G$ .

**Theorem** , A set  $S \subseteq V$  is an independent set of  $G$  if and only if  $V-S$  is a covering of  $G$ .

**Proof:** By definition,  
 $S$  is an independent set of  $G$  if and only if no edge of  $G$  has both ends in  $S$ .  
 if and only if each edge has at least one end in  $V-S$ .  
 if and only if  $V-S$  is a covering of  $G$ . #

**Corollary** In any graph  $G$ ,  $\alpha + \beta = p$ .

**Proof:** Let  $S$  be a maximum independent set and  $K$  be a minimum covering of  $G$ . By theorem we get  $V-K$  is an independent set of  $G$ . Therefore,  $|V-K| \leq |S|$ . This implies that  $p - \beta \leq \alpha$  or  $p \leq \alpha + \beta$ . By theorem , since  $S$  is an independent set,  $V-S$  is a covering of  $G$ . Since  $K$  is a minimum covering, we have,  $|K| \leq |V-S|$ . So,  $\beta \leq p - \alpha$ . Thus  $\alpha + \beta \leq p$ . Hence the corollary.

#

Now we introduce two similar concepts with respect to edges.

**Definition:** An **edge independent set** or **matching** of a graph  $G$  is a subset  $M$  of  $E$  such that no two edges of  $M$  are adjacent.

**Definition:** The number of edges in a maximum edge-independent set of  $G$  is called the **edge independence number** of  $G$  and is denoted by  $\alpha'(G)$ .

For the graph  $G$  of figure . 1,  $\alpha'(G) = 4$ .

**Definition:** An **edge covering** of a graph  $G$  is a subset  $L$  of  $E$  such that each vertex of  $G$  is an end of some edge in  $L$ .

**Note:** A graph  $G$  has an edge covering if and only if  $\delta > 0$ .

**Definition:** The number of edges in a minimum edge covering of  $G$  is called **edge covering number** of  $G$  and is denoted by  $\beta'(G)$ .

For example, the edge covering number of the graph  $G$  of figure . 1 is 5, since  $L = \{v_1v_2, v_3v_4, v_5v_6, v_7v_8, vv_1\}$  is a minimum edge covering of  $G$ .

**Theorem** In any graph  $G$  with  $\delta > 0$ ,  $\alpha' + \beta' = p$ .

**Proof:** Consider a maximum matching  $M$  in  $G$  with  $|M| = \alpha'$ . Let  $U$  denotes the set of all  $M$ -unsaturated vertices in  $G$ . Since  $M$  is maximum, no two vertices of  $U$  are adjacent. Since  $\delta > 0$ , we can find a set  $E'$  of  $|U|$  edges, one incident with each vertex in  $U$ . Clearly,  $M \cup E'$  is an edge covering of  $G$ . This implies that,

$$\beta' \leq |M \cup E'| = |M| + |E'| = \alpha' + (p - 2\alpha') = p - \alpha'.$$



Thus,  $\alpha' + \beta' \leq p$ .

Next, we consider a minimum edge covering  $L$  of  $G$  with  $|L| = \beta'$ .

Let  $H = G[L]$  and let  $M_1$  be a maximum matching in  $H$ . We denote the set of  $M_1$ -unsaturated vertices in  $H$  by  $U_1$ . Since  $M_1$  is maximum, no two vertices of  $U_1$  are adjacent. We can find a set  $F'$  of  $|U_1|$  edges in  $H$  one incident with each vertex in  $U_1$  and  $F' \subseteq L - M_1$ . Now

$$|L| - |M_1| = |L/M_1| \geq |F'| = |U_1| = p - 2|M_1|.$$

Since  $H$  is a subgraph of  $G$ ,  $M_1$  is a matching in  $G$  and  $|M_1| \leq \alpha'$ . Thus,  $\alpha' + \beta' \geq |M_1| + |L| \geq p$ . Hence, we get  $\alpha' + \beta' = p$ . #

**Theorem** In a bipartite graph with  $\delta > 0$ , the number of vertices in a maximum independent set is equal to the number of edges in a minimum edge covering.

**Proof:** Let  $G$  be a bipartite graph with  $\delta > 0$ . By corollary and theorem we have  $\alpha + \beta = \alpha' + \beta'$ . By Konig-Egarvary theorem, we have  $\alpha' = \beta$ . Hence we get  $\alpha = \beta'$ . #

## EDGE COLOURINGS

**Definition:** A  $k$ -edge colouring of a graph  $G$  is an assignment of  $k$  colours, usually denoted by  $1, 2, \dots, k$ , to the edges of  $G$  (one colour per edge).

Thus, a  $k$ -edge colouring of a graph  $G$  is a mapping  $\pi: E(G) \rightarrow \{1, 2, \dots, k\}$ .

**Definition:** An edge colouring is **proper** if no two adjacent edges have the same colour.

Thus, a proper  $k$ -edge colouring  $\pi$  of  $G$  is a mapping  $\pi: E(G) \rightarrow \{1, 2, \dots, k\}$  such that  $\pi(e) \neq \pi(e')$  whenever  $e$  and  $e'$  are adjacent in  $G$ .

**Remark:** Clearly, if  $G$  has a  $k$ -edge colouring then the edge set  $E(G)$  has a partition  $(E_1, E_2, \dots, E_k)$  where  $E_i$  denotes all edges of  $E$  (possibly empty) which are coloured with the colour  $i$ . We note that if the colouring is proper then each  $E_i$  is a matching.

**Definition:** A graph  $G$  is  **$k$ -edge colourable** if  $G$  has a proper  $k$ -edge colouring.

**Note:**

1. Clearly, every graph  $G$  is  $q$ -edge colourable.
2. If  $G$  is  $k$ -edge colourable, then  $G$  is also  $k'$ -edge colourable for every  $k' > k$ .

**Definition:** The edge chromatic number  $\chi_1(G)$  of a graph  $G$  is the minimum  $k$  for which  $G$  is  $k$ -edge colourable.

$G$  is said to be  **$k$ -edge chromatic** if  $\chi_1(G) = k$ .

**Examples:**

1. Consider a path  $P_n$  ( $n \geq 2$ ) with 2 colours. We can give a proper 2-edge colouring to  $P_n$  by alternating the 2 colours about  $P_n$  and 2 is the minimum. Hence  $\chi_1(P_n) = 2$ .
2. Since  $K_p^c$  has no edges,  $\chi_1(K_p^c) = 0$ .
3. Consider a cycle  $C_n$ . When  $n$  is even,  $\chi_1(C_n) = 2$  as in the case 1. When  $n$  is odd, if we try to colour the edges of  $C_n$  with 2 colours, we must alternate the two colours about  $C_n$ . But then two adjacent edges must be assigned the same colour. So three colours are needed to give a proper edge colouring. Hence,  $\chi_1(C_n) = 3$ , if  $n$  is odd.
4. Consider the star  $K_{1,n}$ . Since any two edges are adjacent, we need at least  $n$  colours to give a proper edge colouring to the star. Hence,  $\chi_1(K_{1,n}) = n$ .

**Observation:** Since, in any proper edge colouring, the edges incident with any one vertex must be assigned different colours, we have  $\chi_1(G) \geq \Delta(G)$ .

**Note:** We say that colour  $i$  is represented at vertex  $v$  if some edge incident with  $v$  has colour  $i$ .

**Theorem .** The edge chromatic number of a complete graph on  $n$  vertices is  $n$ , if  $n$  is odd ( $n \neq 1$ );  $n-1$  if  $n$  is even.

**Proof:** If  $n=2$  then the result is immediate. Hence we assume that  $n > 2$ . Let  $n$  be odd. We place the vertices of  $K_n$  in the form of a regular polygon. Colour the edges around the boundary using a different colour for each edge. Now each of the remaining 'internal edges' of  $G$  is parallel to exactly one on the boundary and we assign it the same colour as we have assigned to the edge on the boundary. Since two edges have the same colour only if they are parallel, this colouring is a proper  $n$ -edge colouring. So,  $\chi_1(K_n) \leq n$ .

Since the maximum number of edges with a particular colour is  $\frac{1}{2}(n-1)$  and  $K_n$  has  $\frac{1}{2}(n(n-1))$  edges, we need at least  $\frac{\frac{1}{2}(n(n-1))}{\frac{1}{2}(n-1)} = n$  colours for a proper edge colouring. Hence  $\chi_1(K_n) = n$ .

Now let us assume that  $n$  is even. For any graph  $G$ ,  $\chi_1(G) \geq \Delta(G)$  and so we get  $\chi_1(K_n) \geq n-1$ . Hence it is enough if we prove that  $K_n$  has a



proper  $n-1$  edge colouring of  $G$ . Let  $v$  be some fixed vertex of  $K_n$ . Consider  $K_n - \{v\}$ . This is complete with  $n-1$  vertices. Since  $n-1$  is odd, by the previous case, we have a proper  $n-1$  edge colouring of  $K_n - \{v\}$ , as described above. With this colouring there is a colour absent at each vertex, namely the colour assigned to the edge opposite to the vertex, with different vertices having different absentees. This proper edge colouring can be extended to a proper edge colouring of  $K_n$ . Colour each edge  $vw$  where  $w$  is a vertex of  $K_n - \{v\}$  with the colour absent at  $w$ . This gives a proper  $(n-1)$  edge colouring of  $K_n$  and hence the theorem. #

**Aliter:** Let  $v_1, v_2, \dots, v_n$  be the vertices of  $K_n$  and let  $n$  be odd. For the edge joining  $v_i$  and  $v_j$ , give the colour  $k+1$  where  $k = i+j \pmod{n}$ . If  $n$  is even, colour  $K_n - v_n$  as above. For the edge joining  $v_i$  and  $v_n$ , give colour  $k+1$  where  $k = 2i \pmod{n}$ . It is easy to verify that this gives a proper edge colouring.

**Theorem** . . . Let  $G$  be a connected graph that is not an odd cycle. Then  $G$  has a 2-edge colouring in which both colours are represented at each vertex of degree at least two.

**Proof:** If  $G$  is trivial then there is nothing to prove. Hence we assume that  $G$  is non-trivial.

**Case 1.**  $G$  is eulerian.

If  $G$  itself is an even cycle, the proper 2-edge colouring of  $G$  has the required property. Otherwise, since  $G$  is eulerian a vertex  $v_0$  repeats on the eulerian tour and hence  $G$  has a vertex  $v_0$  of degree at least four. Let  $v_0 e_1 v_1 \dots e_k v_0$  be an Euler tour of  $G$ . Now we set,

$$E_1 = \{e_i / i \text{ is odd}\} \text{ and } E_2 = \{e_i / i \text{ is even}\}.$$

Now, since each vertex of  $G$  is an internal vertex of  $v_0 e_1 v_1 \dots e_k v_0$ , the 2-edge colouring  $(E_1, E_2)$  of  $G$  satisfies the theorem.

**Case 2.**  $G$  is not eulerian.

Now we introduce a new vertex  $v_0$  and join  $v_0$  to each vertex of odd degree in  $G$ . Let the new graph be  $G^*$ . Since the number of vertices of odd degree is even, the new graph  $G^*$  is eulerian. Let  $v_0 e_1 v_1 \dots e_k v_0$  be an Euler tour of  $G^*$  and we define  $E_1$  and  $E_2$  as in the previous case. Clearly, the 2-edge colouring  $(E_1 \cap E, E_2 \cap E)$  of  $G$  satisfies the theorem. #

**Note:** Consider a  $k$ -edge colouring  $\pi$  of  $G$ . We denote the number of distinct colours represented at  $v$  by  $c(v)$ . Clearly  $c(v) \leq d(v)$  and equality holds for every vertex of  $G$  if and only if  $\pi$  is a proper  $k$ -edge colouring of  $G$ .

**Definition:** A  $k$ -edge colouring  $\pi'$  of  $G$  is said to be an **improvement** on  $\pi$  if

$$\sum_{v \in V} c'(v) > \sum_{v \in V} c(v)$$

where  $c'(v)$  is the number of distinct colours represented at  $v$  in the colouring  $\pi'$ .

**Definition:** An **optimal  $k$ -edge colouring** is one, which cannot be improved.

**Theorem** Let  $\pi = (E_1, E_2, \dots, E_k)$  be an optimal  $k$ -edge colouring of  $G$ . If there is a vertex  $u$  in  $G$  and colours  $i$  and  $j$  such that  $i$  is not represented at  $u$  and  $j$  is represented at least twice at  $u$ , then the component of  $G[E_i \cup E_j]$  that contains  $u$  is an odd cycle.

**Proof:** Let  $u$  be a vertex of  $G$  such that colour  $i$  is not represented at  $u$  and  $j$  is represented at least twice at  $u$ . Let  $H$  be the component of  $G[E_i \cup E_j]$  containing  $u$ . We prove that  $H$  is an odd cycle. If not, then by theorem 7.6,  $H$  has a 2-edge colouring in which both colours are represented at each vertex of degree at least two in  $H$ . Now, we recolour the edges of  $H$  with colours  $i$  and  $j$  in this way and so we get a new  $k$ -edge colouring  $\pi' = (E'_1, E'_2, \dots, E'_k)$  of  $G$ . Let  $c'(v)$  denote the number of distinct colours at  $v$  in the colouring  $\pi'$ . Clearly, both colours  $i$  and  $j$  are represented at  $u$  in  $\pi'$  and so  $c'(u) = c(u) + 1$  and also  $c'(v) \geq c(v)$  for  $v \neq u$ .

Thus, 
$$\sum_{v \in V} c'(v) > \sum_{v \in V} c(v).$$

This is a contradiction to the fact that  $\pi$  is an optimal  $k$ -edge colouring. Hence  $H$  is an odd cycle. #

**Theorem** If  $G$  is a bipartite graph, the  $\chi_1(G) = \Delta(G)$ .

**Proof:** Let  $G$  be a bipartite graph. We know that  $\chi_1(G) \geq \Delta(G)$  for any graph. Suppose  $\chi_1(G) > \Delta(G)$ , let  $\pi = (E_1, E_2, \dots, E_\Delta)$  be an optimal  $\Delta$ -edge colouring of  $G$ . Since  $\pi$  is not proper, we can find a vertex  $u$  such that  $c(u) < d(u)$ . That is, at  $u$ , some colour is not represented and some other colour is represented at least twice. Now, by theorem ,  $G$  contains an odd cycle. This is a contradiction to the fact that  $G$  is bipartite. Hence,  $\chi_1(G) = \Delta(G)$ . #

**Corolla**  $\chi_1(K_{m,n}) = \max \{m, n\}.$



## APPLICATION

Now we present a simple but interesting application of edge colourings.

Latin squares are used frequently by statisticians and quality control analysts in experimental design.<sup>11</sup> Here, we consider construction of Latin squares.

A Latin square is an  $n \times n$  matrix having the numbers  $1, 2, \dots, n$  as their entries such that no single number appears more than once in any row or any column.

Here, we show that the construction of a Latin square of order  $n$  using an  $n$ -edge colouring of the complete bipartite graph  $K_{n,n}$ . By theorem 7.8,  $K_{n,n}$  has a proper  $n$ -edge colouring but no proper edge colouring with less than  $n$  colours. Let the bipartition of  $K_{n,n}$  be  $(X, Y)$  where  $X = \{v_1, v_2, \dots, v_n\}$  and  $Y = \{u_1, u_2, \dots, u_n\}$  and denote the colours of the proper  $n$ -edge colouring by  $1, 2, \dots, n$ . Now we define the matrix  $A = (a_{ij})$  by

$a_{ij} = k$  if the edge  $v_i u_j$  is coloured with  $k$ .

Since the edges incident with the same vertex  $v_i$  have different colours, all the elements of the  $i^{\text{th}}$  row are different. Similarly for columns.

**Note:** Conversely any  $n \times n$  Latin square can be used to give a proper edge colouring of  $K_{n,n}$ .

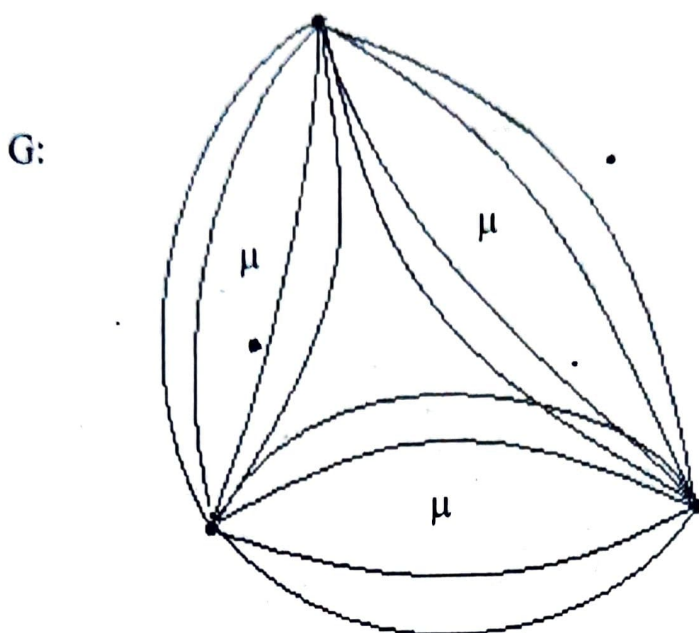
## VIZING'S THEOREM

Now we find bounds for the edge chromatic number of a graph.

The maximum number of edges joining two vertices in  $G$  is called the **multiplicity** of  $G$ , and denoted by  $\mu(G)$ .

**Theorem** For any graph  $G$ ,  $\Delta \leq \chi_1 \leq \Delta + \mu$ .

This theorem is best possible in the sense that for any  $\mu$ , there exists a graph  $G$  such that  $\chi_1 = \Delta + \mu$ . For example, consider the graph  $G$  of figure



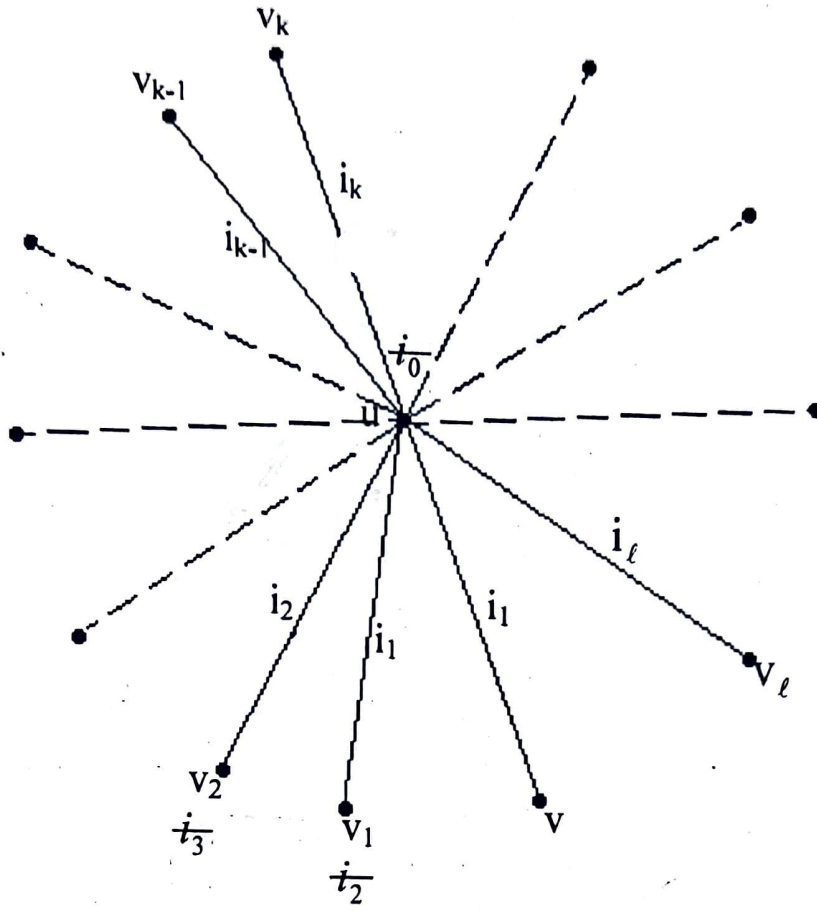
Here  $\Delta = 2\mu$  and  $\chi_1 = q(G) = 3\mu$  since any two edges are adjacent. Hence,  $\chi_1 = \Delta + \mu$ .

Now we prove this result for simple graphs and the proof is due to Fournier (1973).

**Theorem** If  $G$  is a simple graph, then  $\Delta \leq \chi_1 \leq \Delta + 1$ .

That is,  $\chi_1 = \Delta$  or  $\chi_1 = \Delta + 1$ .

**Proof:** We know that, for any graph  $G$ ,  $\chi_1 \geq \Delta$ . So, it is enough if we prove that  $\chi_1 \leq \Delta + 1$ . Suppose  $\chi_1 > \Delta + 1$ . Let  $\pi = (E_1, E_2, \dots, E_{\Delta+1})$  be an optimal  $(\Delta+1)$ -edge colouring of  $G$ . Since  $\chi_1 > \Delta + 1$  and  $\pi$  is an  $(\Delta+1)$ -edge colouring,  $\pi$  is not proper and we have a vertex  $u$  such that  $c(u) < d(u)$ . So there exists colours  $i_0$  and  $i_1$  such that  $i_0$  is not represented at  $u$  and  $i_1$  is represented at least twice at  $u$ . Let the edges  $uv$  and  $uv_1$  have colour  $i_1$ . Since  $d(v_1) < \Delta + 1$ , some colour  $i_2$  is not represented at  $v_1$ . Now  $i_2$  must be represented at  $u$  since otherwise, recolouring  $uv_1$  with  $i_2$  we would obtain an improvement on  $\pi$ . Thus some edge  $uv_2$  has colour  $i_2$ .



Again, since  $d(v_2) < \Delta+1$ , some colour  $i_3$  is not represented at  $v_2$  and  $i_3$  must be represented at  $u$  since otherwise by recolouring  $uv_1$  with  $i_2$  and  $uv_2$  with  $i_3$ , we would obtain an improved  $(\Delta+1)$  edge colouring. Thus some edge  $uv_3$  has colour  $i_3$ .

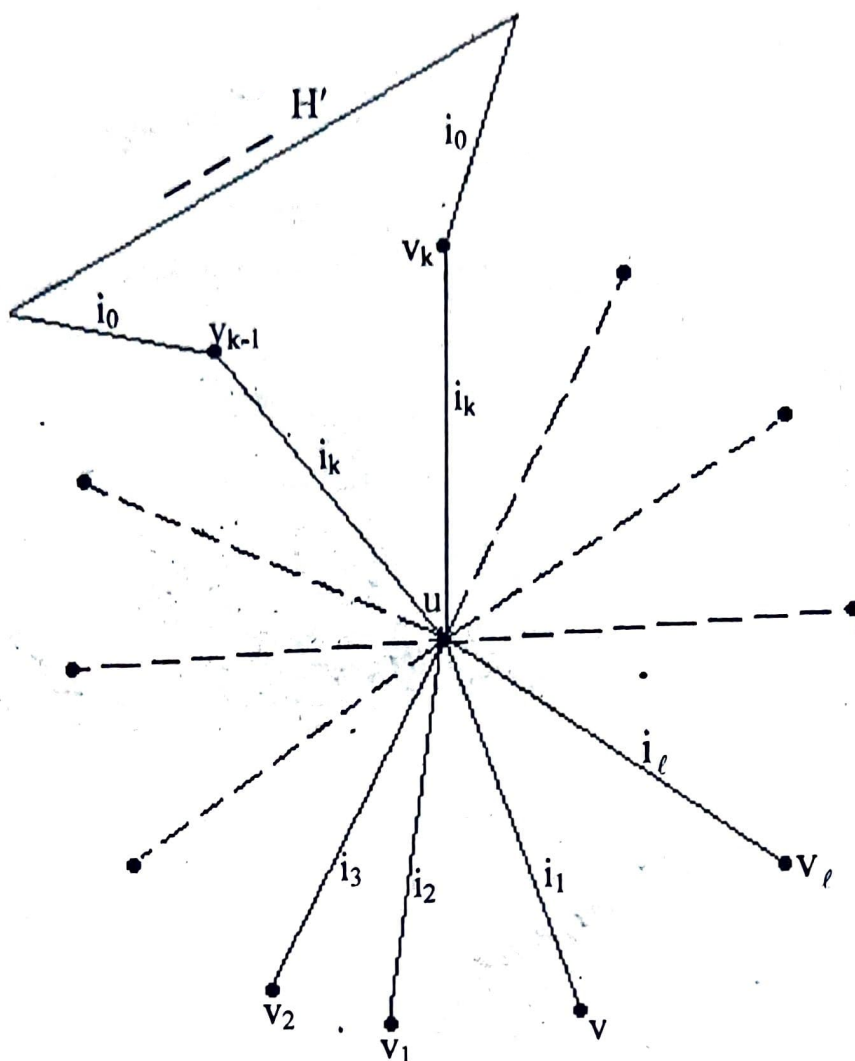
Continuing this process, we construct a sequence  $v_1, v_2, v_3, \dots$  of vertices and a sequence  $i_1, i_2, i_3, \dots$  of colours such that

- (i)  $uv_j$  has colour  $i_j$  and
- (ii)  $i_{j+1}$  is not represented at  $v_j$ .

Since the degree of  $u$  is finite, there exists a smallest positive integer  $\ell$  such that for some  $k < \ell$

- (iii)  $i_{\ell+1} = i_k$ .

We now recolour edges of  $G$  as follows. For  $1 \leq j \leq k-1$ , recolour  $uv_j$  with colour  $i_{j+1}$  and leaving other edge colours unchanged we get a new  $(\Delta+1)$ -edge colouring  $\pi' = (E'_1, E'_2, \dots, E'_{\Delta+1})$ .

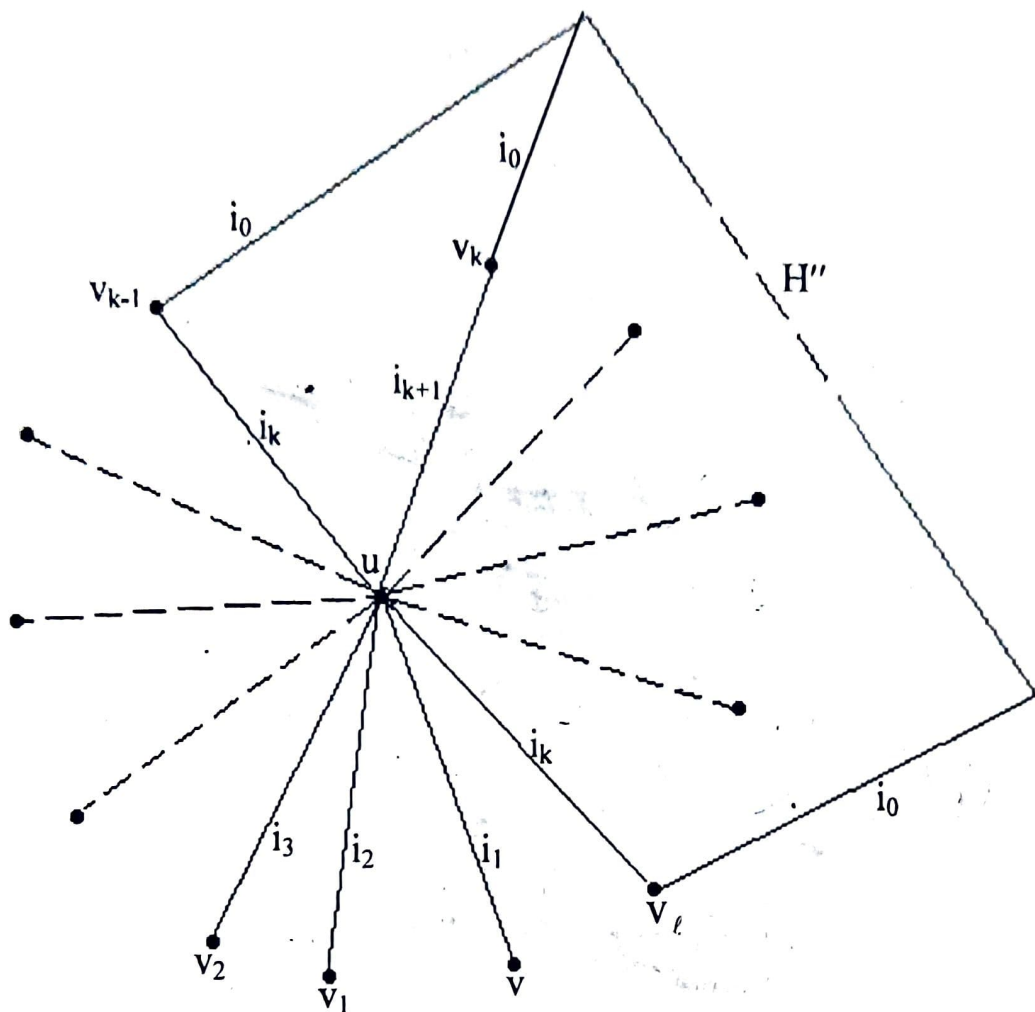


We note that  $c'(v) \geq c(v)$  for all  $v \in V$  and so  $\pi'$  is also an optimal  $(\Delta+1)$ -edge colouring of  $G$ . By theorem the component  $H'$  of  $G[E'_{i_0} \cup E'_{i_k}]$  that contains  $u$  is an odd cycle, since  $i_0$  is not represented and  $i_k$  is represented twice at  $u$ .

Now, in addition, for  $k \leq j \leq \ell$ , recolour  $uv_j$  with colour  $i_{j+1}$  and this gives a new  $(\Delta+1)$ -edge colouring  $\pi'' = (E''_1, E''_2, \dots, E''_{\Delta+1})$ .

Clearly,  $c''(v) \geq c(v)$  for all  $v \in V$  and the component  $H''$  of  $G[E''_{i_0} \cup E''_{i_k}]$  that contains  $u$  is an odd cycle.





Both  $H'$  and  $H''$  contain the vertex  $v_k$ . Since  $v_k$  has degree two in  $H'$ , the degree of  $v_k$  in  $H''$  is one. This is a contradiction since  $H''$  is an odd cycle. Hence the theorem. #

### Solved Problems

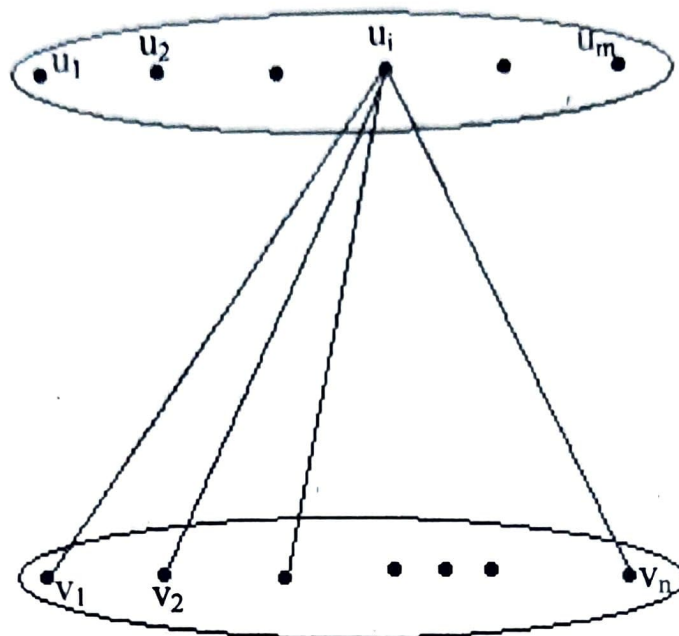
1. Show that if  $G$  is bipartite with  $\delta > 0$ , then  $G$  has a  $\delta$ -edge colouring such that all  $\delta$  colours are represented at each vertex.

**Solution:** Consider an optimal  $\delta$ -edge colouring of the graph  $G$ . If there is some vertex  $u$  such that all the  $\delta$  colours are not represented then some colour is represented at least twice at  $u$ .

Let the colour  $i$  be not represented at  $u$  and the colour  $j$  be represented twice at  $u$ . Then the component of  $G[E_i \cup E_j]$  that contains  $u$  is an odd cycle. This is a contradiction to the fact that  $G$  is bipartite. Hence the solution.

2. Show by finding an appropriate edge colouring, that  $\chi_1(K_{m,n}) = \Delta(K_{m,n})$ .

**Solution:** Consider a complete bipartite graph  $G$  with bipartition  $(X, Y)$  where  $X$  contains  $u_1, u_2, \dots, u_m$  and  $Y$  contains  $v_1, v_2, \dots, v_n$ .



Figure

Let  $\max \{n, m\} = n$ . Consider the edge  $u_i v_j$ . Divide  $i+j$  by  $n$  and let  $r$  be the remainder. Colour the edge  $u_i v_j$  by the colour  $r+1$ . This colouring uses only  $n$  colours and for any particular  $i$ , the  $n$  numbers  $i+1, i+2, \dots, i+n$  gives different remainder when divided by  $n$ . It is easy to see that this is a proper  $n$ -edge colouring and so  $\chi_1(K_{m,n}) \leq n$ .

Since for any graph  $G$ ,  $\chi_1(G) \geq \Delta = n$ , we conclude  $\chi_1(K_{m,n}) = n = \Delta(K_{m,n})$ .

## VERTEX COLOURINGS

Instead of colouring edges, here, we colour vertices.

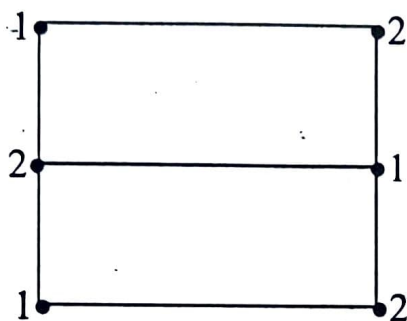
**Definition:** A  **$k$ -vertex colouring** of a graph  $G$  is an assignment of  $k$  colours, usually denoted by  $1, 2, \dots, k$ , to the vertices of  $G$ . Thus, a  $k$ -vertex colouring of a graph  $G$  is a mapping  $\pi: V(G) \rightarrow \{1, 2, \dots, k\}$ .

**Definition:** A vertex colouring is **proper** if no two distinct adjacent vertices have the same colour.

Thus, a proper  $k$ -vertex colouring  $\pi$  of  $G$  is a mapping  $\pi: V(G) \rightarrow \{1, 2, \dots, k\}$  such that  $\pi(v_1) \neq \pi(v_2)$  whenever  $v_1$  and  $v_2$  are adjacent in  $G$ .

**Remark:** Clearly, if  $G$  has a proper  $k$ -vertex colouring then the vertex set  $V(G)$  has a partition  $(V_1, V_2, \dots, V_k)$ , where  $V_i$  denotes all vertices of  $V$  (possibly empty) which are coloured with the colour  $i$ ; each  $V_i$  is an independent set.

**Example:** Consider the graph  $G$  of figure      A proper 2-vertex colouring is illustrated in the figure



Figure

**Definition:** A graph  $G$  is  **$k$ -vertex colourable** if  $G$  has a proper  $k$ -vertex colouring.

**Notation:** It is customary to abbreviate a proper vertex colouring as a colouring, a proper  $k$ -vertex colouring as a  $k$ -colouring and  $k$ -vertex colourable as  $k$ -colourable.

**Definition:** The **chromatic number**  $\chi(G)$ , of a graph  $G$ , is the minimum  $k$  for which  $G$  is  $k$ -colourable.

$G$  is said to be  **$k$ -chromatic** if  $\chi(G) = k$ .

**Remark:** Since presence of multiple edges **do not** change the chromatic number, when we consider vertex colourings, we consider only simple graphs. **Therefore, in the rest of this chapter, graph means simple graph.**

**Examples:**

1. Consider a path  $P_n$  ( $n \geq 2$ ). We can give a 2-colouring to the vertices of  $P_n$  by alternating the 2 colours about  $P_n$  and 2 is the minimum. Hence  $\chi(P_n) = 2$ .
2. Since  $K_p^c$  has no edges,  $\chi(K_p^c) = 1$ .
3. Consider a cycle  $C_n$ . When  $n$  is even,  $\chi(C_n) = 2$  as in the example 1. When  $n$  is odd, if we try to colour the vertices of  $C_n$  with 2 colours, we must alternate the two colours about  $C_n$ . But then two adjacent



vertices must be assigned the same colour. So, three colours are needed to give a colouring. Hence  $\chi(C_n) = 3$ , if  $n$  is odd.

4. Consider the star  $K_{1,n}$ . Colouring all the end vertices with one colour and the other vertex by another colour, we have  $\chi(K_{1,n}) = 2$ .

The following results are easy to prove.

#### Results

1. If  $G$  is a  $(p,q)$  graph, then  $\chi(G) \leq p$ .
2. If  $H$  is a subgraph of a graph  $G$ , then  $\chi(H) \leq \chi(G)$ .
3.  $\chi(K_p) = p$ .
4. If  $K_p$  is a subgraph of  $G$ , then  $\chi(G) \geq p$ .
5. If  $G_1, G_2, \dots, G_k$  are the components of  $G$ , then  $\chi(G) = \max_{1 \leq i \leq k} \chi(G_i)$ .

**Theorem** A non-empty graph  $G$  is 2-colourable if and only if  $G$  is bipartite.

**Proof:** Let  $G$  be 2-colourable. Let  $X$  denotes the set of all vertices of colour 1 and  $Y$  denote the set of all vertices of colour 2. Since no two adjacent vertices can have the same colour, there is no edge between any two vertices of  $X$  and no edge between any two vertices of  $Y$ . So,  $(X,Y)$  is a bipartition of  $G$ . Hence  $G$  is bipartite.

Conversely, let  $G$  be a bipartite graph with bipartition  $(X,Y)$ . Now we assign colour 1 to the vertices of  $X$  and colour 2 to the vertices of  $Y$ . Since  $G$  is non-empty,  $\chi(G) = 2$ . Hence,  $G$  is 2-colourable. #

### UNIQUELY COLOURABLE GRAPHS

Now, we present a few results on uniquely colourable graphs. Harary, Hedetniemi and Robinson (1969) proved many results on the construction of uniquely colourable graphs. Chartrand and Geller (1969) and Aksionov (1977) obtained good results on uniquely colourable planar graphs.

**Definition:** A graph  $G$  is said to be **uniquely  $k$ -colourable** if all  $k$ -colourings of  $G$ , with no colour class empty, induce the same partition of  $V$ .

**Definition:** Two  $k$ -colourings of a graph  $G$  are different if they induce different partitions. That is, two colourings  $(V_1, V_2, \dots, V_k)$  and  $(V'_1, V'_2, \dots, V'_k)$  are different if  $\{V_1, V_2, \dots, V_k\} \neq \{V'_1, V'_2, \dots, V'_k\}$ . Each  $V_i$  is called a colour class.



### Examples

1. The only uniquely 1-colourable graphs are empty graphs.
2. The only uniquely 2-colourable graphs are connected bipartite graphs.
3. Clearly,  $K_3$  is uniquely 3-colourable and  $K_n$  is uniquely  $n$ -colourable.

**Theorem** If  $G$  is uniquely  $k$ -colourable then  $\delta(G) \geq k-1$ .

**Proof:** Consider a vertex  $v$  of  $G$ , which is uniquely  $k$ -colourable. In any  $k$ -colouring,  $v$  must be adjacent with at least one vertex of every colour different from that assigned to  $v$ . Otherwise, by recolouring  $v$  with a colour which is not represented at any adjacent vertex of  $v$ , we get a different  $k$ -colouring and hence a different partition of  $V$ . Therefore,  $d(v) \geq k-1$  and hence  $\delta(G) \geq k-1$ . #

**Theorem** If  $G$  is uniquely  $k$ -colourable then the subgraph induced by the union of any two colour classes of a  $k$ -colouring of  $G$  is connected.

**Proof:** Let  $G$  be a uniquely  $k$ -colourable graph and let  $C_1, C_2$  be two colour classes in a  $k$ -colouring of  $G$ . Let  $C_{1,2}$  be the subgraph induced by  $C_1 \cup C_2$ . Suppose  $C_{1,2}$  is not connected, let  $H$  be a component of  $C_1 \cup C_2$ . Clearly, no vertex of  $H$  is adjacent to a vertex in  $V(G)/V(H)$  that is coloured with 1 or 2. Now, interchanging the colours of the vertices of  $H$  and retaining the original colours for all other vertices, we get a different  $k$ -colouring of  $G$ . This is not possible, since  $G$  is uniquely  $k$ -colourable. Hence  $C_{1,2}$  is connected. #

**Theorem** If  $G$  is uniquely  $k$ -colourable then  $G$  is  $(k-1)$ -connected.

**Proof:** If  $G$  is a complete graph on  $k$  vertices then it is  $(k-1)$ -connected.

Suppose  $G$  is an incomplete uniquely  $k$ -colourable graph which is not  $(k-1)$ -connected. Let  $S$  be a vertex cut of  $G$  with at most  $(k-2)$  vertices. Then, at least two colours of any  $k$ -colouring of  $G$  will not be present in  $S$ . Let the colours be 1 and 2. Since the subgraph induced by the colours 1 and 2 is connected, it is contained in some component of  $G - S$ . Now, if we recolour any vertex in some other component of  $G - S$  with colour 1 or 2, we get a different  $k$ -colouring of  $G$ . This is a contradiction, since  $G$  is uniquely  $k$ -colourable. Hence the theorem. #

## 7.7 CRITICAL GRAPHS

Critical graphs play a vital role in the study of colourings. Dirac (1952) was the first person to make an extensive study of critical graphs. A survey

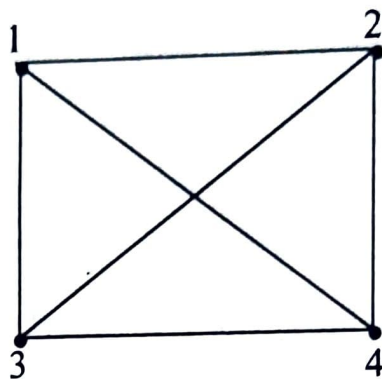
by Toft, is an interesting and useful survey which contains many results on critical graphs.

**Definition:** A graph  $G$  is **critical** if  $\chi(H) < \chi(G)$  for every proper subgraph  $H$  of  $G$ .

**Definition:** A graph which is critical and  $k$ -chromatic is called a  **$k$ -critical graph**.

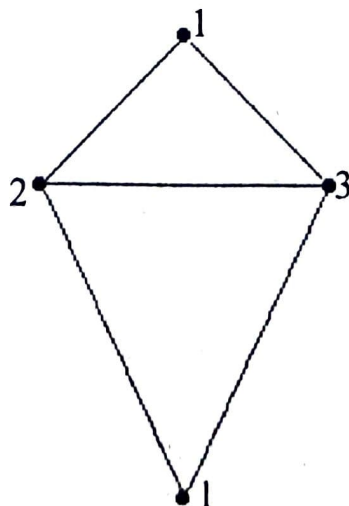
**Example**

Consider the complete graph on 4 vertices,  $K_4$ . We show that  $K_4$  is 4-critical. We know that  $\chi(K_4) = 4$ .



If we remove 1, 2 or 3 vertices then we get  $K_3$ ,  $K_2$ ,  $K_1$  respectively. In these cases, the chromatic number is 3,2,1 respectively. Therefore, the chromatic number decreases strictly.

If we remove any single edge from  $K_4$ , the resulting graph is two triangles with a common edge, which is 3 chromatic. In this case also, the chromatic number decreases strictly. All other cases can be disposed similarly.



Hence,  $K_4$  is 4-critical.



## Results

1. Every  $k$ -chromatic graph has a  $k$ -critical subgraph.

**Proof:** Let  $G$  be a  $k$ -chromatic graph. If  $G$  is critical, then  $G$  is the required subgraph. Otherwise,  $G$  has a subgraph  $H$  such that  $\chi(H) = \chi(G)$ . If  $H$  is critical, then  $H$  is the required subgraph. Otherwise, we repeat this process and we get a  $k$ -critical subgraph.

2. Every critical graph is connected.

**Proof:** Consider a critical graph  $G$  and let  $\chi(G) = k$ . Suppose  $G$  is not connected, let  $G_1, G_2, \dots, G_n$  be its components.

$$\text{Let } \alpha = \max_{1 \leq i \leq n} \chi(G_i).$$

By 7.12(5),  $k = \alpha$ . But since  $G$  is critical,  $\alpha < k$ , which is a contradiction. Hence  $G$  is connected.

**Theorem** If  $G$  is  $k$ -critical, then  $\delta \geq k-1$ .

**Proof:** Suppose  $G$  is a  $k$ -critical graph with  $\delta < k-1$ . Let  $v$  be a vertex of degree  $\delta$  in  $G$ . Since  $G$  is  $k$ -critical,  $G-v$  is  $(k-1)$ -colourable. Since degree of  $v$  is  $\delta$ , we can find a colour in this  $(k-1)$ -colouring which is not represented at any adjacent vertex of  $v$ . Now we can assign this colour to  $v$ . Hence  $G$  is  $(k-1)$ -colourable. This is a contradiction to the fact that  $G$  is  $k$ -chromatic. Hence  $\delta \geq k-1$ . #

**Corollary** Every  $k$ -chromatic graph has at least  $k$  vertices of degree at least  $k-1$ .

**Proof:** Let  $G$  be a  $k$ -chromatic graph. By result , it has a  $k$ -critical subgraph, say  $H$ . By theorem , each vertex of  $H$  has degree at least  $k-1$  in  $H$  and hence also in  $G$ . Since  $H$  is  $k$ -chromatic, it has at least  $k$ -vertices. Hence the result. #

**Corollary** For any graph  $G$ ,  $\chi(G) \leq \Delta(G)+1$ .

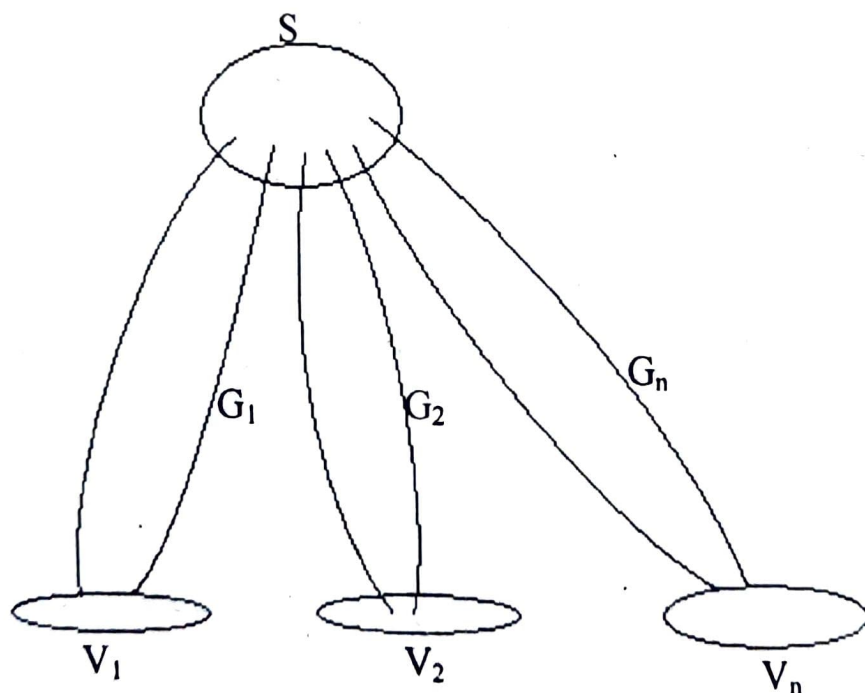
**Proof:** Let  $G$  be  $k$ -chromatic. By corollary ,  $G$  has at least  $k$  vertices of degree at least  $k-1$ . Therefore,  $\Delta(G) \geq k-1$ . So,  $k \leq \Delta(G)+1$ . Hence  $\chi(G) \leq \Delta(G)+1$ .

**Definition:** Let  $S$  be a vertex cut of a connected graph  $G$ . Let the components of  $G-S$  have vertex sets  $V_1, V_2, \dots, V_n$ . The subgraphs  $G_i = G[V_i \cup S]$  are called the  **$S$ -components** of  $G$ .

**Definition:** The colourings of the  $S$ -components  $G_1, G_2, \dots, G_n$  of  $G$  are said to agree on  $S$  if, for every  $v \in S$ , each colouring assigns the same colour to  $v$ .

**Theorem** In a critical graph, no vertex cut is a clique.

**Proof:** Let  $G$  be a  $k$ -critical graph. We prove that no vertex cut of  $G$  is a clique. Suppose not, let us assume that  $G$  has a vertex cut  $S$  that is a clique. Let  $G_1, G_2, \dots, G_n$  be the  $S$ -components of  $G$ . Since  $G$  is  $k$ -critical, each  $G_i$  is  $(k-1)$ -colourable. Furthermore, because  $S$  is a clique, the vertices in  $S$  must receive distinct colours in any  $(k-1)$ -colouring of  $G_i$ . It follows that there are



$(k-1)$ -colourings of  $G_1, G_2, \dots, G_n$  which agree on  $S$ . This gives a  $(k-1)$ -colouring of  $G$ . This is a contradiction to the fact that  $G$  is  $k$ -critical. Hence the theorem. #

**Corollary** Every critical graph is a block.

**Proof:** This is immediate by theorem

**Note:** If  $G$  is  $k$ -critical with a 2-vertex cut  $\{u, v\}$  then  $u$  and  $v$  cannot be adjacent.

# **QUESTION BANK**

## **PART A**

1. Prove that In any graph  $G$ ,  $\alpha + \beta = p$  **CO2 (L2)**
2. Prove that If  $G$  is a bipartite graph, then  $\chi_1(G) = \Delta(G)$ . **CO2 (L2)**
3. Show that if  $G$  is bipartite with  $\delta > 0$ , then  $G$  has a  $\delta$  - edge coloring such that all  $\delta$  colors are represented at each vertex. **CO2 (L2)**
4. Show by finding an appropriate edge coloring, that  $\chi_1(K_{m,n}) = \Delta(K_{m,n})$  **CO2 (L1)**
5. Prove that A non-empty graph  $G$  is 2 – colorable if and only if  $G$  is bipartite. **CO2 (L2)**
6. Prove that, every critical graph is connected. **CO2 (L2)**
- 7 .If  $G$  is  $k$  – critical then show that  $\delta(G) \geq k-1$ . **CO2 (L1)**
8. a) Define  $k$ - critical graph. **CO1 (L1)**  
b) show that In a critical graph, no vertex cut is a clique. **CO2 (L2)**

## **PART B**

1. In any graph  $G$  with  $\delta > 0$ , prove that  $\alpha' + \beta' = p$  **CO5 (L5)**
2. Examine that, The edge chromatic number of a complete graph on  $n$  vertices is  $n$ , if  $n$  is odd ( $n \neq 1$ ):  $n-1$  if  $n$  is even. **CO5 (L4)**
3. Let  $G$  be a connected graph that is not an odd cycle. Then prove  $G$  has a 2 – edge coloring in which both colors are represented at each vertex of degree at least two. **CO4 (L5)**
4. If  $G$  is a simple graph, then  $\Delta \leq \chi_1 \leq \Delta + 1$  **CO4 (L2)**
5. a) If  $G$  is uniquely  $K$  – colorable then.  $\delta(G) \geq k-1$ . **CO4 (L2)**  
b) If  $G$  is uniquely  $k$  – colorable then  $G$  is  $(k-1)$  connected. **CO3 (L2)**
6. In a bipartite graph with  $\delta > 0$ , prove that  $\alpha = \beta'$  **CO4 (L5)**

## **UNIT – IV – Advanced Graph Theory – SMT5207**



## IV. LABELINGS

**Content: Predecessor and Successor – Algorithm – Graceful Labeling – Sequential functions - Magic graphs – Conservative graphs.**

Labeling of a graph is an assignment of labels (numbers) to its vertices or/and edges or faces, which satisfy some conditions. These are different from colouring problems since some properties and structures of numbers such as ordering, addition and subtraction are used here which are not properties of colours.

In 13<sup>th</sup> century, Chinese mathematician Yang Hui and others (1275) have studied labeling of geometric figures which are later called plane graphs. Later Chang Chhao (1670), Pao Chhi-Shou (1880), Li Nien also contributed to this area. But in 1983, the notions of magic and consecutive labelings of plane graphs were defined by Ko-Wei Lih.

In 1963, Ringel conjectured that if  $T$  is any tree with  $n$  edges, then the complete graph  $K_{2n+1}$  can be decomposed into  $2n+1$  subgraphs isomorphic to  $T$ .

S.W.Golomb was instrumental in coining the phraseology “Graceful Graphs” and it was popularised by the articles of S.W.Golomb and M. Gardener. Interest in this field was aroused by the conjecture of Ringel and an article of Rosa. The same concept has been used in additive number theory but under the name of restricted difference basis. Graceful graphs have several applications in coding theory, X-ray crystallography, radar, communication networks and astronomy. The conjecture, “All trees are graceful” by Ringel-Kotzig-Rosa is well-known and still open.

## PREDECESSOR AND SUCCESSOR

Consider the sequence of integers  $\pi : (a_1, a_2, \dots, a_n)$ ,  $a_i \geq 0$ , not all zero. Let  $b_1 = |a_1 - a_2|$ ,  $b_2 = |a_2 - a_3|$ ,  $b_3 = |a_3 - a_4|$ , ...,  $b_n = |a_n - a_1|$ . Let  $\pi'$  be  $(b_1, b_2, \dots, b_n)$ .  $\pi$  is called a **predecessor** and  $\pi'$  is called its **successor**.

Clearly, every predecessor has a unique successor. But a sequence of non-negative integers, not all zero (successor), need not have a predecessor. For example,  $(1, 2, 5, 9)$  has no predecessor.

**Result** If  $(b_1, b_2, \dots, b_n)$  is the successor of a predecessor  $(a_1, a_2, \dots, a_n)$ , then  $\sum_{i=1}^n b_i$  is even.

**Proof:** Let  $b'_1 = a_1 - a_2$ ,  $b'_2 = a_2 - a_3$ ,  $b'_3 = a_3 - a_4$ , ...,  $b'_n = a_n - a_1$ . We know that  $|b'_i| = b_i$ .

Obviously,  $b'_1 + b'_2 + \dots + b'_n = 0$ . So all  $b'_i$ 's cannot be positive. Some of them must be negative such that the sum of the positive terms is equal to sum of the negative terms with different sign.

Let  $b'_1, b'_2, \dots, b'_k$  be the positive terms and  $b'_{k+1}, b'_{k+2}, \dots, b'_n$  be the negative terms. Therefore, sum of  $b_1, b_2, \dots, b_k$  is equal to the sum of  $b_{k+1}, b_{k+2}, \dots, b_n$ . That is,  $b_1 + b_2 + \dots + b_k + b_{k+1} + \dots + b_n = 2(b_1 + b_2 + \dots + b_k)$ . Hence,  $b_1 + b_2 + \dots + b_n$  is even. #

**Remark:** From above, it is clear that if  $(b_1, b_2, \dots, b_n)$  is the successor of a predecessor  $(a_1, a_2, \dots, a_n)$  then sum of some  $b_i$ s is equal to the sum of the other  $b_i$ s. Now, we prove that this necessary condition is also sufficient for a successor (sequence of non-negative integers, not all zero) to have a predecessor.

**Theorem** A sequence of non-negative integers  $(b_1, b_2, \dots, b_n)$ , not all zero, has a predecessor if and only if the sum of some  $b_i$ s is equal to the sum of other  $b_i$ s.

**Proof:** Let the sequence of non-negative integers  $(b_1, b_2, \dots, b_n)$ , not all zero, has a predecessor. Then by the above remark, the sum of some  $b_i$ s is equal to the sum of other  $b_i$ s is clear.

Conversely, let us assume that the sum of some  $b_i$ s is equal to the sum of other  $b_i$ s for the sequence of non-negative integers  $(b_1, b_2, \dots, b_n)$ , not all zero. We show that this has a predecessor. Suppose  $\pi : (a_1, a_2, \dots, a_n)$  be its predecessor, then we find  $a_i$ s in terms of  $b_i$ s. Clearly,



$$b_1 = |a_1 - a_2|, b_2 = |a_2 - a_3|, b_3 = |a_3 - a_4|, \dots, b_n = |a_n - a_1|.$$

$$\text{So, } b_1 = \pm (a_1 - a_2), b_2 = \pm (a_2 - a_3), b_3 = \pm (a_3 - a_4), \dots, b_n = \pm (a_n - a_1).$$

$$\text{That is, } a_1 - a_2 = \pm b_1, a_2 - a_3 = \pm b_2, a_3 - a_4 = \pm b_3, \dots, a_n - a_1 = \pm b_n.$$

So we get,

$$a_1 = a_1 \pm b_1 \pm b_2 \pm b_3 \pm \dots \pm b_n.$$

$$a_2 = a_1 \pm b_2 \pm b_3 \pm \dots \pm b_n.$$

$$a_3 = a_1 \pm b_3 \pm b_4 \pm \dots \pm b_n.$$

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$$a_{n-1} = a_1 \pm b_{n-1} \pm b_n \text{ and}$$

$$a_n = a_1 \pm b_n.$$

From the above, we have,  $\pm b_1 \pm b_2 \pm b_3 \pm \dots \pm b_n = 0$ . By our assumption, the sum of the some  $b_i$ s is equal to the sum of other  $b_i$ s. Now let us partition the set  $\{b_1, b_2, \dots, b_n\}$  into two sets namely, A and B such that the sum of A is equal to the sum of B. With out loss of generality, we can assume that  $b_i$  takes positive sign if  $b_i \in A$  or negative sign if it belongs to B. Now the above set of equations becomes,

$$a_1 = a_1 + 0, a_2 = a_1 \pm b_1, a_3 = a_1 \pm b_1 \pm b_2, a_4 = a_1 \pm b_1 \pm b_2 \pm b_3, \dots,$$

$$a_n = a_1 \pm b_1 \pm b_2 \pm b_3 \pm \dots \pm b_{n-1}.$$

At present, we assume that  $a_1 = 0$ . Hence,  $a_i$ s are known in terms of  $b_i$ s. Here, some  $a_i$ s may be negative. To get all  $a_i$ s to be non-negative, choose  $a_1$  to be the absolute value of the most negative term among  $\pm b_1, \pm b_1 \pm b_2, \pm b_1 \pm b_2 \pm b_3, \dots, \pm b_1 \pm b_2 \pm b_3 \pm \dots \pm b_{n-1}$ . Therefore, all  $a_i$ s are non-negative. Hence,  $(b_1, b_2, \dots, b_n)$  has a predecessor. #

Now we present a necessary and sufficient condition on  $n$  for a sequence  $(1, 2, 3, \dots, n)$  to have a predecessor.

**Theorem** The sequence  $(1, 2, 3, \dots, n)$  has a predecessor if and only if  $n \equiv 0, 3 \pmod{4}$ .

**Proof:** First we prove that if  $n \equiv 0, 3 \pmod{4}$ , then the sequence  $(1, 2, \dots, n)$  has a predecessor.

**Case 1:** Let  $n \equiv 0 \pmod{4}$ .

It is enough if we prove that the sum of some terms of the sequence  $(1, 2, 3, \dots, n)$  is equal to the sum of the remaining terms. Let  $k = n/4$  and  $k_1 = n/2$ . Now, the sum of the terms starting with  $k+1$  and ending with  $k+k_1$  is,

$$\begin{aligned} &= (k+k_1)(k+k_1+1)/2 - k(k+1)/2 \\ &= \frac{1}{2} [k^2 + kk_1 + k + kk_1 + k_1^2 + k_1 - k^2 - k] \\ &= \frac{1}{2} [2kk_1 + k_1 + k_1^2] \end{aligned}$$

$$\begin{aligned}
 &= \frac{1}{2} [2(n/4)(n/2) + n/2 + n^2/4] \\
 &= \frac{1}{2} [(n^2 + n)/2] \\
 &= n(n+1)/4.
 \end{aligned}$$

The sum of the remaining terms of the sequence  $= n(n+1)/2 - n(n+1)/4 = n(n+1)/4$ . Hence the sequence  $(1, 2, 3, \dots, n)$  has a predecessor.

**Case 2:** Let  $n \equiv 3 \pmod{4}$ . Now taking  $k = (n-3)/4$  and  $k_1 = (n+1)/2$ , we can prove that, as in the previous case, the sum of the terms starting with  $k+1$  and ending with  $k+k_1$  is equal to the sum of the remaining terms of the sequence. Hence the sequence  $(1, 2, 3, \dots, n)$  has a predecessor.

Conversely, let us assume that the sequence  $(1, 2, 3, \dots, n)$  has a predecessor. We know that by result 10.1,  $n(n+1)/2 = 2k$ , for some  $k$ . That is,  $n(n+1) = 4k$ . Hence we conclude that  $n \equiv 0, 3 \pmod{4}$ . #

## ALGORITHM

Given a successor  $(b_1, b_2, \dots, b_n)$  such that the sum of some  $b_i$ s is equal to the sum of other  $b_i$ s, this algorithm finds its predecessor  $(a_1, a_2, \dots, a_n)$ .

**Step 1.** Partition the set  $\{b_1, b_2, \dots, b_n\}$  into 2 subsets namely, A and B such that the sum of elements of A is equal to the sum of elements of B.

**Step 2.** Assume that  $b_i$  takes positive sign if  $b_i$  belongs to A or negative sign if it belongs to B.

**Step 3.** Assume  $a_1 = 0$ .

**Step 4.** Find  $a_1, a_2, \dots, a_n$  using the following equations.

$$\begin{aligned}
 a_1 &= a_1 + 0 \\
 a_2 &= a_1 \pm b_1 \\
 a_3 &= a_1 \pm b_1 \pm b_2 \\
 a_4 &= a_1 \pm b_1 \pm b_2 \pm b_3 \\
 &\vdots \\
 a_n &= a_1 \pm b_1 \pm b_2 \pm b_3 \pm \dots \pm b_{n-1}.
 \end{aligned}$$

**Step 5.** If all  $a_i$ s are non-negative then stop.

Otherwise, choose  $a_i$  to be the absolute value of the most negative term among  $\pm b_1, \pm b_1 \pm b_2, \pm b_1 \pm b_2 \pm b_3, \dots, \pm b_1 \pm b_2 \pm b_3 \pm \dots \pm b_{n-1}$ .

**Step 6.** Repeat step 4 and stop.

## Illustration

Consider the successor  $(4, 2, 7, 9, 3, 3) = (b_1, b_2, \dots, b_6)$ .

### Iteration 1.

**Step 1.** Let  $A = \{b_1 = 4, b_3 = 7, b_6 = 3\}$  and  $B = \{b_2 = 2, b_4 = 9, b_5 = 3\}$ .

**Step 2.** We assume that  $b_1, b_3$  and  $b_6$  take positive sign and  $b_2, b_4$  and  $b_5$  take negative sign.

**Step 3.** Let  $a_1 = 0$ .

**Step 4.**  $a_1 = 0+0 = 0$ .

$$a_2 = 0+4 = 4.$$

$$a_3 = 0+4-2 = 2.$$

$$a_4 = 0+4-2+7 = 9.$$

$$a_5 = 0+4-2+7-9 = 0$$

$$a_6 = 0+4-2+7-9-3 = -3.$$

**Step 5.** We have  $a_6 = -3$  and choose  $a_1 = |-3| = 3$ .

**Iteration 2.**

**Step 4.**  $a_1 = 3, a_2 = 7, a_3 = 5, a_4 = 12, a_5 = 3$  and  $a_6 = 0$ .

Hence  $(3,7,5,12,3,0)$  is a predecessor of the given successor.

## GRACEFUL GRAPHS

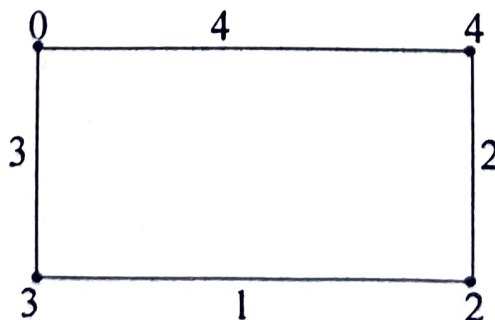
**Definition:** Let  $G$  be a simple graph with order  $p$  and size  $q$ . A function  $f: V(G) \rightarrow \{0,1, \dots, q\}$  is called a **graceful labeling** (numbering) if

- (i)  $f$  is one-to-one.
- (ii) the edges receive all the labels (numbers) from 1 to  $q$ , where the label of an edge is computed to be the absolute value of the difference between the vertex labels at its ends ( that is, if  $e = (x,y)$  then the label of  $e$  is  $|f(x)-f(y)|$  ).

**Definition:** A graph that has a graceful labeling is called a **graceful graph**.

**Examples:**

1. The graph  $C_4$  is a graceful graph since it has a graceful labeling as shown in figure .1.

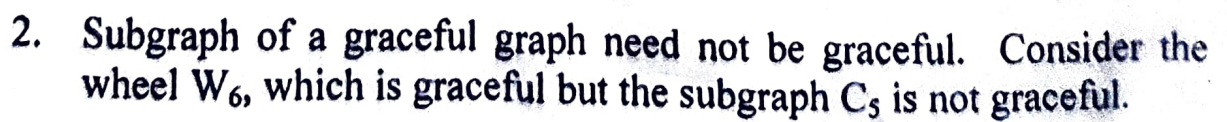


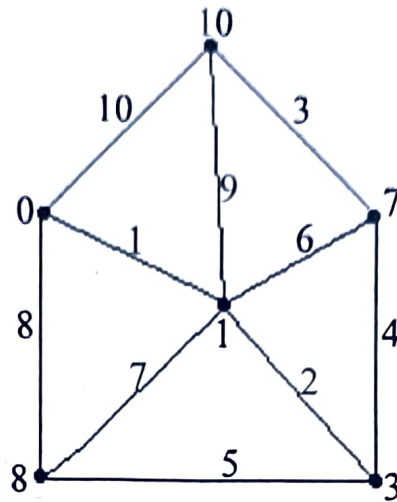
2. Petersen graph is graceful and its graceful labeling is shown in figure 2.



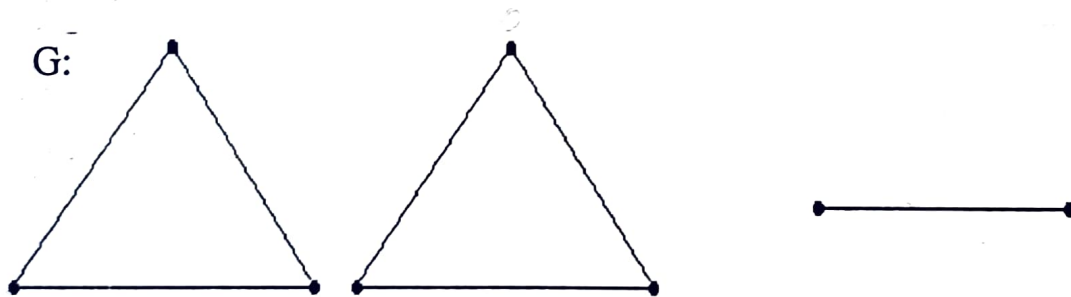


1. A graceful graph may be a disconnected graph. The graph  $G$  of figure 1 is a disconnected graceful graph.

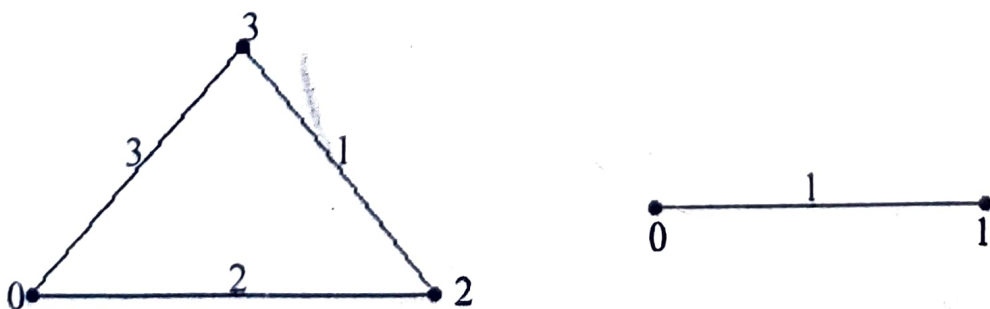




3. If  $G$  is a disconnected graph with  $k$ -components such that each component is graceful, even then  $G$  need not be graceful. Now, consider the graph  $G$  as shown in figure .5.



Here,  $G$  has 3-components and a graceful labeling of each component is given below.



But,  $G$  is not graceful. If  $G$  is graceful, then it is possible to label the vertices of  $G$  with different labels from  $\{0, 1, \dots, 7\}$  so that the labels from 1 to 7 are realized by the edges. Since the label 7 should be realized by an edge, the vertex labels 0 and 7 should be given to adjacent vertices say  $v_1$  and  $v_2$ , and since the label 6 should be realized by an edge, the vertex adjacent to  $v_1$  and  $v_2$  should get either 6 or 1. In either case, the label 5 cannot be realized by any edge of  $G$ . Hence,  $G$  is not graceful.

4. If  $G$  is graceful, then it is connected or contains a cycle.

**Proof:** Let  $G$  be a graceful graph of order  $p$  and size  $q$ . Since  $G$  is graceful, it is possible to label the vertices of  $G$  with different labels from  $\{0, 1, 2, \dots, q\}$  in such a way that the edges receive all the labels from 1 to  $q$ . Thus,  $q+1 \geq p$ . That is,  $q \geq p-1$ . This implies that  $G$  is connected or contains a cycle. #

**Theorem** If  $G$  is graceful eulerian graph with size  $q$ , then  $q \equiv 0, 3 \pmod{4}$ .

**Proof:** Since  $G$  is Eulerian, by theorem and by result , the sum of the edge labels is an even number. Since  $G$  is graceful this number is equal to the sum  $1+2+\dots+q$ . Thus,

$$q(q+1)/2 = 2k, \text{ for some } k, \text{ or}$$

$$q(q+1) = 4k.$$

Hence  $q \equiv 0, 3 \pmod{4}$ . #

## SEQUENTIAL FUNCTIONS

Simply sequential and sequential graphs were first introduced by D.W.Bange, A.E.Barkauskas and peter J.Slater in their paper, "Simply Sequential and Graceful Graphs"

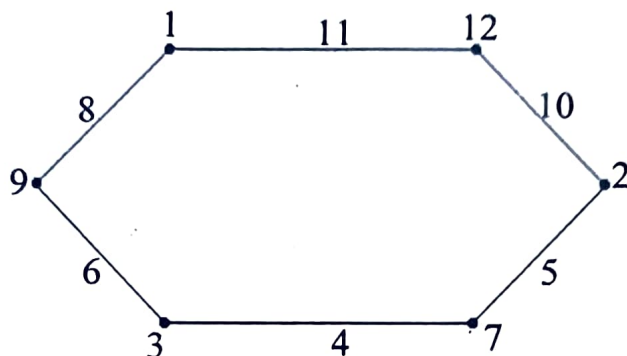
**Definition:** Let  $G$  be a simple graph with order  $p$  and size  $q$ . A function  $f : V(G) \cup E(G) \rightarrow \{k, k+1, k+2, \dots, p+q+k-1\}$  is called a  **$k$ -sequential function** if  $f$  is one-to-one and for any edge  $e$ ,  $f(e)$  equals the absolute value of the difference between the vertex labels at its end vertices. (that is, if  $e = (x, y)$  then  $f(e) = |f(x) - f(y)|$ )

**Definition:** A simply sequential function is a 1-sequential function.

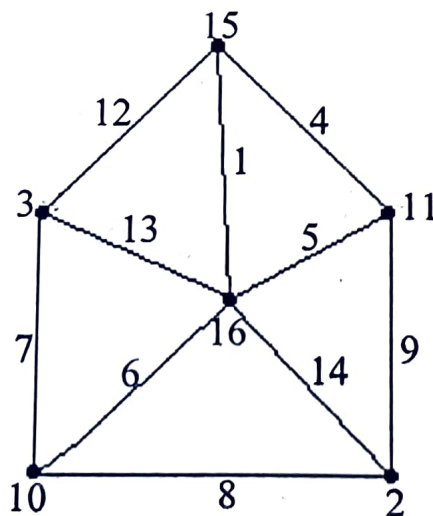
**Definition:** If a graph  $G$  admits a  $k$ -sequential function then it is called a  $k$ -sequential graph.

**Examples:**

1. The graph  $C_6$  is 1-sequential graph since it has a 1-sequential labeling as shown in figure .6.



2. The wheel  $W_6$  is 1-sequential and a 1-sequential labeling is shown in figure .7.



**Theorem** A graph  $G$  is 1-sequential if and only if  $G+v$  is graceful by a labeling  $f$  with  $f(v) = 0$ .

**Proof:** Let us assume that  $G$  is 1-sequential.

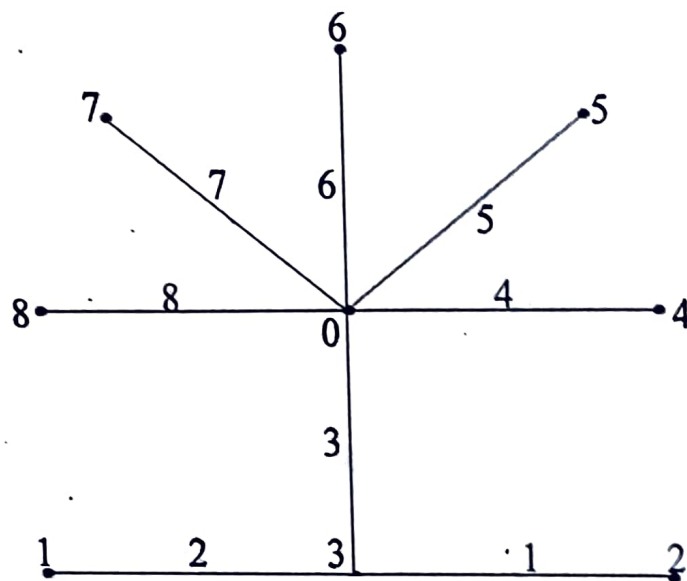
Clearly,  $|E(G+v)| = |V(G)| + |E(G)|$ . Now, we extend a 1-sequential function of  $G$  to the vertex  $v$  also by assigning zero to  $v$ . In  $G+v$ , each edge of  $G$  retains the original value of  $f$  and for all edges  $e = (u, v)$  receives the label  $f(u)$ . Hence, we have a graceful labeling of  $G+v$ .



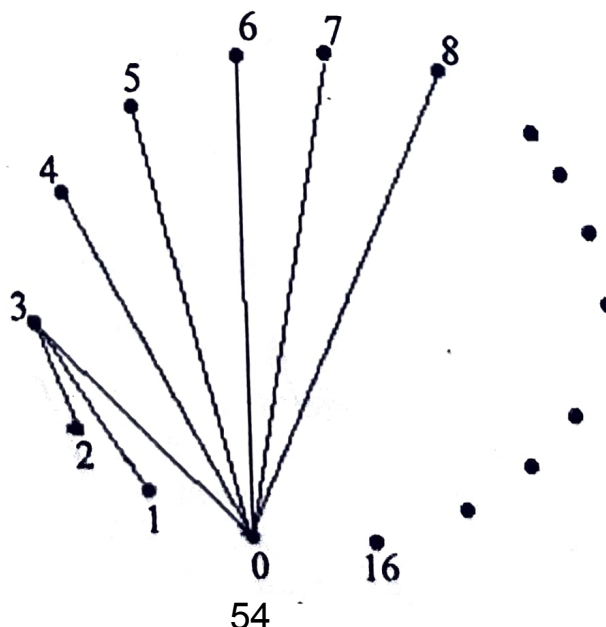
Conversely, we assume that  $f$  is a graceful labeling of  $G+v$  with  $f(v) = 0$ . For each vertex  $u \in V(G)$  receives the same label of the edge  $e = (u,v)$ . Thus, we get a 1-sequential function to  $G$ . #

## APPLICATION

Now we give a mathematical application using graceful labeling and the turning trick. Consider the following tree  $T$  with 9 vertices and 8 edges with a graceful labeling.



We would like to decompose  $K_{17}$  into seventeen copies of  $T$ . We do this in the following way. First we place seventeen vertices around a circle and number nine consecutive vertices  $0, 1, 2, \dots, 8$ .





Then we introduce an edge between two of the numbered vertices if the vertices labeled by those two numbers are adjacent in  $T$ . Since the labeling of  $T$  is graceful, every edge has a distinct label, and thus every edge has distinct geometric length. Thus we got a copy of  $T$ .

Consequently the turning trick will give us a decomposition of  $K_{17}$  into seventeen copies of  $T$ .

This kind of construction is possible for every graceful tree and leads us to the following theorem.

**Theorem** If a tree  $T$  with  $q$  edges is graceful, then the complete graph  $K_{2q+1}$  is decomposable into  $2q+1$  trees, each isomorphic to the given tree  $T$ .

## MAGIC GRAPHS

**Definition:** Let  $G$  be a graph with  $q$  edges.  $G$  is said to be **magic** if the edges of  $G$  can be labeled by the numbers  $1, 2, 3, \dots, q$  so that the sum of the labels of all the edges incident with any vertex is the same.

**Examples:**

1. The graph  $K_{3,3}$  is magic since it has a magic labelling as shown in figure 10.

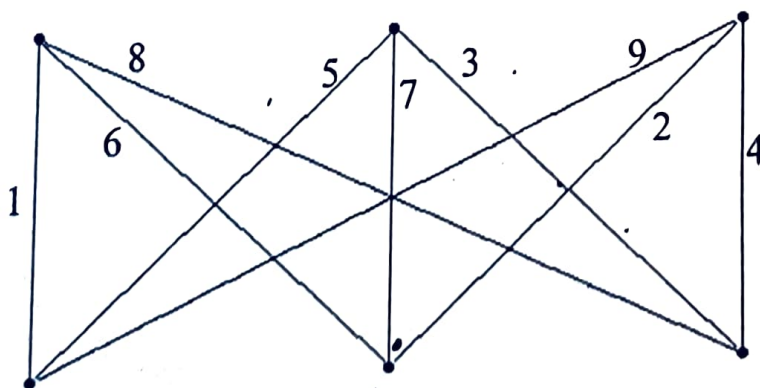
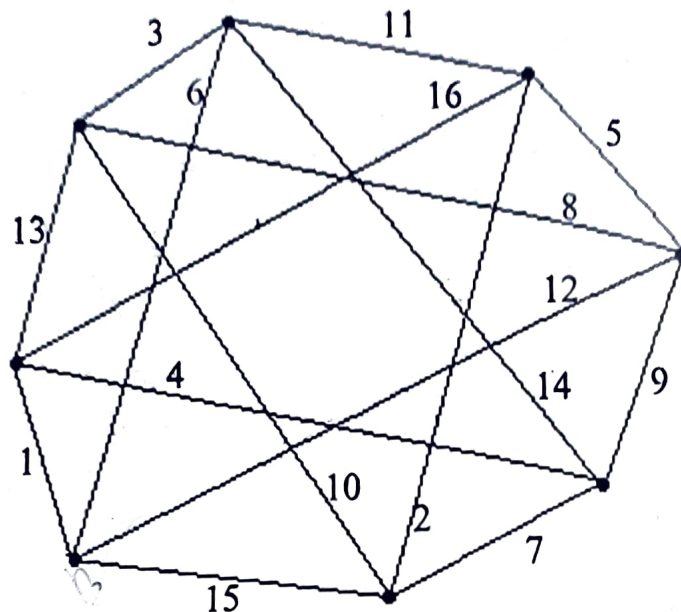


Figure 10.10

2. The graph  $K_{4,4}$  is magic and a magic labeling is shown in figure 11. Notice that  $K_{4,4}$  is decomposable into two Hamilton cycles. The labels on the edges of one of the Hamilton cycles are 1, 13, 3, 11, 5, 9, 7, 15. The edges of the other Hamilton cycle are 2, 16, 4, 14, 6, 12, 8, 10.
3. The complete graph  $K_3$  is not magic since we cannot find a magic labeling of  $K_3$  with numbers 1, 2 and 3.



**Theorem**  $K_{n,n}$  is magic for  $n \neq 2$

**Proof:** The proof is left as an exercise to the reader. #

**Theorem** If a bipartite graph  $G$  is decomposable into two Hamilton cycles, then  $G$  is magic.

**Proof:** Since  $G$  is bipartite, the length of the Hamilton cycle is even, say  $2n$ . Thus the number of edges in  $G$  is  $q = 4n$ . We label one Hamilton cycle with even numbers and the other with odd numbers.

We choose a vertex  $a$  and label the edges of the first Hamilton cycle starting at  $a$  by  $4n-1, 1, 4n-3, 3, \dots, 2n+1, 2n-1$ .

Then we label the edges of the second Hamilton cycle starting at  $a$ , by  $2, 4n, 4, 4n-2, \dots, 2n, 2n+2$ .

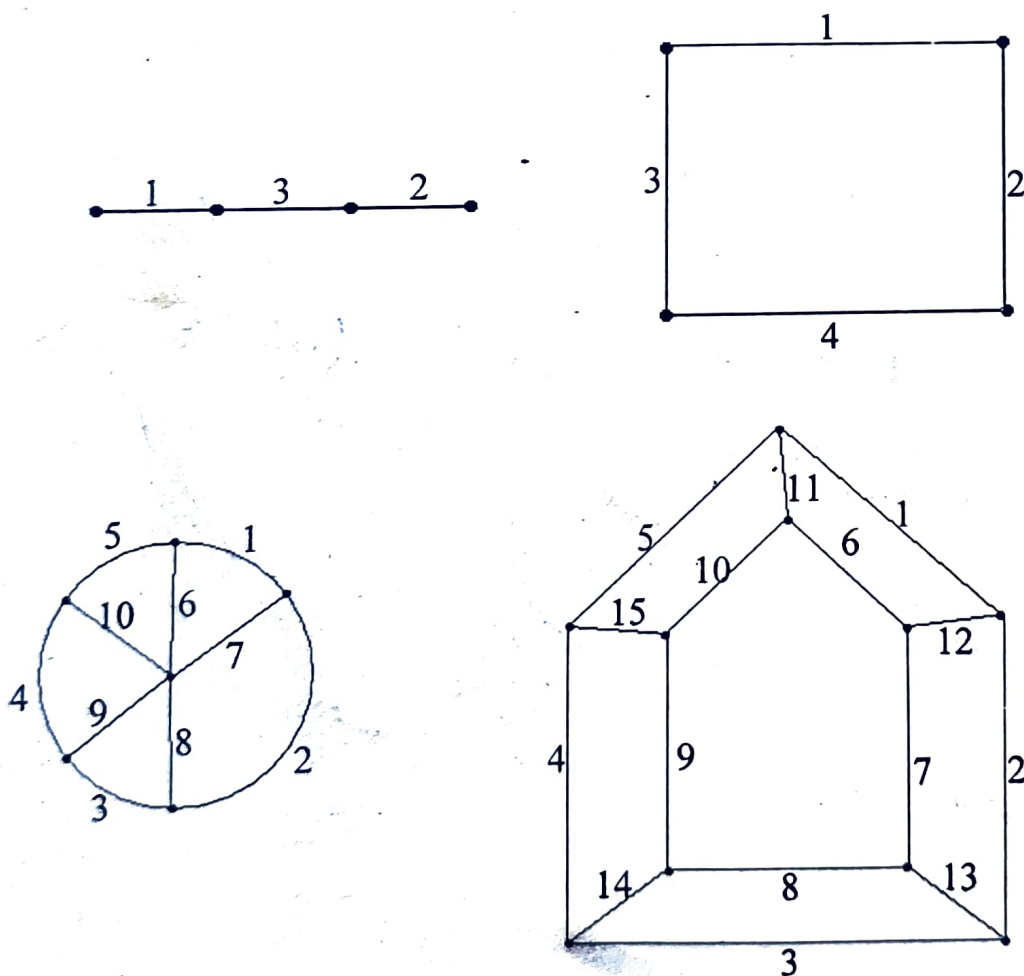
Since  $G$  is bipartite, the vertices can be coloured red and blue with no two adjacent vertices of the same colour.

If  $a$  is blue, then the sum of the odd-numbered edges at all blue vertices except  $a$  is  $4n-2$ . The sum of even-numbered edges at all blue vertices except  $a$  is  $4n+4$ . We note that the sum of all edges at  $a$  is  $8n+2$ . Thus the sum of all edges at any blue vertex is  $8n+2$ . The sum of the odd-numbered edges at each red vertex is  $4n$ . The sum of the even-numbered edges at each red vertex is  $4n+2$ . Hence the sum of all edges at any red vertex is  $8n+2$ . Hence  $G$  is magic. #

**Theorem** If a graph  $G$  is decomposable into two magic spanning subgraphs  $G_1$  and  $G_2$  where  $G_2$  is regular, then  $G$  is magic.

**Proof:** Let  $q_1$  and  $q_2$  denote the number of edges of  $G_1$  and  $G_2$ , respectively. Consider a magic labeling of  $G_1$  and a magic labeling of  $G_2$ . To each label of  $G_2$ , we add  $q_1$ . Since  $G_2$  is regular, we have added the same amount at each vertex. We now have the edges of  $G$  labeled with the integers  $1, 2, 3, \dots, q_1, q_1+1, \dots, q_1+q_2$ , and the sum of the labels at each vertex is the same. Hence  $G$  is magic. #

Now we introduce antimagic graphs.



The above figure shows some examples of graphs whose edges are labeled with the integers  $1, 2, \dots, q$  so that the sum of the labels at any given vertex is different from the sum of the labels at any other vertex, that is, no two vertices have the same sum. We shall call a graph that can be so labeled as antimagic.



**Conjecture** Every connected graph  $\neq K_2$  is antimagic.

**Conjecture** Every tree  $\neq K_2$  is antimagic.

**Definition:** Let  $G$  be a graph with  $p$  vertices and  $q$  edges.  $G$  is said to be **magic total** if the vertices and edges of  $G$  can be labelled by the numbers  $1, 2, 3, \dots, p+q$  so that for each edge  $e = (u, v) \in E(G)$  we have  $f(u) + f(e) + f(v)$  is the same.

**Definition:** Let  $G$  be a graph with  $q$  edges.  $G$  is said to be **bi-magic** if the edges of  $G$  can be labelled by the numbers  $1, 2, 3, \dots, q$  so that the sum of the labels of all the edges incident with any vertex is either  $k_1$  or  $k_2$ , where  $k_1$  and  $k_2$  are two constants.

**Definition:** Let  $G$  be a graph with  $p$  vertices and  $q$  edges.  $G$  is said to be **bi-magic total** if the vertices and edges of  $G$  can be labelled by the numbers  $1, 2, 3, \dots, p+q$  so that for each edge  $e = (u, v) \in E(G)$  we have  $f(u) + f(e) + f(v) = k_1$  or  $k_2$ , where  $k_1$  and  $k_2$  are two constants.

### **L(2,1)-LABELING**

**Definition:** A **L(2,1) - labeling** of a graph  $G$  is an assignment  $f$  from the vertex set  $V(G)$  to the set of non-negative integers such that  $|f(x) - f(y)| \geq 2$  if  $x$  and  $y$  are adjacent and  $|f(x) - f(y)| \geq 1$  if  $x$  and  $y$  are at distance 2, for all  $x$  and  $y$  in  $V(G)$ .

A  $k$ -L(2,1)-labeling is an L(2,1)-labeling  $f: V(G) \rightarrow \{0, \dots, k\}$ .

The minimum  $k$  among all such possible assignments is known as the **L(2,1)-labeling number** or  **$\lambda$ -number** and is denoted by  $\lambda(G)$ .

**Note:** A L(2,1)-labeling is also called as Distance two labelling.

**Definition:** An injective L(2,1)-labeling is called an **L'(2,1)-labeling**.

A  $k$ -L'(2,1)-labeling is an L'(2,1)-labeling  $f: V(G) \rightarrow \{0, \dots, k\}$ .

The minimum  $k$  among all such possible assignments is known as the **L'(2,1)-labeling number** or  **$\lambda'$ -number** and is denoted by  $\lambda'(G)$ .

**Definition:** Let  $f$  be a labeling of a graph  $G$ . The number of occurrence of a label less one is called the multiplicity of the label in  $f$  and the sum of the multiplicity of labels of  $f$  is called the **multiplicity of  $f$** .

Here, we find an upper bound of the  $\lambda$ -number for the corona  $G_1 \circ G_2$  where  $G_1$  and  $G_2$  are any two graphs such that  $G_2$  has an injective  $L(2,1)$ -labeling and also we prove that the bound is attainable when  $G_1$  and  $G_2$  are complete. Also we present an upper bound of the  $\lambda$ -number for the corona  $G_1 \circ G_2$  where  $G_1$  and  $G_2$  are any two graphs.

**Theorem** For any two graphs  $G_1$  and  $G_2$ ,  $\lambda(G_1 \circ G_2) \leq \lambda(G_1) + \lambda'(G_2) + 2$  and the bound is attainable when  $G_1$  and  $G_2$  are complete.

**Proof:** Let  $f_1$  be the  $L(2,1)$ -labeling of  $G_1$  corresponding to  $\lambda(G_1)$  and  $f_2$  be the injective  $L(2,1)$ -labeling of  $G_2$  corresponding to  $\lambda'(G_2)$ .

Set  $V(G_1) = \{u_1, u_2, \dots, u_m\}$  and  $V(G_2) = \{v_1, \dots, v_n\}$  and define a labeling  $f$  on  $V(G_1 \circ G_2)$ :

$$f(u_i) = f_1(u_i)$$

$$f(v_i) = f_2(v_i) + \lambda(G_1) + 2, \text{ for all } v_i \text{ in all copies.}$$

Clearly  $f$  is a  $L(2,1)$ -labeling for  $G_1 \circ G_2$ .

Hence  $\lambda(G_1 \circ G_2) \leq \lambda(G_1) + \lambda'(G_2) + 2$ .

Now let us assume that  $G_1$  and  $G_2$  are complete. Since  $G_1$  is complete on  $m$  vertices, any  $L(2,1)$ -labeling of  $G_1 \circ G_2$  needs  $2m$  distinct labels for the vertices of  $G_1$  and a different set of  $2n$  labels for the vertices of  $G_2$ . Since we can use the label zero also,

$$\lambda(G_1 \circ G_2) \geq 2m + 2n - 2 = 2m - 2 + 2n - 2 + 2 = \lambda(G_1) + \lambda'(G_2) + 2.$$

$$\text{That is, } \lambda(G_1 \circ G_2) = \lambda(G_1) + \lambda'(G_2) + 2. \quad \#$$

**Theorem** For any two graphs  $G_1$  and  $G_2$ ,  $\lambda(G_1 \circ G_2) \leq \lambda(G_1) + \lambda(G_2) + m + 2$ , where  $m$  is the multiplicity of the  $L(2,1)$ -labeling corresponding to  $\lambda(G_2)$ .

**Proof:** Let  $f_1$  be the  $L(2,1)$ -labeling of  $G_1$  corresponding to  $\lambda(G_1)$ ,  $f_2$  be the  $L(2,1)$ -labeling of  $G_2$  corresponding to  $\lambda(G_2)$  and  $m$  be the multiplicity of  $f_2$ .

Let  $V(G_1) = \{u_1, v_2, \dots, u_m\}$  and  $V(G_2) = \{v_1, \dots, v_n\}$ .

If  $f_2$  is injective then by the above theorem,  $\lambda(G_1 \circ G_2) \leq \lambda(G_1) + \lambda(G_2) + 2$  and since  $m = 0$  in this case, the theorem is true. Otherwise, we rename the vertices of  $G_2$  as below.

Let  $k = \lambda(G_2)$  and let  $n_i$  denotes the multiplicity of the label  $i$  of  $f_2$ . For  $i = 0, 1, 2, \dots, k$  and  $j = 0, 1, 2, \dots, n_i$  let  $\{v_{i,j}\}$  denote the set of all vertices of  $G_2$  which receive the colour  $i$  in  $f_2$  and these sets form a partition of  $V(G_2)$ .

We note that for some  $i$ , this set may be empty. Hence the multiplicity of  $f_2$  is  $n_0 + n_1 + \dots + n_k$ .

## **QUESTION BANK**

### **PART A**

1. If  $(b_1, b_2, b_3, b_n)$  is the successor of a predecessor  $(a_1, a_2, a_3, a_n)$ , then prove  $\sum_{i=1}^n b_i$  is even. **CO2 (L2)**
2. a) Define graceful labeling of a graph G. **CO1 (L1)**  
b) Analyse the graceful labeling of Petersen graph. **CO2 (L4)**
3. If G is graceful eulerian graph with size q, then identify  $q \equiv 0, 3 \pmod{4}$  **CO2 (L2)**
4. Conclude that A graph G is 1- sequential if and only if  $G + V$  is graceful by a labeling f with  $f(v) = 0$ . **CO5 (L5)**
- 5 If G is decomposable into two Hamilton cycles, then examine that G is conservative. **CO2 (L4)**
6. If G is decomposable into two Hamilton cycles, then show that G is strongly conservative. **CO2 (L2)**

### **PART B**

1. Prove that, the sequence  $(1, 2, 3, n)$  has a predecessor if and only if  $n \equiv 0, 3 \pmod{4}$ . **CO2 (L5)**
2. Prove that, if a bipartite graph G is decomposable into two Hamilton cycles then G is magic. **CO2 (L5)**
3. For any two graphs  $G_1$  and  $G_2$ ,  $\lambda(G_1 \circ G_2) \leq \lambda(G_1) + \lambda'(G_2) + 2$  and the bound is attainable when  $G_1$  and  $G_2$  are complete - Discuss **CO5 (L6)**
4. For any two graphs  $G_1$  and  $G_2$ ,  $\lambda(G_1 \circ G_2) \leq \lambda(G_1) + \lambda(G_2) + m + 2$ , where m is the multiplicity of the  $L(2,1)$ -labeling corresponding to  $\lambda(G_2)$ . -Discuss **CO5 (L6)**
- 5 If G is decomposable into two sub graphs  $H_1$  and  $H_2$  and if  $H_1$  is conservative, and  $H_2$  is strongly conservative then prove that G is strongly conservative.

**CO5 (L5)**

6. If  $G$  is a labeled directed graph such that Kirchhoff's current law holds at every vertex of  $G$  except a particular vertex  $a$ , then Kirchhoff's law also holds at the

Vertex  $a$ . - Discuss

**CO5 (L6)**



## **UNIT – V – Advanced Graph Theory – SMT5207**

## V. PERFECT GRAPHS

**Content: Perfect Graphs – The Perfect Graph Theorem – Chordal Graphs – Interval Graphs – Comparability Graphs.**

The chromatic number of a graph  $G$  is always greater than or equal to the clique number of the graph. For what type of graphs, equality holds?

Also, the clique cover number of  $G$  is always greater than or equal to the independence number of  $G$ . For what type of graphs, equality holds?

In 1961, Claude Berge conjectured that  $\alpha$ -perfect and  $\chi$ -perfect are equivalent. This was proved by László Lovász in 1971, at the age of 22. The above equivalence was almost proved earlier by Fulkerson. On hearing the success of Lovász from Berge, he completed his own proof, with in a few hours. No doubt it was a moment of sorrow for Fulkerson. But in this process, Fulkerson invented the notion of antiblocking pairs of polyhedra, an idea which has become an important topic in the field of polyhedral combinatorics.

In this chapter, mostly, we deal with vertex colouring and clique and so we restrict our attention to simple graphs.

## PERFECT GRAPHS

For a graph  $G$ , we know that  $\chi(G)$  denotes the chromatic number of  $G$ ;

The minimum number of colours needed to properly colour the vertices of  $G$ ; equivalently, the minimum number of independent sets needed to partition the vertices of  $G$ .

$\omega(G)$  denotes the clique number of  $G$ ; the cardinality of the largest clique of  $G$ .

$\alpha(G)$  denotes the independence number of  $G$ ; the cardinality of the largest independent set of  $G$ .

$\theta(G)$  denotes the clique cover number of  $G$ ; the minimum number of cliques needed to partition (or cover) the vertices of  $G$ .

**Remark:** The intersection of a clique and an independent set of a graph  $G$  can be at most one vertex. So, for any graph  $G$ ,

$$\alpha(G) \leq \theta(G) \text{ and } \omega(G) \leq \chi(G).$$

**Notation:** In this chapter,  $G_A$  denotes the subgraph induced by  $A$ , that is  $G[A]$ .

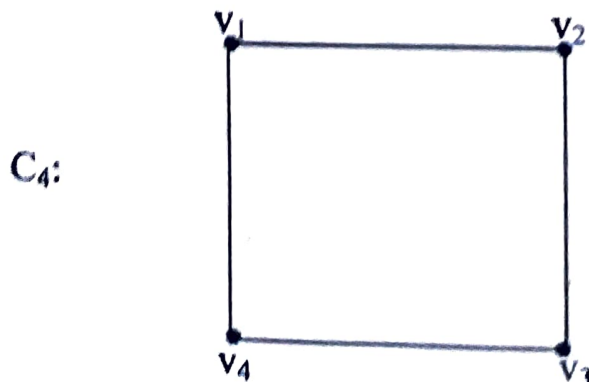
**Definition:** A graph  $G$  is defined to be  $\chi$ -perfect if  $\chi(G_A) = \omega(G_A)$ , for all  $A \subseteq V$ .

**Definition:** A graph  $G$  is defined to be  $\alpha$ -perfect if  $\alpha(G_A) = \theta(G_A)$ , for all  $A \subseteq V$ .

- Note:**
1.  $\alpha(G_A) \leq \alpha(G)$ ,  $\omega(G_A) \leq \omega(G)$   
 $\chi(G_A) \leq \chi(G)$ ,  $\theta(G_A) \leq \theta(G)$ .
  2. A graph need not be  $\chi$ -perfect ( $\alpha$ -perfect) even if every proper induced subgraph is  $\chi$ -perfect ( $\alpha$ -perfect). This can be seen by considering the cycle  $C_5$ .

### Examples:

1. Consider the graph  $C_4$ .



Here  $\chi(C_4) = 2 = \varpi(C_4)$ .

Also  $\chi(G_A) = \varpi(G_A)$ , where  $G = C_4$  and  $A \subseteq V(C_4)$ .

Hence  $C_4$  is  $\chi$ -perfect.

Also  $\alpha(C_4) = 2 = \theta(C_4)$  and  $\alpha(G_A) = \theta(G_A)$ , where  $G = C_4$  and  $A \subseteq V(C_4)$

Therefore,  $C_4$  is  $\alpha$ -perfect.

2. If  $G$  is a bipartite graph, then we know that,  $\chi(G) = 2 = \varpi(G)$ , if  $G$  has an edge; Otherwise,  $\chi(G) = 1 = \varpi(G)$ .

Hence  $G$  is  $\chi$ -perfect

Also, it is easy to see that, for bipartite graphs,  $\alpha(G) = \theta(G)$ .

Hence it is also  $\alpha$ -perfect.

3. Consider  $C_{2k+1}$ ,  $k > 1$ .

This is not  $\chi$ -perfect since  $\chi(C_{2k+1}) = 3$  and  $\varpi(C_{2k+1}) = 2$ .

Also this is not  $\alpha$ -perfect because  $\alpha(C_{2k+1}) = k$  and  $\theta(C_{2k+1}) = k+1$ , a minimum partition consists of  $k$ , 2 cliques and one 1-clique.

**Theorem** A graph  $G$  is  $\chi$ -perfect if and only if its complementary graph  $G^c$  is  $\alpha$ -perfect.

**Proof:** Clearly  $\alpha(G_A) = \varpi(G_A^c)$

$$\theta(G_A) = \chi(G_A^c)$$

Thus,  $\alpha(G_A) = \theta(G_A)$  is equivalent to  $\varpi(G_A^c) = \chi(G_A^c)$  and

$\alpha(G_A^c) = \theta(G_A^c)$  is equivalent to  $\varpi(G_A) = \chi(G_A)$ .

Hence the theorem. #

**Corollary** If either a graph  $G$  or its complementary graph  $G^c$  contains an odd cycle of length greater than 3 without chords, then  $G$  is neither  $\chi$ -perfect nor  $\alpha$ -perfect.

**Proof:** Let  $A$  be the vertex set of such a cycle of  $G$ .

Then  $\chi(G_A) \neq \varpi(G_A)$ ,  $\alpha(G_A) \neq \theta(G_A)$ .

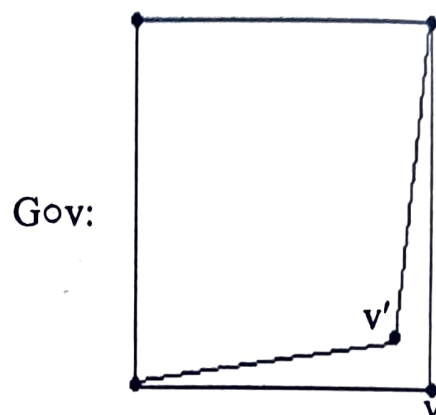
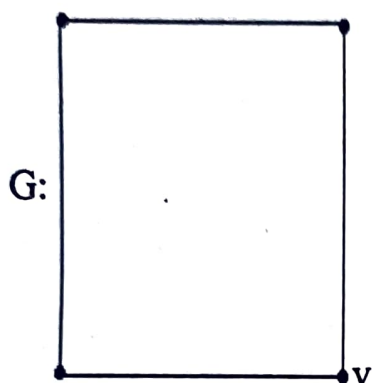
Thus  $G$  is neither  $\chi$ -perfect nor  $\alpha$ -perfect.

If the complementary graph  $G^c$  contains such a cycle, then it is neither  $\chi$ -perfect nor  $\alpha$ -perfect and by the previous theorem,  $G$  is neither  $\chi$ -perfect nor  $\alpha$ -perfect. #

Now we introduce the concept of multiplication of the vertices of a graph.



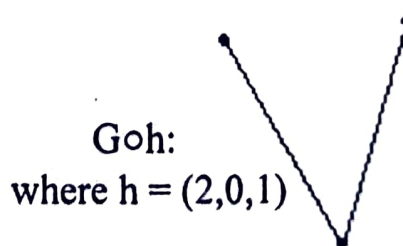
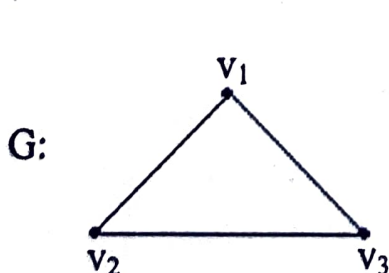
Let  $G$  be a graph with vertex  $v$ . The graph  $G \circ v$  is obtained from  $G$  by adding a new vertex  $v'$  which is connected to all the neighbours of  $v$ .



It is easy to see that,  $(G \circ v) - u = (G - u) \circ v$  for distinct vertices  $v$  and  $u$ .

More generally, if  $v_1, v_2, \dots, v_p$  are the vertices of  $G$  and  $h = (h_1, h_2, \dots, h_p)$  is a vector of non-negative integers, then  $H = G \circ h$  is constructed by substituting for each  $v_i$  an independent set of  $h_i$  vertices  $v_i^1, \dots, v_i^{h_i}$  and joining  $v_i^s$  with  $v_j^t$  if and only if  $v_i$  and  $v_j$  are adjacent in  $G$ . We say that  $H$  is obtained from  $G$  by multiplication of vertices.

### Example



- Note:**
1. If we take  $h_i = 0$  then  $H$  does not contain  $v_i$ .
  2. Every induced subgraph of  $G$  can be obtained by multiplication of an appropriate vector, in which  $h_i$  is zero or one.
  3. If each  $h_i = 1$  then  $G \circ h = G$ .



**Theorem** (Berge) Let  $H$  be obtained from  $G$  by multiplication of vertices.

- (i) If  $G$  is  $\chi$ -perfect then  $H$  is  $\chi$ -perfect.
- (ii) If  $G$  is  $\alpha$ -perfect then  $H$  is  $\alpha$ -perfect.

**Proof:** The theorem is true if  $G$  has only one vertex. We shall assume that (i) and (ii) are true for all graphs with fewer vertices than  $G$ . Let  $H = G \circ h$ . If one of the co-ordinates of  $h$  equals zero, say  $h_i = 0$ , then  $H$  can be obtained from  $G - v_i$  by multiplication of vertices. But if  $G$  is  $\chi$ -perfect then  $G - v_i$  also  $\chi$ -perfect. Also, if  $G$  is  $\alpha$ -perfect then  $G - v_i$  also  $\alpha$ -perfect. Now by induction hypothesis, the theorem is true.

Thus, we may assume that each co-ordinate  $h_i \geq 1$  and some  $h_i \geq 2$ . Since  $H$  can be built up from a sequence of smaller multiplications (Hint: refer exercise 12.4), it is sufficient to prove the result for  $H = G \circ v$ . Let  $v'$  denote the added copy of  $v$ .

Let us assume that  $G$  is  $\chi$ -perfect.

So,  $\varpi(G_A) = \chi(G_A)$ , for all  $A \subseteq V$ .

Since  $v$  and  $v'$  are non-adjacent,  $\varpi(G \circ v) = \varpi(G)$ .

Let  $G$  be coloured using  $\varpi(G)$  colours. Colour  $v'$  the same colour as  $v$ . This will be a colouring of  $G \circ v$  in  $\varpi(G \circ v)$  colours.

So,  $\chi(G \circ v) = \varpi(G) = \varpi(G \circ v)$ .

Similarly we can prove that  $\chi(H_A) = \varpi(H_A)$  where  $H = G \circ v$  and for all  $A \subseteq V(H)$ . Hence,  $G \circ v$  is  $\chi$ -perfect.

Now we assume that  $G$  is  $\alpha$ -perfect. So,  $\alpha(G_A) = \theta(G_A)$ , for all  $A \subseteq V$ .

It is enough to prove that  $\alpha(G \circ v) = \theta(G \circ v)$ .

Let  $\tilde{N}$  be a clique cover of  $G$  with  $|\tilde{N}| = \theta(G) = \alpha(G)$  and let  $K_v$  be the clique of  $\tilde{N}$  containing  $v$ . Now we consider two cases.

**Case 1:**  $v$  is contained in a maximum independent set  $S$  of  $G$  such that  $|S| = \alpha(G)$ .

Now  $S \cup \{v'\}$  is a independent set of  $G \circ v$  and  $\alpha(G \circ v) = \alpha(G) + 1$ .

Since  $\tilde{N} \cup \{v'\}$  is a clique cover of  $G \circ v$ , we have that

$$\theta(G \circ v) \leq \theta(G) + 1 = \alpha(G) + 1 = \alpha(G \circ v) \leq \theta(G \circ v).$$

Hence,  $\alpha(G \circ v) = \theta(G \circ v)$ .

**Case 2:** No maximum independent set of  $G$  contains  $v$ . So  $\alpha(G \circ v) = \alpha(G)$ .

Since each clique of  $\tilde{N}$  intersects a maximum independent set exactly once, this is true in particular for  $K_v$ . But  $v$  is not a member of any maximum

independent set. Therefore,  $D = K_v - \{v\}$  intersects each maximum independent set of  $G$  exactly once, so  $\alpha(G_{V-D}) = \alpha(G) - 1$ .

Now  $\theta(G_{V-D}) = \alpha(G_{V-D}) = \alpha(G) - 1 = \alpha(Gov) - 1$ .

Taking a clique cover of  $G_{V-D}$  of cardinality  $\alpha(Gov) - 1$  along with extra clique  $D \cup \{v'\}$ , we obtain a clique cover of  $Gov$ .

Hence  $\theta(Gov) = \alpha(Gov)$ .

#

**Remark:** In this chapter, our main aim is to prove the Perfect Graph theorem, which states that a graph is  $\chi$ -perfect if and only if it is  $\alpha$ -perfect. This was proved by Lovász along with a third equivalent condition,

$$\omega(G_A) \cdot \alpha(G_A) \geq |A|, \text{ for all } A \subseteq V.$$

**Theorem** (Fulkerson[1971], Lovász[1972]) Let  $G$  be a graph each of whose proper induced subgraphs are  $\alpha$ -perfect, and let  $H$  be obtained from  $G$  by multiplication of vertices. If  $G$  satisfies the condition  $\omega(G_A) \cdot \alpha(G_A) \geq |A|$ , for all  $A \subseteq V$  then  $H$  also satisfies this condition.

**Proof:** Let  $G$  satisfy the condition,

$$\omega(G_A) \cdot \alpha(G_A) \geq |A|, \text{ for all } A \subseteq V \quad (P)$$

Choose  $H$  to be a graph having the smallest possible number of vertices which can be obtained from  $G$  by multiplication of vertices but which fails to satisfy (P) itself. So,

$\omega(H) \cdot \alpha(H) < |X|$ , where  $X$  denotes the vertex set of  $H$ , yet (P) does hold for each proper induced subgraph of  $H$ .

As in the proof of the above theorem, we may assume that each vertex of  $G$  was multiplied at least once and that some vertex  $u$  was multiplied at least twice (that is,  $h \geq 2$ ). Let  $U = \{u^1, u^2, \dots, u^h\}$  be the vertices of  $H$  corresponding to  $u$ . The vertex  $u^1$  plays an important role in this proof.

By the minimality of  $H$ , (P) is satisfied by  $H_{X-U^1}$ , which gives,

$$|X| - 1 = |X - u^1| \leq \omega(H_{X-U^1}) \cdot \alpha(H_{X-U^1}) \quad \text{by (P)}$$

$$\leq \omega(H) \cdot \alpha(H)$$

$$\leq |X| - 1.$$

Thus, equality must hold throughout, and we define,

$$p_1 = \omega(H_{X-U^1}) = \omega(H)$$

$$q_1 = \alpha(H_{X-U^1}) = \alpha(H)$$

and  $p_1 q_1 = |X| - 1$ .

Since  $H_{X-U}$  is obtained from  $G - u$  by multiplication of vertices, by the previous theorem  $H_{X-U}$  is  $\alpha$ -perfect. Thus,  $H_{X-U}$  can be covered by a set of  $q$



cliques of  $H$ , say  $K_1, K_2, \dots, K_{q_1}$ . We can assume that  $K_i$ 's are pairwise disjoint and  $|K_1| \geq |K_2| \geq \dots \geq |K_{q_1}|$ .

Now  $\sum_{i=1}^{q_1} |K_i| = |X-U| = |X| - h = p_1 q_1 + 1 - h = p_1 q_1 - (h-1)$ .

Since  $|K_i| \leq p_1$ , at most  $h-1$  of the  $K_i$  cannot contribute  $p_1$  to the sum.

Hence  $|K_1| = |K_2| = \dots = |K_{q_1-h+1}| = p_1$ .

Let  $H'$  be the subgraph of  $H$  induced by

$$X' = K_1 \cup K_2 \cup \dots \cup K_{q_1-h+1} \cup \{u^1\}.$$

$$|X'| = p_1 (q_1 - h + 1) + 1 < p_1 q_1 + 1 = |X|$$

So by the minimality of  $H$ , we have,

$$\varpi(H') \alpha(H') \geq |X'|$$

But  $p_1 = \varpi(H) \geq \varpi(H')$ ,

So,  $\alpha(H') \geq |X'| / p_1 > q_1 - h + 1$ .

Let  $S'$  be an independent set of  $H'$  of cardinality  $q_1 - h + 2$ . Since  $S'$  cannot have two vertices of a clique,  $u^1 \in S'$ . Hence,  $S = S' \cup U$  is an independent set of  $H$  with  $q_1 + 1$  vertices, which is a contradiction, to the definition of  $q_1$ . #

## THE PERFECT GRAPH THEOREM

**The Perfect Graph Theorem** (Lovász) For a graph  $G$ , the following statements are equivalent.

1.  $G$  is  $\chi$ -perfect.
2.  $G$  is  $\alpha$ -perfect.
3.  $\varpi(G_A) \alpha(G_A) \geq |A|$ , for all  $A \subseteq V$ .

**Proof:** We may assume that the theorem is true for all graphs with fewer vertices than  $G$ .

(1)  $\Rightarrow$  (3). Let us assume that  $G$  is  $\chi$ -perfect.

So we have,  $\varpi(G_A) = \chi(G_A)$ , for all  $A \subseteq V$ .

It means, we can colour  $G_A$  in  $\varpi(G_A)$  colours.

Since there are at most  $\alpha(G_A)$  vertices of a given colour, we get  $\varpi(G_A) \alpha(G_A) \geq |A|$ .

(3)  $\Rightarrow$  (1). Let us assume that  $G$  satisfies the condition

$$\varpi(G_A) \alpha(G_A) \geq |A|, \text{ for all } A \subseteq V.$$

Each proper induced subgraph of  $G$  satisfies (3) and by induction assumption, satisfies all the above three conditions.

So it is enough if we prove that  $\varpi(G) \alpha(G) \geq |V|$ .

If we have an independent set  $S$  of  $G$  such that  $\varpi(G_{V-S}) < \varpi(G)$ , then we can colour the elements of  $S$  by a new colour and  $G_{V-S}$  in  $\varpi(G)-1$  other colours. This is a colouring of  $G$  and so  $\chi(G) \leq \varpi(G)-1+1 = \varpi(G)$ .

But we know,  $\varpi(G) \leq \chi(G)$  for any graph  $G$ . Hence,  $\varpi(G) = \chi(G)$ .

Suppose  $G_{V-S}$  has an  $\varpi(G)$ -clique  $K(S)$  for every independent set  $S$  of  $G$ .

Let  $\xi$  be the collection of all independent sets of  $G$ .

Also  $S \cap K(S) = \emptyset$ .

For each  $v_i \in V$ , let  $h_i$  denote the number of cliques  $K(S)$  which contain  $v_i$ . Let  $H$  be obtained from  $G$  by multiplying each  $v_i$  by  $h_i$  and let  $V(H) = X$ .

By theorem 12.4,  $\varpi(H) \cdot \alpha(H) \geq |X|$ .

Now we compute,

$$|X| = \sum_{v_i \in V} h_i = \sum_{S \in \xi} |K(S)|, \text{ since } h_i \text{ equals the number of non-zeros in row } i,$$

and  $|K(S)|$  equals the number of non-zeros in its corresponding column in the incidence matrix whose rows are indexed by the vertices  $v_1, v_2, \dots, v_p$  and whose columns correspond to the cliques  $K(S)$  for  $S \in \xi$ .

Therefore, the above equation becomes,

$$|X| = \sum_{v_i \in V} h_i = \sum_{S \in \xi} |K(S)| = \varpi(G) |\xi|.$$

Now we consider any clique in  $H$ . Since, at most one 'copy' of any vertex of  $G$  could be in a clique of  $H$ , we have  $\varpi(H) \leq \varpi(G)$ .

We note that, if a maximum independent set of  $H$  contains some of the 'copies' of  $v_i$ , then it will contain all of the 'copies' and hence,

$$\begin{aligned} \alpha(H) &= \text{Max}_{T \in \xi} \sum_{v_i \in T} h_i \\ &= \text{Max}_{T \in \xi} \left[ \sum_{S \in \xi} |T \cap K(S)| \right], \text{ by considering the entries of the} \\ &\quad \text{rows corresponding to } T \text{ in the} \\ &\quad \text{above matrix.} \end{aligned}$$

$$\leq |\xi| - 1 \text{ since } |T \cap K(T)| = 0 \text{ and } |T \cap K(S)| \leq 1, \text{ since } T \text{ is an independent set and } K(S) \text{ is a clique.}$$

Now consider,

$$\varpi(H) \cdot \alpha(H) \leq \varpi(G) \cdot (|\xi| - 1) < |X|,$$

which is a contradiction.

Hence the result.



(2)  $\Leftrightarrow$  (3)

$G$  is  $\alpha$ -perfect if and only if  $G^c$  is  $\chi$ -perfect.

if and only if  $\varpi(G_A^c) \cdot \alpha(G_A^c) \geq |A|$ , for all  $A \subseteq V$

if and only if  $\alpha(G_A) \cdot \varpi(G_A) \geq |A|$ , for all  $A \subseteq V$ .

Hence the theorem. #

**Corollary** A graph  $G$  is perfect if and only if its complement  $G^c$  is perfect.

**Proof:** By theorem , the corollary is immediate. #

**Corollary** A graph  $G$  is perfect if and only if every graph  $H$  obtained from  $G$  by multiplication of vertices is perfect.

**Note:** Sine  $\chi$ -perfect and  $\alpha$ -perfect are equivalent for any graph  $G$ , here after we call the graph which satisfy any one of them as perfect graph.

However, the above equivalence fails for uncountable graphs.

Now we present another characterization for perfect graphs.

**Theorem** A necessary and sufficient condition for a non-empty graph  $G$  to be perfect is that for every induced subgraph  $H \subseteq G$  there is an independent set of vertices  $I$ , such that  $\varpi(H-I) < \varpi(H)$ .

**Proof:** Let  $G$  be a perfect graph and  $H$  be an induced subgraph of  $G$ , and so  $H$  is also perfect. Let  $k = \chi(H) = \varpi(H)$ .

In this  $k$ -colouring of  $H$ , let  $I$  be a colour class. Now,

$\varpi(H-I) \leq \chi(H-I) = \chi(H)-1 < \varpi(H)$ . Hence this part.

Conversely, let us assume that for each induced subgraph  $H \subseteq G$ , there is an independent set of vertices  $I$ , such that  $\varpi(H-I) < \varpi(H)$ .

We prove this result by induction on  $\varpi(G)$ .

If  $\varpi(G) = 2$ , then the result is obvious.

So, let  $\varpi(G) > 2$  and we assume the result for smaller values of the clique number.

By induction hypothesis, we can colour  $H-I$  with  $\varpi(H-I)$  colours and colouring the vertices of  $I$  with a new colour, we obtain a colouring of  $H$  with  $\varpi(H-I)+1 \leq \varpi(H)$  colours. So  $\chi(H) \leq \varpi(H)$ . Hence  $G$  is perfect. #



**Conjecture:** When we talk about perfect graphs, it is natural to think about graphs, which are not perfect. Consider odd cycles of length at least five. It's chromatic number is three, but it's clique number is two. So it is not perfect. But we note that every subgraph of this is perfect. In 1960, Berge raised the question of the existence of other minimal imperfect graphs other than odd cycles of length at least five and their complements. He conjectured that there are none other than these. This has come to be known as **strong perfect graph conjecture**. It may be stated as below. A graph  $G$  is perfect if and only if  $G$  has no induced subgraph that is an odd cycle of length at least five or its complement.

## CHORDAL GRAPHS

The concept of chordal graphs is due to Hajnal and Suranyi. In 1958, they showed that chordal graphs are  $\alpha$ -perfect, and Berge, in 1960, proved that chordal graphs are  $\chi$ -perfect. So, chordal graphs are very good examples for perfect graphs.

**Definition:** A graph  $G$  is called **chordal** if every cycle of length strictly greater than 3 possesses a chord, that is, an edge joining two non-consecutive vertices of the cycle.

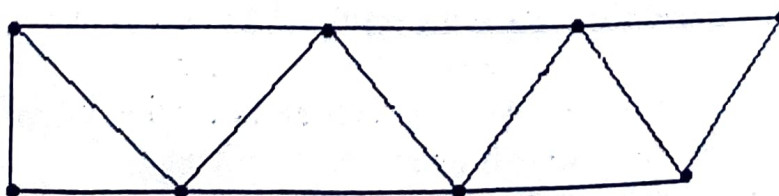
**Remark:** (i) By definition,  $G$  does not contain an induced subgraph isomorphic to  $C_n$  for  $n > 3$ .

(ii) A subgraph of a chordal graph is also chordal, since, if the subgraph has a cycle of length greater than three without chords then  $G$  would also have a cycle of length greater than three without chords.

(iii) Chordal graphs are also called as **triangulated** graphs.

### Examples

- 1. All complete graphs are chordal graphs.
- 2. All trees are chordal graphs
- 3. The following graph is chordal.



4. Wheel  $W_n$  is not a chordal graph if  $n \geq 5$ .
5.  $K_{m,n}$ ,  $m, n \geq 2$  is not chordal.

**Definition:** A vertex  $v$  of  $G$  is called **simplicial** if its adjacency set  $\text{Adj}(v)$  is a clique of  $G$  (not necessarily maximal).

**Definition:** Let  $G$  be a graph and let  $\sigma = [v_1, v_2, \dots, v_p]$  be an ordering of the vertices.  $\sigma$  is called a **perfect vertex elimination scheme** (or perfect scheme) if each  $v_i$  is a simplicial vertex of the induced subgraph  $G_{\{v_i, \dots, v_p\}}$ .

Equivalently,  $X_i = \{v_j \in \text{Adj}(v_i) / j > i\}$  is a clique.

**Examples:**

1. In a tree, successive deletion of leaves induces a perfect vertex elimination scheme.
2. If  $G$  is a cycle of length greater than three, then  $G$  cannot have a perfect vertex elimination scheme, since a cycle has no simplicial vertex to start the elimination.

**Definition:** Let  $a$  and  $b$  be two non-adjacent vertices in a connected graph  $G$ . A subset  $S \subseteq V$  is a **vertex separator** for  $a$  and  $b$  (or an  $a$ - $b$  separator) if the removal of  $S$  from the graph separates  $a$  and  $b$  into distinct components.

If no proper subset of  $S$  is an  $a$ - $b$  separator, then  $S$  is a **minimal vertex separator** for  $a$  and  $b$ .

**Theorem** For a graph  $G$ , the following statements are equivalent.

- (i)  $G$  is chordal.
- (ii) Every minimal vertex separator is a clique.

**Proof:**

(ii)  $\Rightarrow$  (i) Let us assume that every minimal vertex separator is a clique. Consider a cycle of length strictly greater than three of  $G$ , say,

$[a, x, b, y_1, y_2, \dots, y_k, a]$ ,  $k \geq 1$ .

Any minimal  $a$ - $b$  separator must contain vertices  $x$  and  $y_i$  for some  $i$ ; So  $xy_i \in E$ , which is a chord of the cycle.

Hence  $G$  is a chordal.

(i)  $\Rightarrow$  (ii) Let us assume that  $G$  be a chordal graph.

Let  $S$  be a minimal  $a$ - $b$  separator with  $G_A$  and  $G_B$  being the components of  $G_{V-S}$  containing  $a$  and  $b$  respectively.

Since  $S$  is minimal, each  $x \in S$  is adjacent to some vertex in  $A$  and some vertex in  $B$ .



Let  $x, y \in S$ . Since  $G_A$  is connected, there exists a path between  $x$  and  $y$  with internal vertices from  $G_A$ .

Now we choose such a path of smallest length say,  $[x, a_1, \dots, a_r, y]$ , where  $a_i \in A$ .

Similarly, we choose a path of smallest length between  $x$  and  $y$ , say  $[y, b_1, \dots, b_t, x]$ , where  $b_i \in B$ .

Now combining these two we get a cycle  $[x, a_1, \dots, a_r, y, b_1, \dots, b_t, x]$ , whose length is at least 4.

By our assumption, this cycle must have a chord. Since  $S$  is vertex separator,  $a_i b_j \notin E$ . Also  $a_i a_j \notin E$ ,  $b_i b_j \notin E$ ,  $x a_i \notin E$  for  $i > 1$ ,  $y a_i \notin E$  for  $i < r$ ,  $x b_j \notin E$  for  $j < t$  and  $y b_j \notin E$  for  $j > 1$  by the minimality of the length of the paths.

Therefore, the only possible chord is  $xy \in E$ .

Hence the theorem.

#

**Theorem** Every chordal graph has a simplicial vertex. Moreover, if  $G$  is not a clique, then it has two non-adjacent simplicial vertices.

**Proof:** Let  $G$  be a chordal graph. The theorem is true trivially if  $G$  is complete. Now we assume that  $G$  has two non-adjacent vertices  $a$  and  $b$  and that the theorem is true for all graphs with fewer vertices than  $G$ .

Let  $S$  be a minimal vertex separator for  $a$  and  $b$  with  $G_A$  and  $G_B$  being the components of  $G_{V \setminus S}$  containing  $a$  and  $b$ , respectively.

By induction, either the subgraph  $G_{A \cup S}$  has two non-adjacent simplicial vertices one of which must be in  $A$ , since  $S$  is a clique or  $G_{A \cup S}$  is itself complete and any vertex of  $A$  is simplicial in  $G_{A \cup S}$ . Since no vertex of  $A$  is adjacent with a vertex in  $B$ , a simplicial vertex of  $G_{A \cup S}$  in  $A$  is simplicial in  $G$ . Similarly,  $B$  contains a simplicial vertex of  $G$ .

Hence the theorem.

#

Now we present an equivalent condition for chordal graphs by Dirac(1961).

**Theorem** For a graph  $G$ , the following statements are equivalent.

- (i)  $G$  is chordal.
- (ii)  $G$  has a perfect vertex elimination scheme. Moreover, any simplicial vertex can start a perfect scheme.
- (iii) Every minimal vertex separator is a clique.

**Proof:**

(i)  $\Rightarrow$  (ii) Let  $G$  be chordal.

Let us assume the result for all graphs with fewer vertices than  $G$ .

Since  $G$  is chordal, it has a simplicial vertex, say  $v$ .

Since any subgraph of a chordal graph is chordal,  $G_{V-\{v\}}$  is chordal and smaller than  $G$ . So, by induction,  $G_{V-\{v\}}$  has a perfect scheme which, when adjoined as a suffix of  $v$ , gives a perfect scheme for  $G$ .

(ii)  $\Rightarrow$  (i) Let  $G$  has a perfect vertex elimination scheme and let  $C$  be a cycle of  $G$  with length greater than three. Let  $v$  be the vertex of  $C$  with the smallest index in the perfect scheme of  $G$ . Since  $C$  is a cycle,  $|\text{Adj}(v) \cap C| \geq 2$ ; and the eventual simpliciality of  $v$  gives a chord in  $C$ .

Hence  $G$  is chordal.

(i)  $\Leftrightarrow$  (iii) already proved.

Hence the theorem.

**Definition:** A subset  $S \subseteq V$  of a connected graph  $G$  is said to be a vertex separator if  $G-S$  is disconnected.

**Theorem** Let  $S$  be a vertex separator of a connected graph  $G$  and let  $G_{A_1}, G_{A_2}, \dots, G_{A_t}$  be the components of  $G_{V-S}$ . If  $S$  is a clique (not necessarily maximal), then

$$\chi(G) = \text{Max}_i \chi(G_{S \cup A_i})$$

and

$$\varpi(G) = \text{Max}_i \varpi(G_{S \cup A_i})$$

**Proof:** Obviously  $\chi(G) \geq \chi(G_{S \cup A_i})$  for each  $i$ .

$$\text{So } \chi(G) \geq \text{Max}_i \chi(G_{S \cup A_i}) = k.$$

Now we show that  $G$  can be coloured using exactly  $k$  colours. First colour  $G_S$ , then independently extend the colouring to each piece of  $G_{S \cup A_i}$ . This will be a colouring of  $G$  with  $k$  colours and this is possible because  $S$  is a clique. Hence  $\chi(G) = k$ .

Now we prove the other equality.

We know,  $\varpi(G) \geq \varpi(G_{S \cup A_i})$  for each  $i$ .

$$\text{So, } \varpi(G) \geq \text{Max}_i \varpi(G_{S \cup A_i}) = m_{74}$$



Let  $X$  be a maximum clique of  $G$  with  $\omega(G)$  elements. Then  $X$  must lie wholly in one of the  $G_{S \cup A_r}$ , since any two vertices of  $X$  are connected and so they cannot belong to  $G_{A_i}$  and  $G_{A_j}$ , for  $i \neq j$ .

So,  $m \geq \omega(G_{S \cup A_r}) \geq |X| = \omega(G)$ .

Hence  $\omega(G) = m$ . #

**Corollary** Let  $S$  be a vertex separator of a connected graph  $G$  and let  $G_{A_1}, G_{A_2}, \dots, G_{A_t}$  be the components of  $G_{V-S}$ . If  $S$  is a clique, and if each subgraph  $G_{S \cup A_i}$  is perfect, then  $G$  is perfect.

**Proof:** We assume that the result is true for all graphs with fewer vertices than  $G$ . So it is enough if we show that  $\chi(G) = \omega(G)$ . Let each graph  $G_{S \cup A_i}$  be perfect.

Now by the previous theorem,

$$\chi(G) = \max_i \chi(G_{S \cup A_i}) = \max_i \omega(G_{S \cup A_i}) = \omega(G).$$

Hence  $G$  is perfect. #

**Theorem** Chordal graphs are perfect.

**Proof:** Let  $G$  be a chordal graph.

We assume that the theorem is true for all graphs having fewer vertices than  $G$ . Also, we may assume that  $G$  is connected, for otherwise we consider each component individually.

If  $G$  is complete, then  $G$  is perfect.

If  $G$  is not complete, then let  $S$  be a minimal vertex separator for some pair of non-adjacent vertices. Since  $G$  is chordal,  $S$  is a clique.

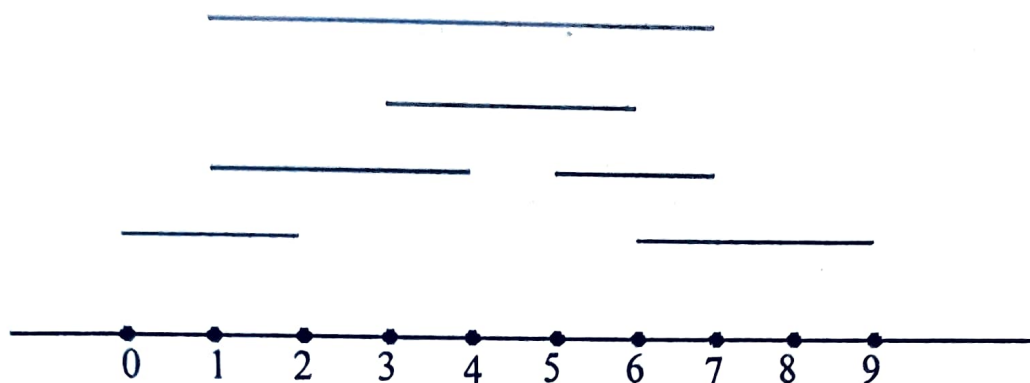
Also, by induction hypothesis, since each of the subgraphs  $G_{S \cup A_i}$  (as defined in the corollary) are chordal, they are perfect.

Hence, by the previous corollary,  $G$  is perfect. #

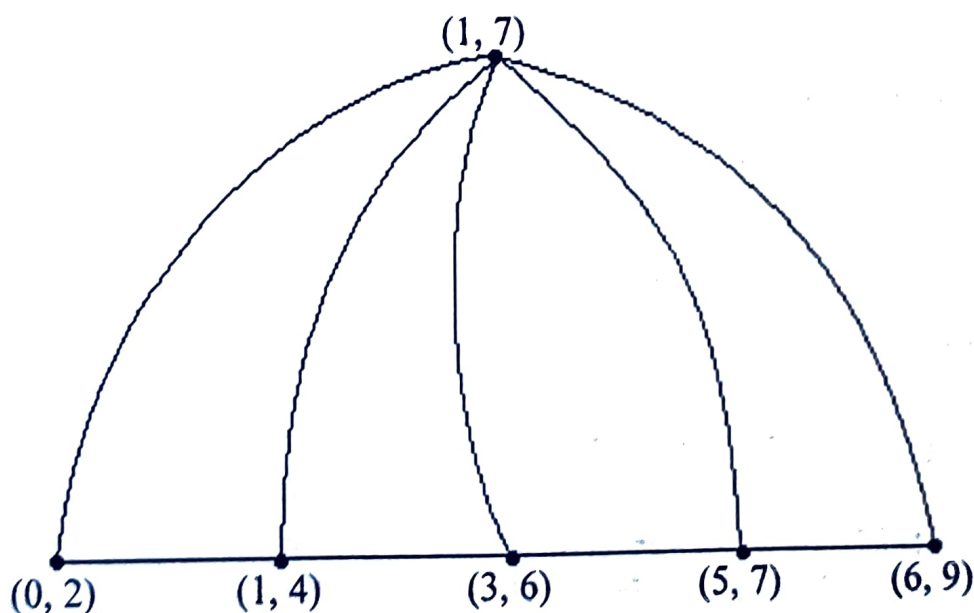
## INTERVAL GRAPHS

Consider the following open intervals on the real line,  
 $(0, 2), (1, 4), (3, 6), (5, 7), (1, 7)$  and  $(6, 9)$





Now we construct a graph from these intervals by introducing a vertex for each of these intervals and joining two such vertices by an edge whenever the corresponding intervals overlap. The graph arising from the intervals is shown in figure



Any graph, which arises in this way from a set of intervals, is called an **interval graph**. For example, the above Fan is an interval graph since we obtained this from the above intervals.

G. Hajo's and N. Wiener (1957) were the first to study interval graphs.

**Definition:** Consider a family  $\mathcal{A} = (A_1, A_2, \dots, A_n)$  of intervals on the real line. The representative graph of  $\mathcal{A}$  is defined to be a simple graph  $G$  in which each vertex  $a_i$  corresponds to an interval  $A_i$ , and with two vertices joined together if, and only if, the corresponding intervals overlap. Such a graph is called an **interval graph**. 76

**Theorem**            Every interval graph is chordal.

**Proof:** Let  $G$  be an interval graph.

Suppose,  $G$  has a chordless cycle  $[v_0, v_1, \dots, v_{\ell-1}, v_0]$  with  $\ell > 3$ .

Let  $I_k$  denote the interval corresponding to  $v_k$ .

For  $i = 1, 2, \dots, \ell-1$ , choose a point  $\alpha_i \in I_{i-1} \cap I_i$ . Since  $I_{i-1}$  and  $I_{i+1}$  do not overlap,  $\alpha_i$  constitute a strictly increasing or strictly decreasing sequence. So  $I_0$  and  $I_{\ell-1}$  cannot intersect, which is a contradiction, to the fact that  $v_0 v_{\ell-1}$  is an edge of  $G$ . Hence  $G$  is chordal. #

**Theorem**            Interval graphs are perfect.

**Proof:** Since every interval graph is chordal and since chordal graphs are perfect, the theorem is true. #

### Solved Problems:

1. Show that the complement of a bipartite graph is perfect without using perfect graph theorem.

**Solution:** Since an induced subgraph of the complement of a bipartite graph is also the complement of a bipartite graph, it is enough if we prove that, if  $G$  is a bipartite graph then  $\chi(G^c) = \omega(G^c)$ .

Now, in a colouring of  $G^c$ , every colour class is either a vertex or a pair of adjacent vertices in  $G$ . Thus  $\chi(G^c)$  is the minimal number of vertices and edges of  $G$ , covering all vertices of  $G$ . Let  $H$  be the subgraph of  $G$  obtained by deleting all isolated vertices of  $G$ . In  $H$  the minimum number of edges covering all the vertices of  $H$  equal to maximum number of independent vertices of  $H$ ,  $\alpha = \beta'$ . Now adding isolated vertices on both sides, we get the minimum number of vertices and edges of  $G$  covering all vertices of  $G$  is equal to the maximum number of independent vertices of  $G$ . Hence  $\chi(G^c) = \omega(G^c)$ .

2. Let  $G$  be a bipartite graph with the line graph  $H = L(G)$ . Show that  $H$  and  $H^c$  are perfect.

**Solution:** Since an induced subgraph of the line graph of a bipartite graph is also the line graph of a bipartite graph, it is enough if we prove that  $\chi(H) = \omega(H)$ . Also, it is enough if we prove that  $\chi(H^c) = \omega(H^c)$ .

A set of edges in  $G$  are adjacent if and only if all the edges pass through the same vertex.

Hence,  $\varpi(H) = \Delta(G)$

$$\chi(H) = \chi_1(G)$$

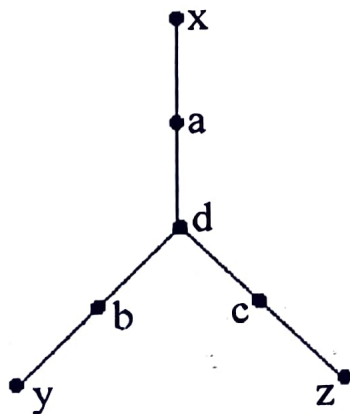
But for bipartite graphs,  $\chi_1(G) = \Delta(G)$ , hence  $H$  is perfect.

Now, we note that  $\chi(H^c)$  is the minimal number of vertices of  $G$  covering all the edges and  $\varpi(H^c)$  is the maximal number of independent edges of  $G$ . Since both of them are equal for bipartite graphs ( $\alpha' = \beta$ ),  $H^c$  is perfect.

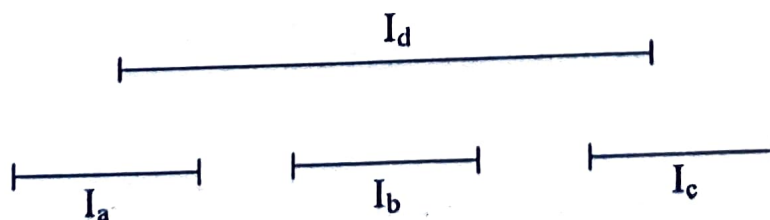
**Note:** Problem 1, is the first result on perfect graphs, proved by Gallai and Konig in 1932, although the concept of perfect graph was explicitly defined by Berge in 1960.

3. Give an example of a chordal graph, which is not an interval graph.

**Solution:**



Obviously, the above graph is chordal. In the interval representation of the above graph, the intervals  $I_a$ ,  $I_b$  and  $I_c$  are disjoint.



Without loss of generality, we assume  $I_b$  be in between  $I_a$  and  $I_c$ . Since  $d$  is adjacent to  $a$ ,  $b$  and  $c$ ,  $I_d$  should properly include  $I_b$ . But it is impossible to



have an interval  $I_y$  which has non-empty intersection with  $I_b$  but disjoint with  $I_d$ . Hence this graph is not an interval graph.

## COMPARABILITY GRAPHS

**Definition:** A graph  $G$  is called a **comparability graph** if it is possible to direct its edges so that the resulting graph with arc set  $F$  satisfies:

- (i)  $(x, y) \in F, (y, z) \in F \Rightarrow (x, z) \in F$  (transitivity)
- (ii)  $(x, y) \in F \Rightarrow (y, x) \notin F$  (anti-symmetry)

**Note:** A comparability graph may have more than one orientation of edges satisfying the two conditions.

### Examples:

1. Every bipartite graph is a comparability graph, since if  $(A, B)$  is the bipartition then, we direct all the edges from  $A$  to  $B$ .
2. Clearly subgraph of comparability graph is a comparability graph.

**Theorem** Comparability graphs are perfect.

**Proof:** Let  $G$  be a comparability graph. Consider  $G$  with its direction. For each vertex  $v$ , let  $t(v)$  denote the length of the longest path from  $v$  plus one. If  $\max t(v) = k$ , there exists a  $k$ -clique containing all the vertices in the longest path from  $v$ . But in  $G$  there cannot be a  $(k+1)$ -clique; otherwise we can find a path with  $k+1$  vertices. Thus  $\omega(G) = k$ . Consider  $k$  colours say,  $1, 2, \dots, k$ . Colour each vertex  $v$  with colour  $t(v)$ . Two adjacent vertices cannot have the same colour, because if there is an arc directed from  $v_1$  to  $v_2$  then  $t(v_1) > t(v_2)$ . Thus  $\chi(G) \leq K$ . But  $\chi(G) \geq \omega(G) = k$  and hence  $\chi(G) = k$ . Therefore,  $\chi(G) = \omega(G)$ . Now by perfect graph theorem,  $G$  is perfect.



# **QUESTION BANK**

## **UNIT V**

### **PART A**

1. Show that a graph  $G$  is  $\chi$  - perfect if and only if its complementary graph  $G^c$  is  $\alpha$  – perfect. **CO2 (L2)**
2. Let  $S$  be a vertex separator of a connected graph  $G$  and let  $G_{A1}, G_{A2}, \dots, G_{At}$  be the components of  $G_{V-S}$ . If  $S$  is a clique and if each sub graph  $G_{S \cup A_j}$  is perfect, then  $G$  is perfect. **CO2 (L2)**
4. Explain Every chordal graph is perfect. **CO2 (L2)**
5. Explain Every interval graph is Chordal. **CO2 (L2)**
6. Explain Comparability graphs are perfect. **CO2 (L2)**
7. Give an example of a chordal graph, which is not an interval graph. **CO1 (L2)**

### **PART B**

1. Prove that if either a graph  $G$  or its complementary graph  $G^c$  contains an odd cycle of length greater than 3 without chords, then  $G$  is neither  $\chi$  - perfect nor  $\alpha$  – perfect. **CO3 (L5)**
2. Let  $H$  be obtained from  $G$  by multiplication of vertices. Prove that
  - i) If  $G$  is  $\chi$  - perfect then  $H$  is  $\chi$  - perfect
  - ii) If  $G$  is  $\alpha$  – perfect then  $H$  is  $\alpha$  – perfect **CO3 (L5)**
3. Let  $G$  be a graph each of whose proper induced sub graphs are  $\alpha$  – perfect, and let  $H$  be obtained from  $G$  by multiplication of vertices. If  $G$  satisfies the condition  $\omega(G_A) \cdot \alpha(G_A) \geq |A|$  for all  $A \subseteq V$  then  $H$  also satisfies this condition. If  $G$  is  $\alpha$  – perfect then  $H$  is  $\alpha$  – perfect – Discuss. **CO3 (L6)**
4. For a graph  $G$ , the following statements are equivalent.
  - 1)  $G$  is  $\chi$  - perfect
  - 2)  $G$  is  $\alpha$  – perfect

- 3)  $\omega(G_A) \cdot \alpha(G_A) \geq |A|$ , for all  $A \subseteq V$ . **CO6 (L4)**
5. A necessary and sufficient for a non-empty graph  $G$  to be perfect is that for every induced sub graph  $H \subseteq G$  there is an independent set of vertices  $I$ , such that  $\omega(H-I) < \omega(H)$ . – Discuss **CO6 (L6)**
6. For a graph  $G$ , Prove that the following statements are equivalent.
- i)  $G$  is Chordal
  - ii) Every minimal vertex separator is a clique. **CO6 (L5)**

### **TEXT / REFERENCE BOOKS**

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